



SharkFest '18 Europe



To send or not to send?..

How TCP Congestion Control
algorithms work

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About me



- In IT since 2005
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 - Blog: packettrain.net
 - Social group <https://vk.com/packettrain> (Russian)



PCAPs



<http://files.packettrain.net:8001/SF18/>

Login = password = sf18eu

(caution: size!!)

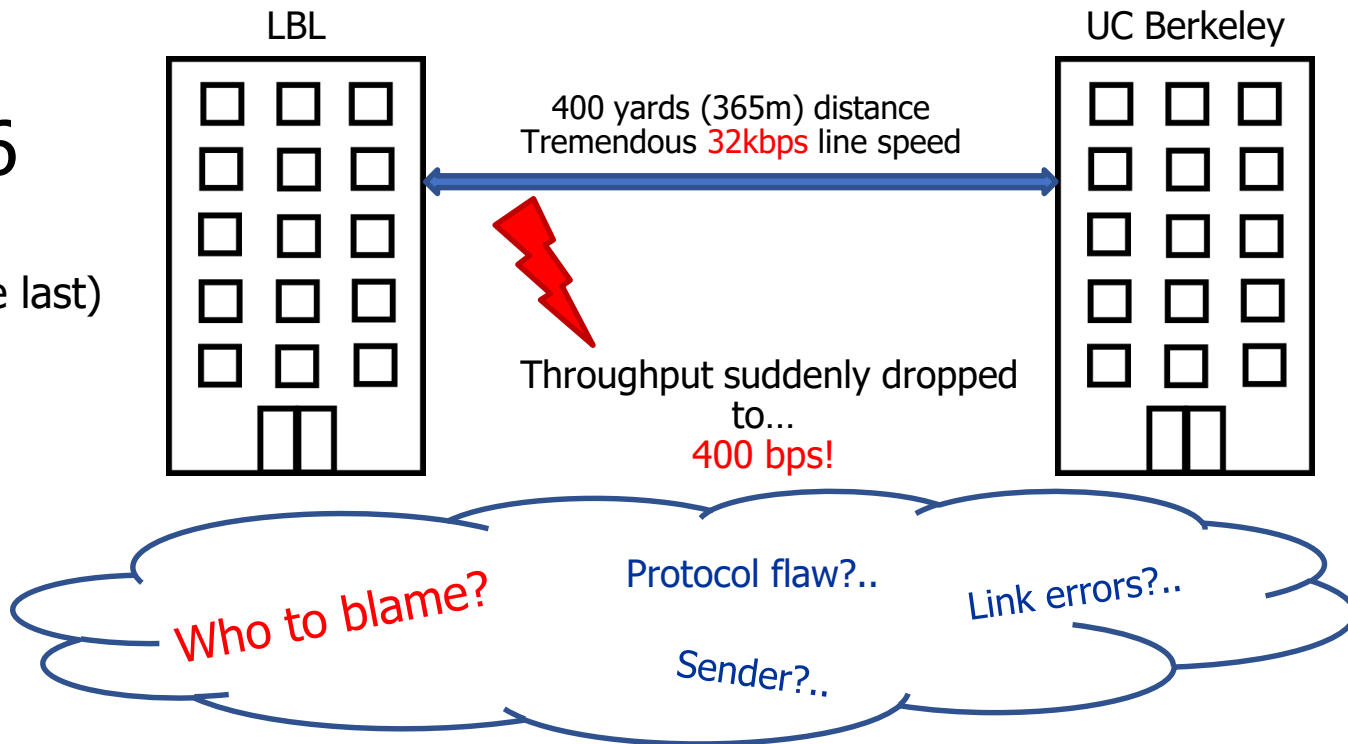


The beginning..



Oct 1986

The first (but not the last) occurrence.





Let's capture!

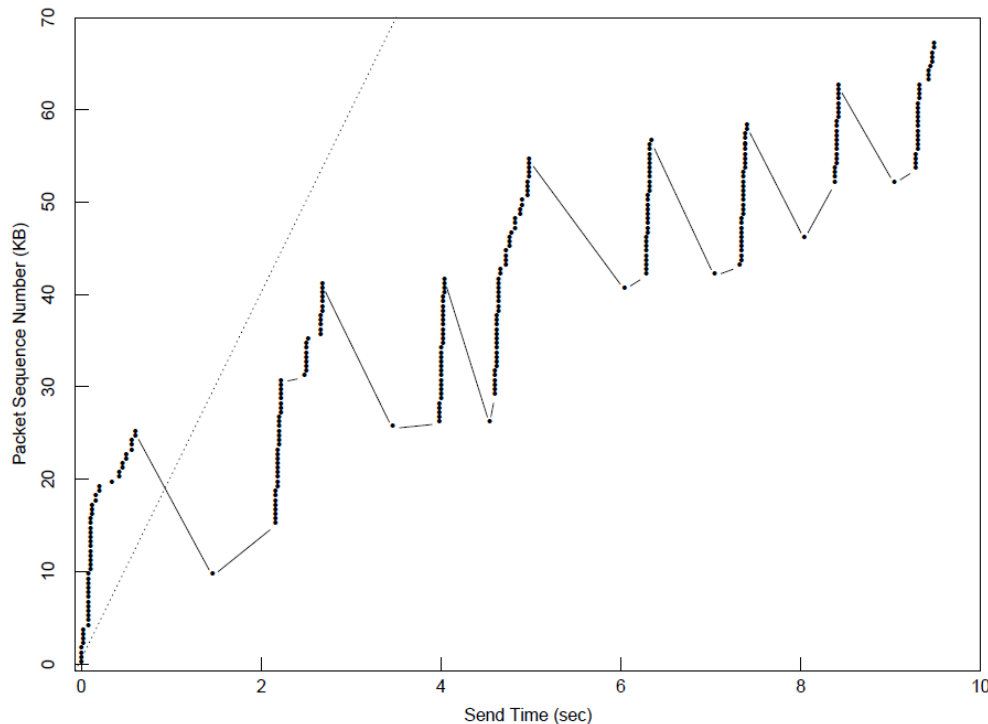


What was on the wire?

The sender (4.2 BSD) floods the link with tons of unnecessary retransmissions.

* because it sends on own full rate and have inaccurate RTO timer

* some chunks were retransmitted 5+ times!





Congestion collapse

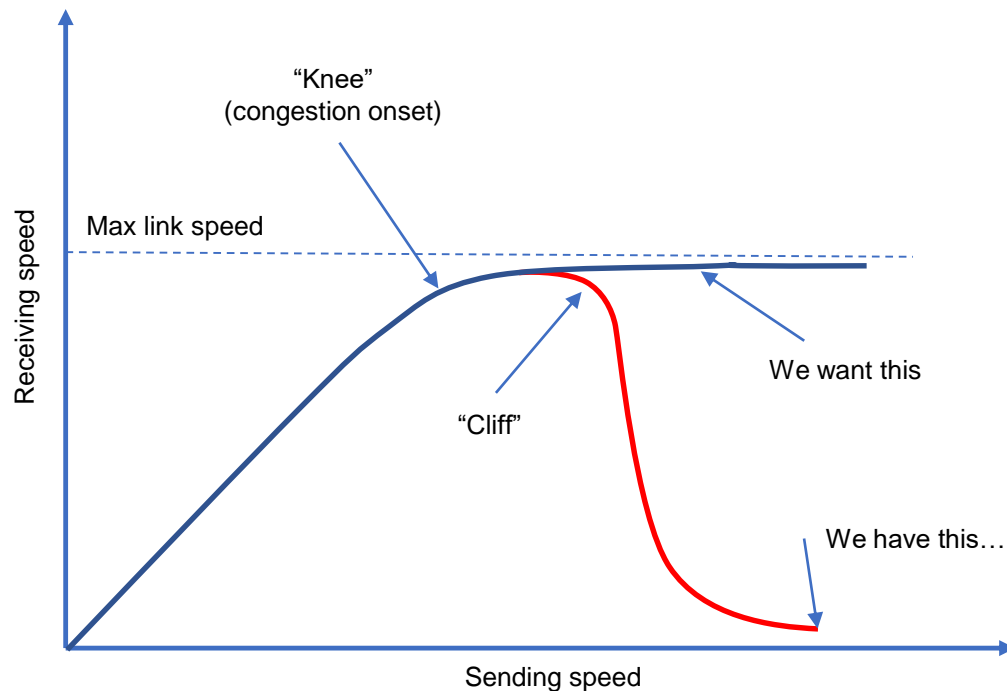


This is called “congestion collapse” – when goodput decreases by huge factor – up to 1000x!

[Fact: it was predicted by Nagle in 1984 before it occurred in real life]

- **Bad news:** it NEVER disappears without countermeasures.

- So a sender has to slow down its rate ... or we just add more buffer to router?





Large buffers?



- Let's never drop a packet!
- But... buffers could be large, but not endless.
- Good for absorbing bursts, but don't help if long-term incoming rate $>$ outgoing rate.
- Actually make things worse (high latency, "bufferbloat") – so we don't want to have even to have endless buffers if we could.

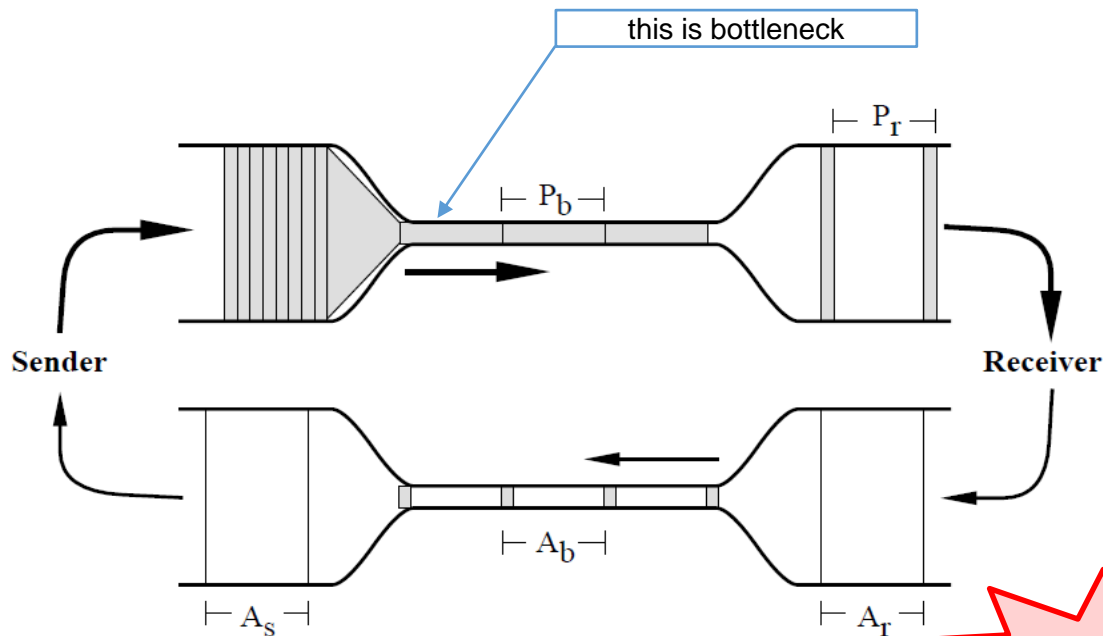


TCP Self-clocking



Equilibrium state is good, but... if you are the only one sender, you're already in it and there are no other variables.

Looks unrealistic.



TCP 'Self-clocking'

"Hand-weight diagram"



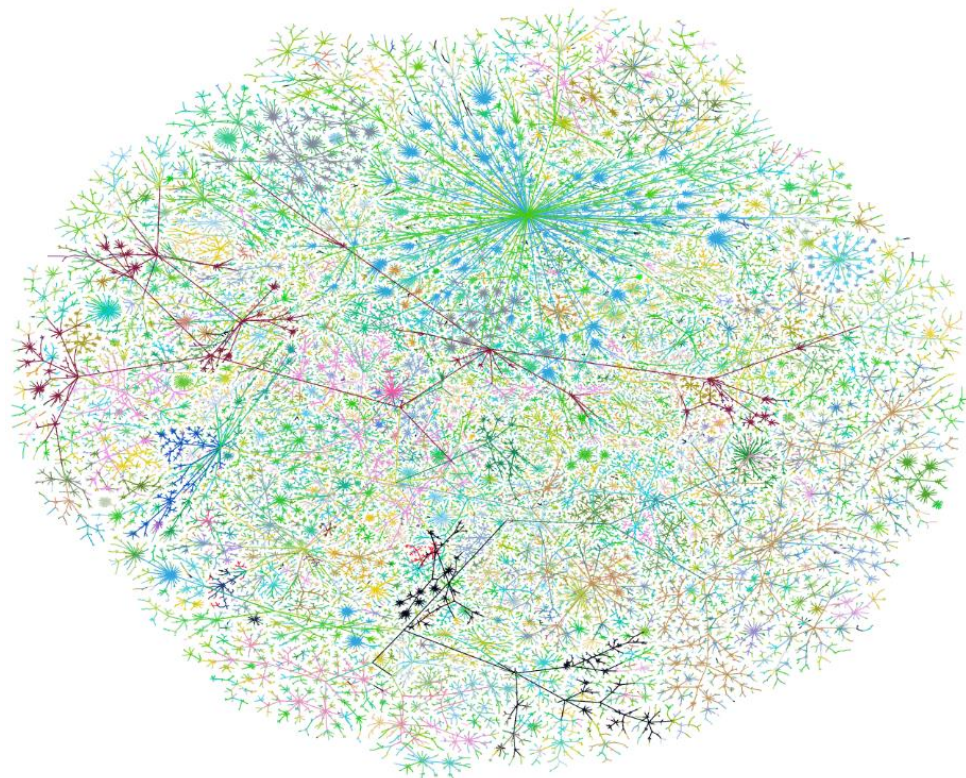
But in real world...



What about this topology?

- Random data transfers
- Random link parameters
- Unknown path

TCP has to do it's job in this environment.





How to handle it?



Who must be responsible for handling?

Main decision made in [J88]:

“Smart endpoint, Dumb internet”

A sender (endpoint) has to slow down its transmission speed for some time giving up self-interest for the interest of the whole system.

Modified sender should be capable to handle congestion **without any assistance** from network nodes (though sometimes we'd like to have it... see later).



Focus on sender



Window-based or rate-based control??

Signaling (how do we know there is a congestion)??

Priority to delay or utilization??

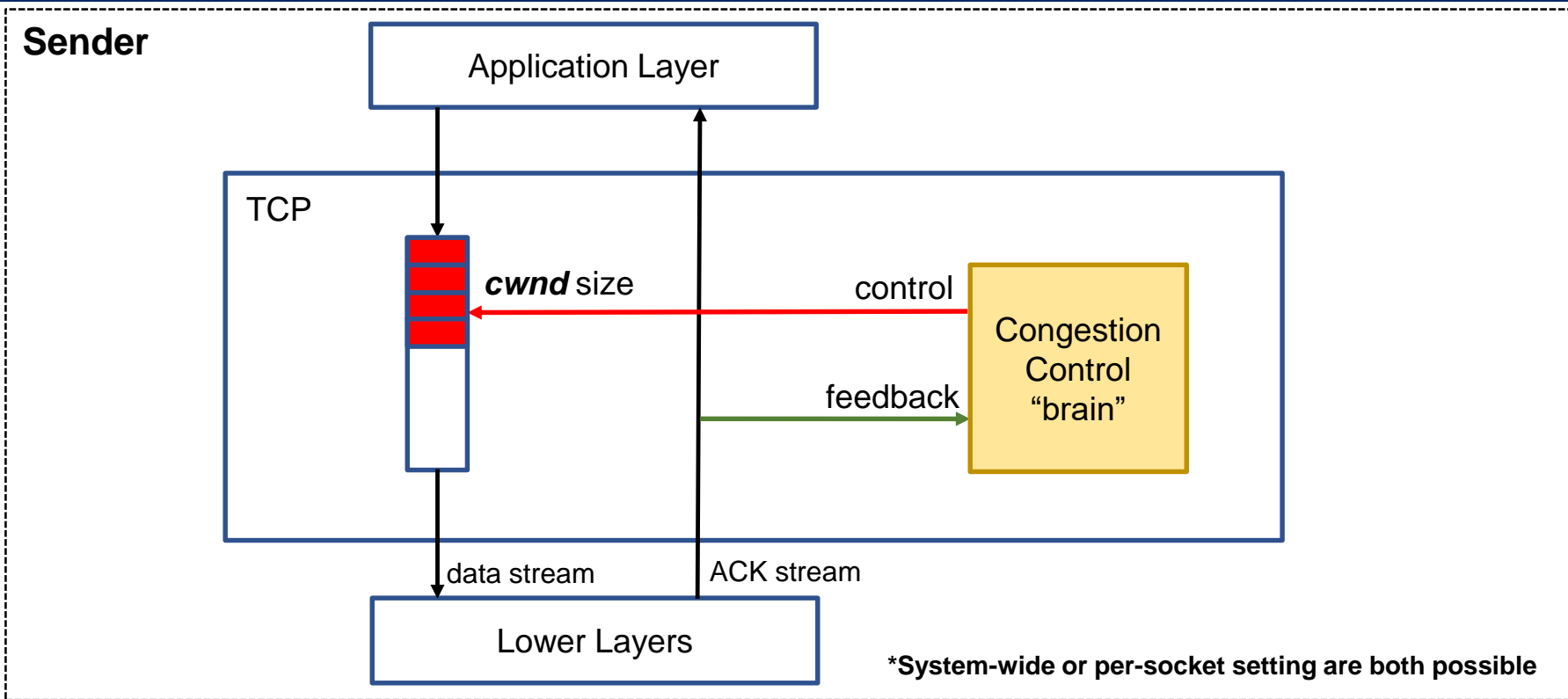
Increment rule if there is no congestion??

Decrement rule if there IS congestion??

Fairness??



Design by [J88]

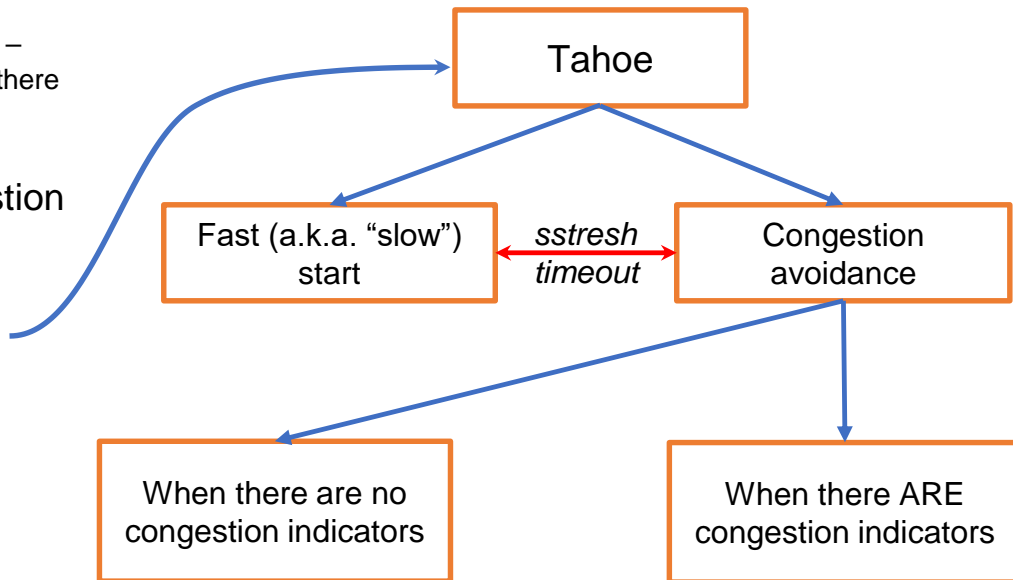




First solution by [J88]



1. Window-based control – **hello, *cwnd!*** –
 $W = \min(cwnd, awnd)$, * where W – number of unacknowledged packets; we also assume there are no constraints in ***awnd*** in this session.
2. Feedback: **packet loss** as network congestion indicator
3. **Action profile**: several stages for different purpose each
4. RTO estimation enhancement
5. Fast retransmit mechanism
6. Focus on protection from collapse, not efficiency etc.





Tahoe – “slowfast kickstart”



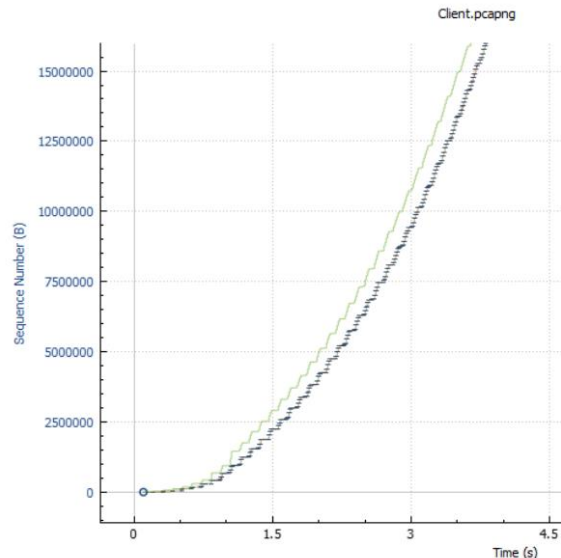
Two tasks:

1. **Establishing ACK clocking circuit (main one!)**
2. “Fast and dirty” probe for bandwidth.
3. Determining initial ***sstresh*** value for further use.

Operation:

1. Start from initial window IW.
2. For every ACKed SMSS increase ***cwnd*** by one SMSS.

*Refer to Christian’s session for details



Fun fact

Initial window size nowadays usually equals 10 packets.

Refer to this link: <https://iw.netray.io/stats.html>

You can change it in Linux OS: `#ip route change default via ip.address dev eth0 initcwnd 15`

And in Windows OS: <https://andydavies.me/blog/2011/11/21/increasing-the-tcp-initial-congestion-window-on-windows-2008-server-r2/>

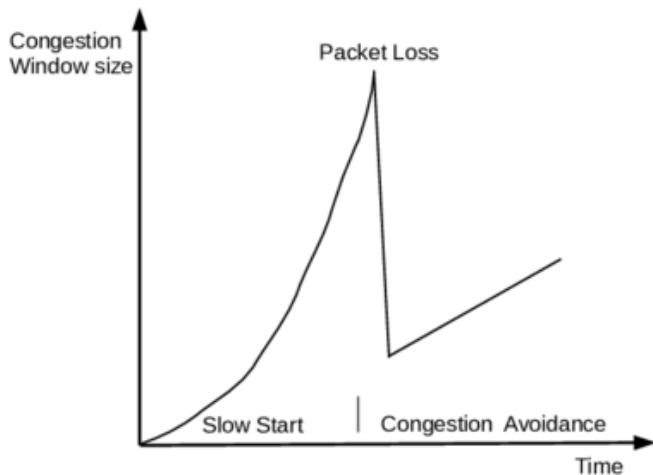


Fun fact

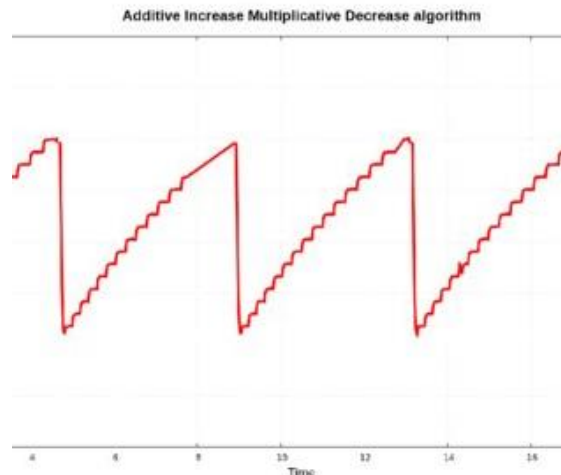


Fun fact

As **cwnd** increases/decreases at least by SMSS value, its real graph never contains inclined line segments, but only horizontal or vertical segments! So:



This is technically inaccurate! But totally OK to see the whole picture



In reality it is like that



Tahoe – Congestion avoidance



Core ideas:

1. Uses **packet loss** as a sign of congestion (feedback type/input).
2. Uses AIMD approach as action profile (control/output).

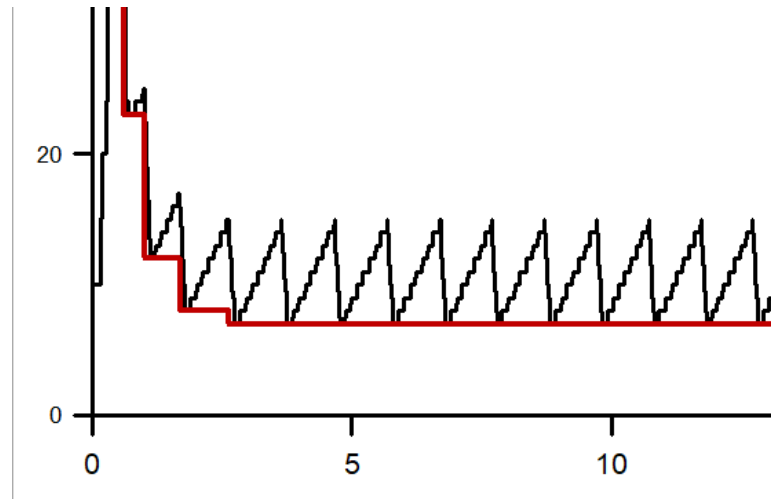
Has two modes (as any other algorithm):

1. With no observed signs of congestion.
2. With signs of congestion detected.

cwnd control rules:

$$cwnd = \begin{cases} cwnd + a & \text{if congestion is not detected} \\ cwnd * b & \text{if congestion is detected} \end{cases}$$

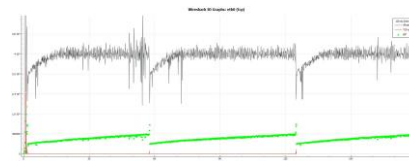
For Tahoe, Reno $a = \frac{1}{cwnd}$; $b = 0.5$



*Refer to Christian's session for more details



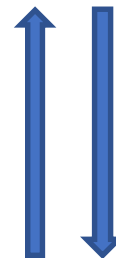
Fun Facts



Tahoe was created using “bottom-up” approach: **packet-level rules** first, macroscopic view (**flow-level**) second.

All subsequent CA algorithms (almost) were developed using the opposite “top-down” approach: **flow-level first** (this is what I want to achieve / address), **packet-level rules second** (this is how I achieve that).

Flow level



Packet level

$$cwnd = \begin{cases} cwnd + a & \text{if congestion is not detected} \\ cwnd * b & \text{if congestion is detected} \end{cases}$$



Fun Facts



Have you ever thought about: why AIMD? Not MIMD, AIAD, MIAD?

The answer is simple – its behavior is the best among others.

- MIAD → not stable, tends to overload a network.
- MIMD → less fairness
- AIAD → less fairness
- AIMD → tends to achieve efficiency + fairness. “React **quickly** to bad news, react **slowly** to good news”.



Fun Facts



True or False?

- AIMD is an obsolete congestion control algorithm, nowadays we have better ones.

True or False?

- All congestion control algorithms since Tahoe react to packet loss.

True or False?

- **cwnd** in Reno in Congestion Avoidance phase grows as straight line until packet loss is detected.



Fun Facts



True or False?

- AIMD is an obsolete congestion control algorithm, nowadays we have better ones – **Partially true!**
- **True:** AIMD itself is not a congestion control algorithm, this is just an approach, pattern to behave while in congestion control stage. Many modern algorithms also use AIMD approach, but it's being eventually switched from. Remember also: AIMD \neq Reno

True or False?

- All congestion control algorithms react to packet loss – **FALSE!**
- **True:** There many kinds of congestion control algorithms. Many of them indeed react to packet loss, but many others use different feedback type – delay. So, transition to congestion avoidance state could be done with no observed packet loss at all!

True or False?

- **cwnd** in Reno (CA stage) grows as straight line until packet loss is detected – **FALSE!**
- **True:** In addition to “staircase-shape” although this line looks straight, it is not! The more **cwnd** size is, the less slope of this line is (refer to “Convergence” slide to see it!). Chances are we'll reach packet loss too early to spot this.



Let's rate it!

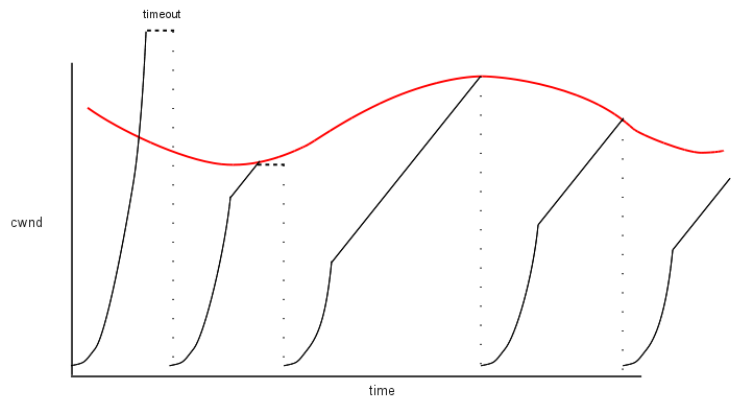


Well, how to decide which algorithm is better?

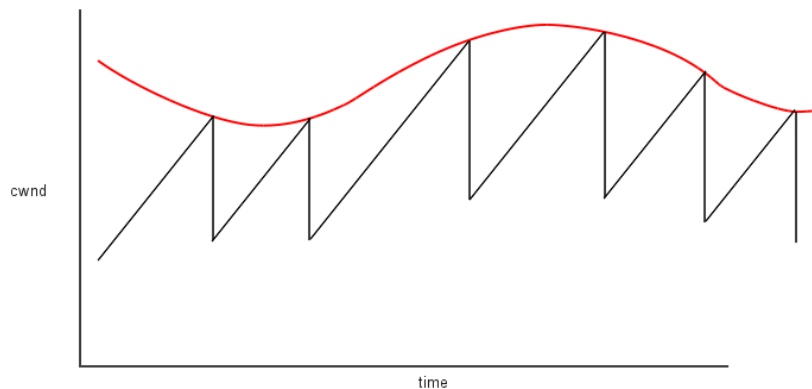
1. **Efficiency** (how full and steady is bottleneck utilization?)
2. **Fairness** (how we share bottleneck capacity?)
3. **Convergence capability** (how fast we approach equilibrium state? How much we oscillate later?)
4. **“Collateral damage”** (buffer overflow event rate, self-inflicted latency)



Efficiency



Tahoe: bad



Reno: better, but not ideal



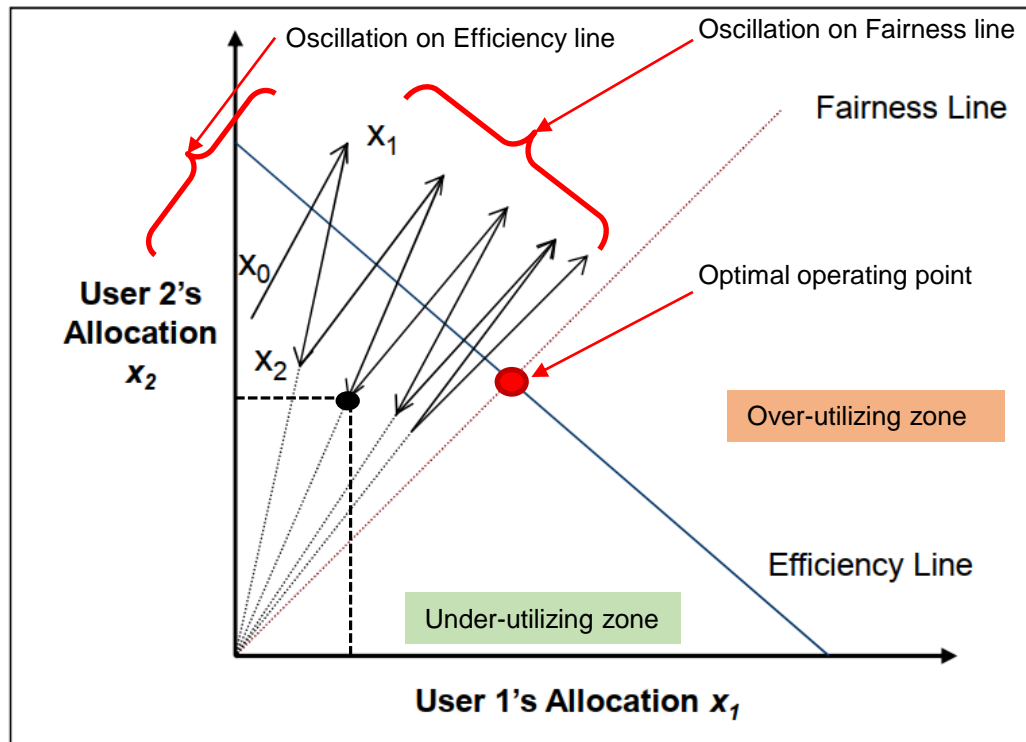
Fairness, convergence



Introducing: **Phase graph**

Shows efficiency, fairness and convergence.

Here: an example for two senders.

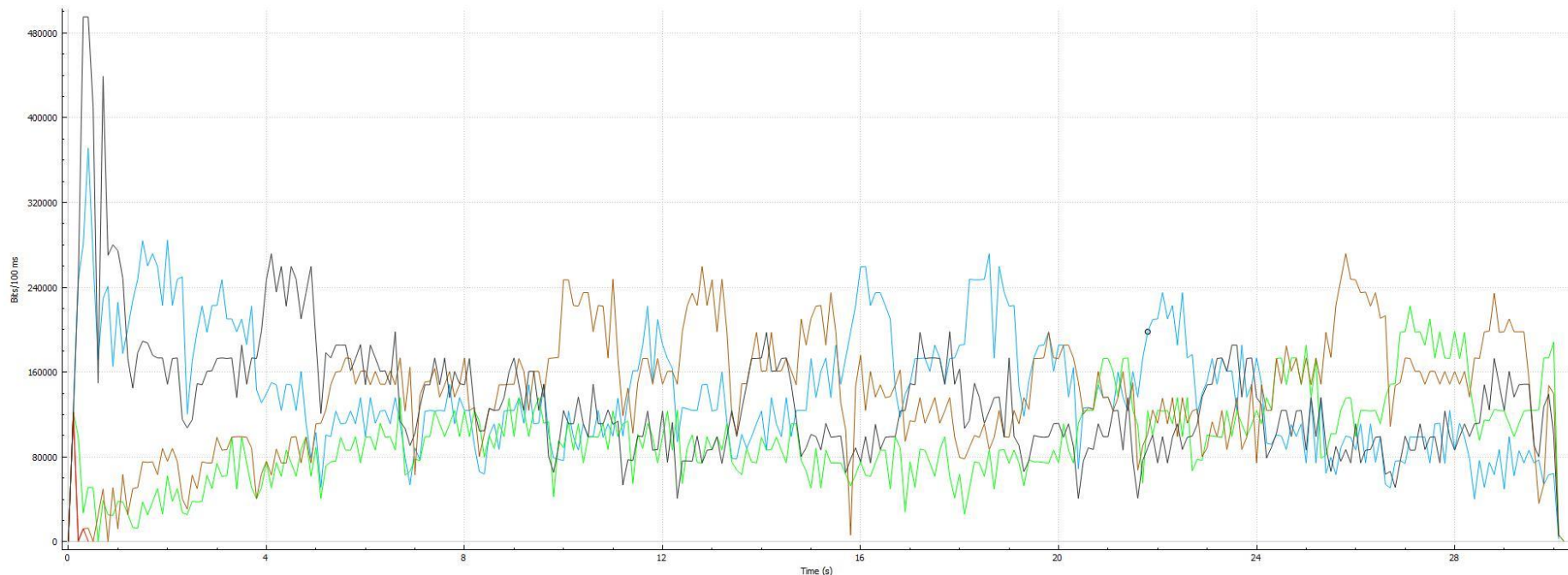




Fairness (5 streams Reno)



Wireshark IO Graphs: Realtek PCIe GBE Family Controller: eth0 (tcp)



But what about non-TCP protocols? See later..



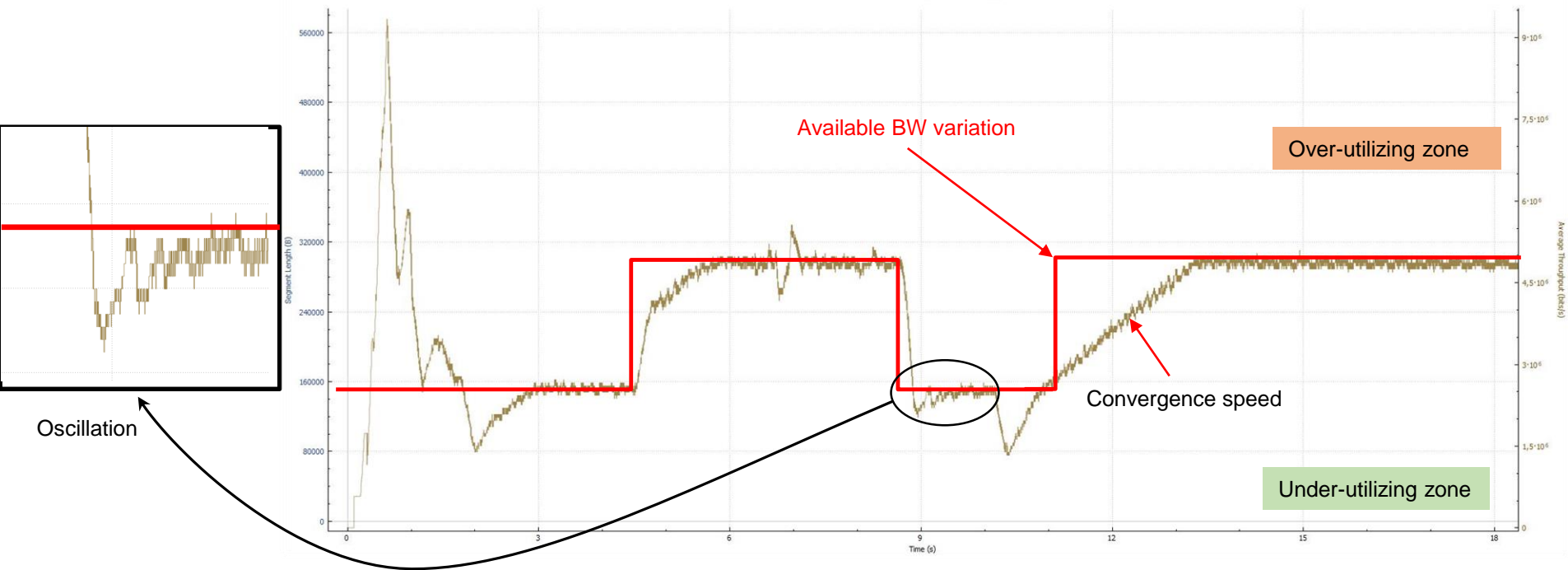
Convergence (1 stream)



RENO, RTT 100ms, 5 / 2.5 Mbit/s variable BW

Throughput for 10.10.10.10:51220 → 10.10.10.12:52491 (MA)

Realtek PCIe GBE Family Controller: eth0 (tcp)

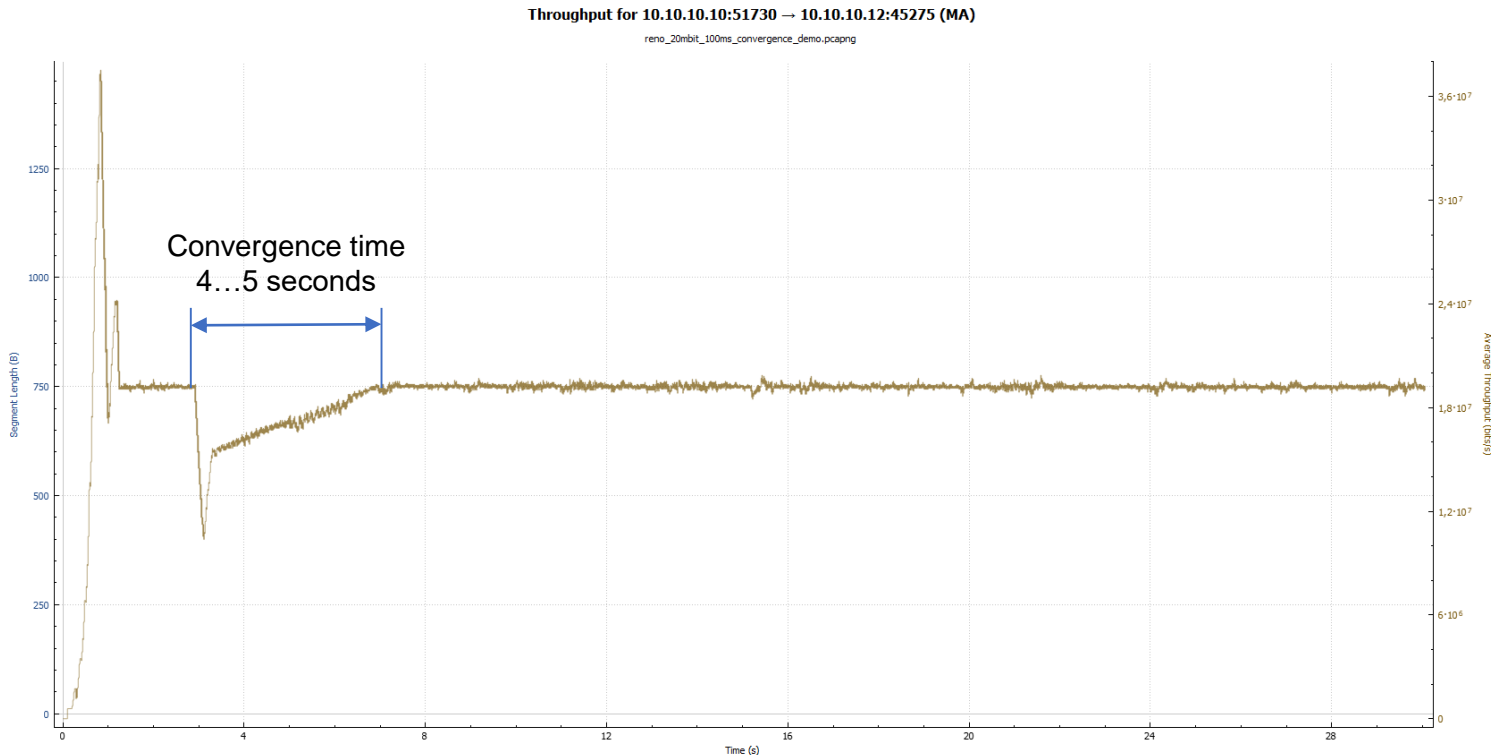




Convergence (1 stream)



RENO, RTT 100ms,
20 Mbit/s constant BW

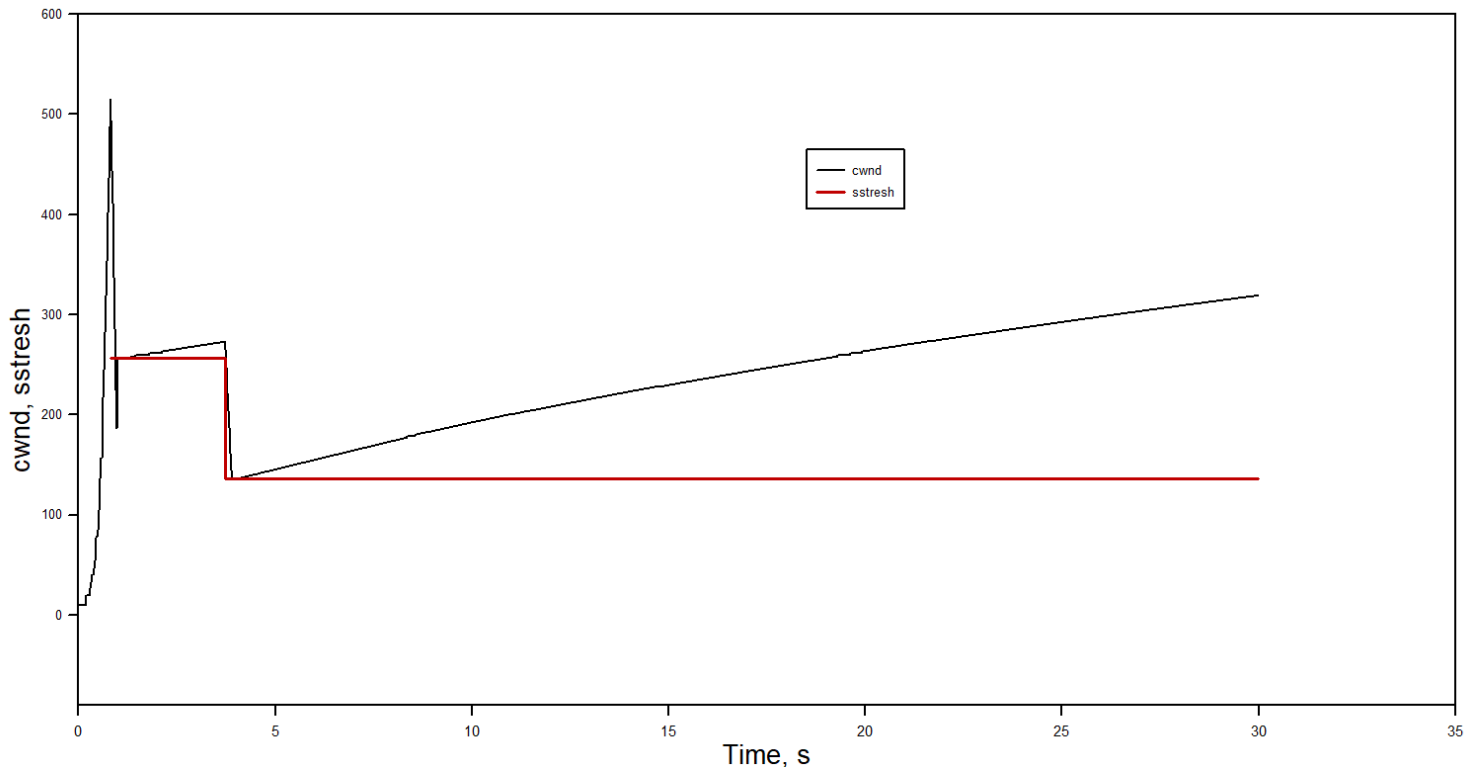




Convergence (1 stream)



RENO, RTT 100ms,
20 Mbit/s constant BW



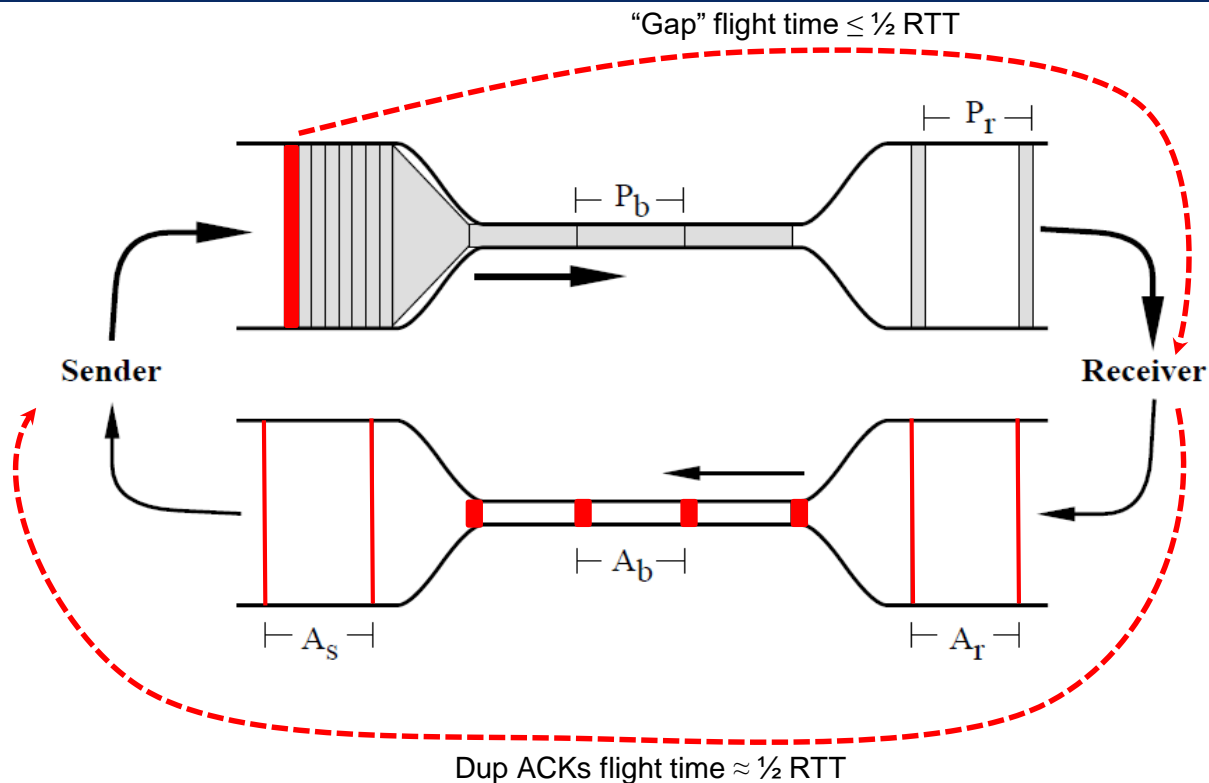


Complex challenges - 1



“Late news!”

- The sender will know about “data leaving network rate” not instantly, but only after $\frac{1}{2}$ RTT.
- With packet drop at the beginning of a path – it’s getting worse.
- All this time the sender was sending more and more packets! Probably already starting to slide down the cliff.
- It is getting worse when RTT increases.





Complex challenges - 2



Non-TCP-compatible flows, unresponsive flows (“fairness” and “TCP friendliness”).

- ✓ **Non-TCP-compatible** is a flow which reacts to congestion indicators differently, not like TCP.
- ✓ **Unresponsive** is a flow which does not react to congestion indicators at all.

“Fairness”	“TCP friendliness”
This is how TCP flows with the same CA algorithm share bottleneck BW with each other. A part of it is “RTT fairness”.	This is how non-TCP flows or TCP flows with different CA algorithms share bottleneck bandwidth.

2 possible solutions of this problem:

- ✓ TCP friendly rate control [RFC5348] concept – intentional rate limiting. * a part of many modern CA algorithms.
- ✓ Call for help (“network assisted congestion control”).



TCP friendly rate control



Core idea: create an equation for T (sending rate, packets/RTT) with argument p (packet loss coefficient).

$$T=f(p)$$

For standard TCP (Reno) the equation is:

$$T= \frac{1.2}{\sqrt{p}}$$

- ✓ Comparing actual T to “Reno $-T$ ” we can analyze *relative fairness* i.e. how aggressive protocol is vs. standard TCP.
- ✓ Equations might be much more complex and take into account RTT, packet size.

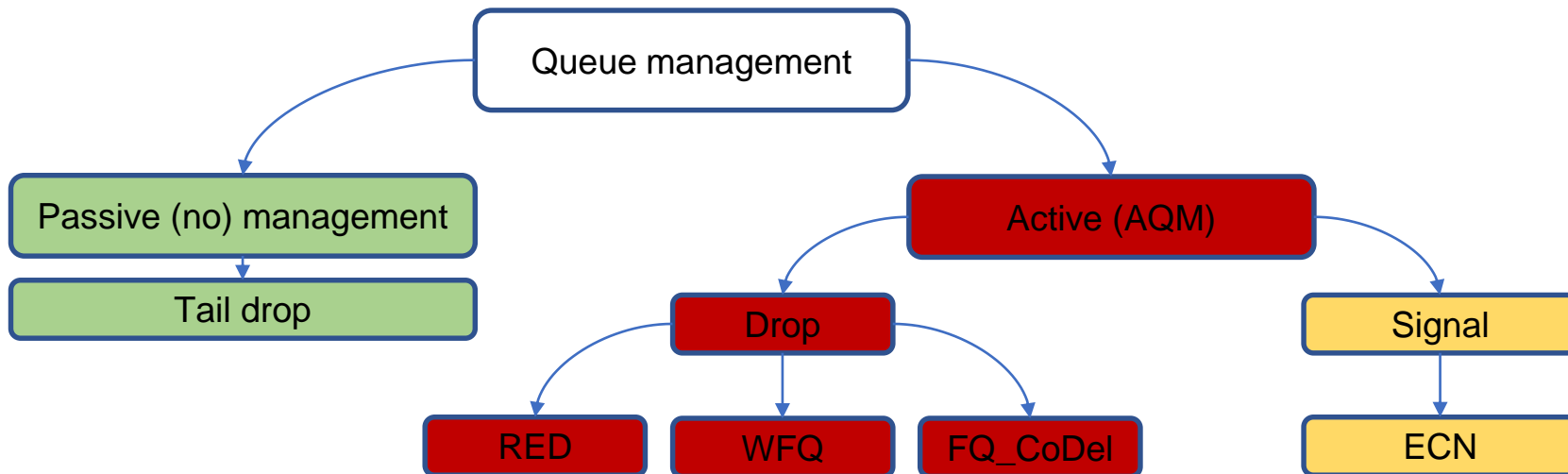


Call for help!



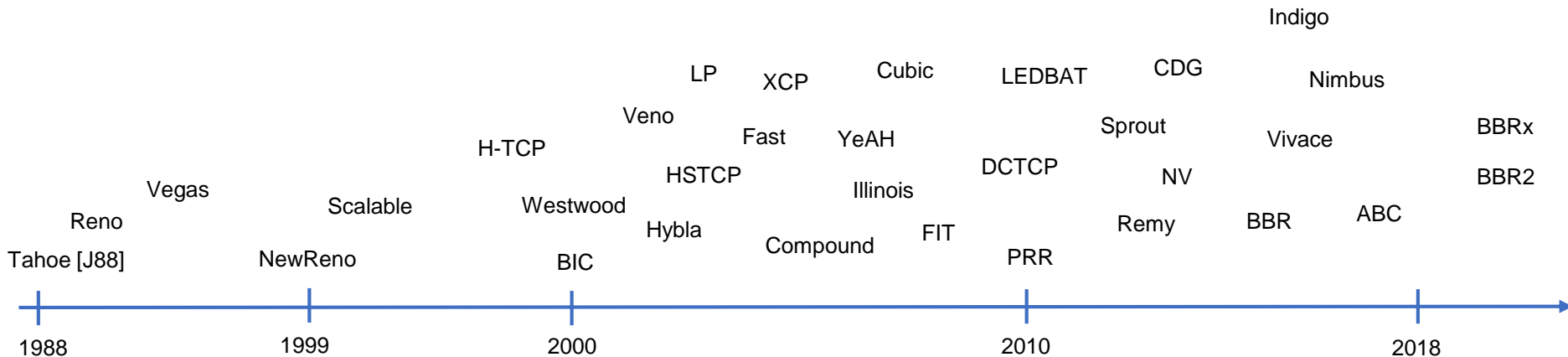
Sometimes this isn't enough so to **ask network for help** is a good idea!

- ✓ Routers know their own state (buffer load, link speed).
- ✓ Router can separate different kinds of flows.





Timeline





Reno (1998)



Core idea:

Tahoe + “Fast Recovery”.

What do we address: non-optimal behavior during loss recovery.

Operation:

- Send Fast retransmission and then:
- Set ***sstresh*** to ***cwnd***/2, set ***cwnd*** to ***sstresh***+3.
- Increase ***cwnd*** on 1 SMSS for every received next Dup ACK (“inflation phase”).
- Decrease ***cwnd*** to ***sstresh*** after receiving higher ACK (“deflation phase”).

Reason: we treat Dup ACKs stream as **good** sign (because packets somewhere are leaving our network!) But we are stuck with “unacknowledged” window edge because of packet loss and can’t use capacity becoming available. So let’s manipulate ***cwnd*** temporarily for this period and bring things back when it ends.



New Reno [RFC3782]



Core idea:

“The Default One”

This is Reno + improved packet loss handling (**only for multiple segments loss**).

What do we address: loss burst.

Reason:

If multiple segments were lost, this can mess up our “inflate-deflate” strategy. We’ll deflate ***cwnd*** even if we receive ***partial ACK*** (higher than the one in Dup ACK stream, but lower than packet we sent last before loss). Therefore we’ll deflate ***cwnd*** too early!

Solution:

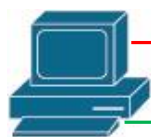
- Remember highest SEQ at the moment of packet loss detection (“***Recovery point***”).
- Do NOT deflate ***cwnd*** unless we receive an ACK for Recovery Point.



Test system



Senders (physical)



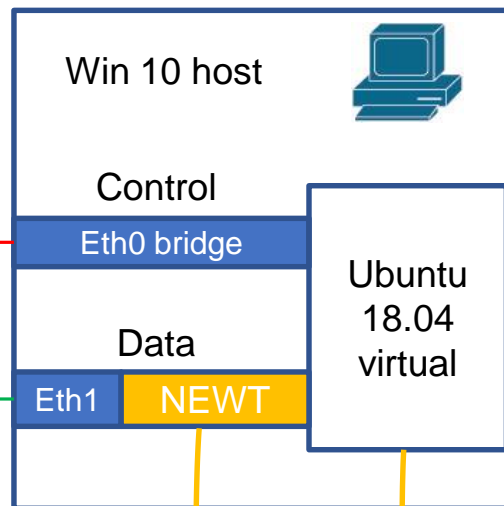
Control



Data



Mirror



Software:



Software 1 - NEWT



test_tcp_1.xml - Network Emulator for Windows Toolkit

VirtualChannel 1

Local Application

LINK_1
Instance Count: 1
UpStream:
Latency Type: FIXED_LATENCY
Bandwidth: 300,000 kbps
Queue Type: NO_QUEUE
DownStream:
Latency Type: FIXED_LATENCY

Network

All Cards 02-00-4c-4f-4f-50 00-50-fc-8c-4e-96 00-02-44-12-04-fc 00-1b-21-55-9e

Configuration RT Traffic Monitor RT Packet Monitor Connection Analyzer Information Ready

LINK_1 Upstream Property (Incoming Traffic)

Loss Error Latency BW&Queue BG Traffic Reorder Disconnection

No Latency

Fixed
Latency 50 ms

Uniform Distributed
Min 1 ms Max 1 ms

Normal Distributed
Average 1 ms Deviation 1 ms

Linear
Min 1 ms Max 1 ms
Period 1 sec

Burst
Min Period 1 sec Max Period 1 sec
Probability 0 Latency 1 ms

OK Отмена Применить

Filter List Property

Network Type

All Network

IPv4
Local IP 255 . 255 . 255 . 255 IP Mask 0 . 0 . 0 . 0
Remote IP 255 . 255 . 255 . 255 IP Mask 0 . 0 . 0 . 0

IPv6
Local IP FFFF : FFFF : FFFF : FFFF : FFFF : FFFF : FFFF : FFFF
IP Mask 0000 : 0000 : 0000 : 0000 : 0000 : 0000 : 0000 : 0000
Remote IP FFFF : FFFF : FFFF : FFFF : FFFF : FFFF : FFFF : FFFF
IP Mask 0000 : 0000 : 0000 : 0000 : 0000 : 0000 : 0000 : 0000

Local Port 0 - 65535 Remote Port 0 - 65535
Protocol ALL Adapters 00-50-fc-8c-4e-96

Add Delete Modify Close

Excl	Adapter Add...	Protocol	Local...	Local Port	Rem...	Remote Port
<input type="checkbox"/>	00-50-fc-8c-...	ALL		0 - 65535		0 - 65535
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						
<input type="checkbox"/>						

<https://blog.mrpol.nl/2010/01/14/network-emulator-toolkit/>



Software 2 - flowgrind



- ✓ Allows separation between control and data traffic.
- ✓ Large number of monitored values (including current **cwnd** and **sstresh** size, yeah!)
- ✓ Various traffic generation patterns.
- ✓ Individual TCP flow parameters setting.
- ✓ An ability to start flow from any PC running flowgrind daemon.
- ✓ Possibility to redirect output table to text file for parsing.

- Sensitive to incorrect arguments (often gets stuck and reboot is needed).
- Problems with NAT'ed endpoints.
- No Windows version = no Compound TCP.

```

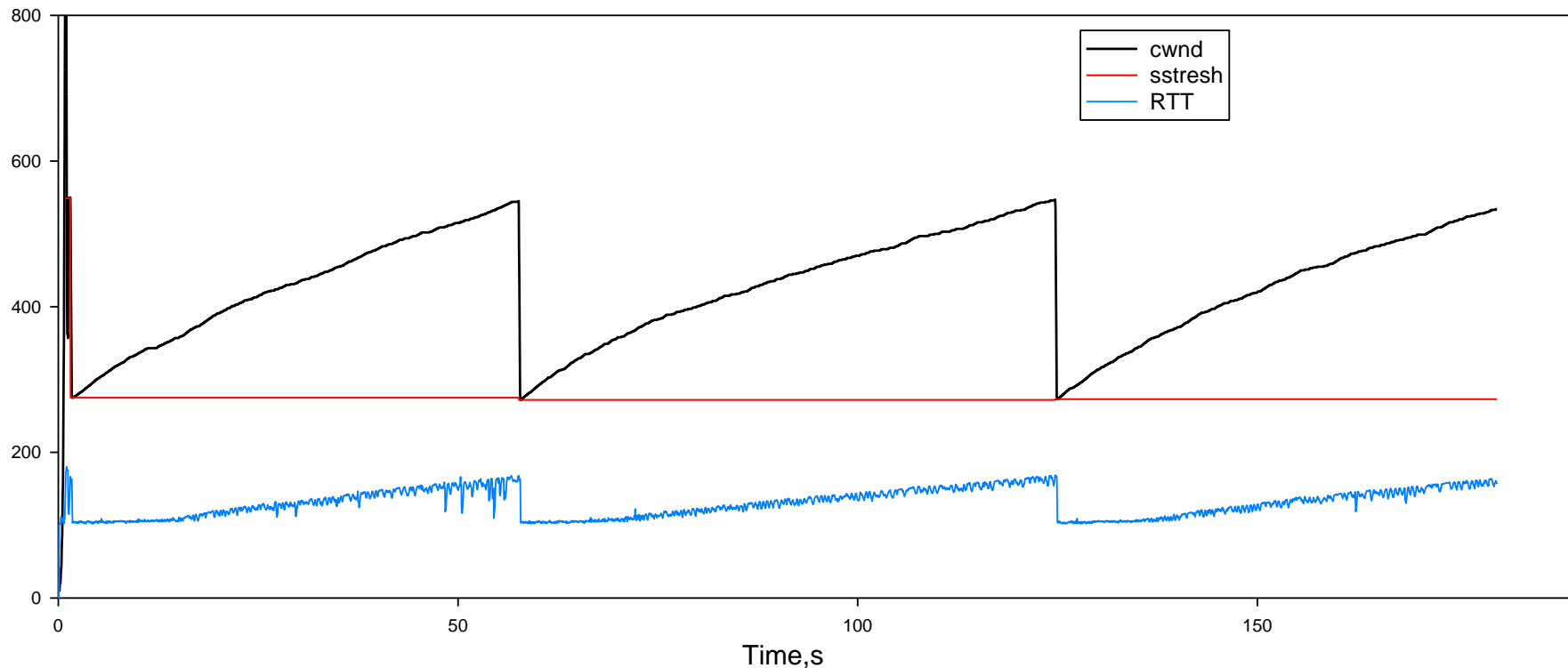
Vlad@vlad-946-S3:~$ sudo flowgrind
[sudo] password for vlad:
Running XMI-RPC server...
Vlad@vlad-946-S3:~$ flowgrind -H s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP CONGESTION=reno -M s=192.168.112.253 -T s=100,d=0 -U s=65536
# Date: 2018-08-23-13:28:23, controlling host = vlad-946-S3, number of flows = 1, reporting interval = 0.05s, [through] = 10**6 bit/second (0.8.0)
# ID begin end through transac min IAT avg IAT max IAT cwnd ssth uack sack lost retr tret fack reor bkof rtt rtvar rto ca state smss pmtu
# [s] [s] [Mbit/s] [#] [ms] [ms] [ms] [#] [#] [#] [#] [#] [#] [#] [#] [#] [#] [ms] [ms] [ms] [B] [B]
S 0 0.000 0.081 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.000 0.052 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.051 0.101 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.052 0.104 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.101 0.153 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.104 0.157 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.153 0.205 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.157 0.209 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.205 0.259 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.209 0.251 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.259 0.301 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.251 0.303 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.301 0.353 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.303 0.355 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.353 0.405 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.355 0.408 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.405 0.459 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.401 0.453 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.459 0.510 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.453 0.506 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.510 0.560 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.506 0.558 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.560 0.612 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.558 0.601 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.603 0.653 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.601 0.653 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.653 0.704 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.653 0.700 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.704 0.755 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.705 0.757 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.755 0.807 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.757 0.810 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.807 0.858 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.810 0.853 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.858 0.900 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.853 0.905 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.900 0.951 0.000000 0.00 inf inf inf 10 INT_MAX 1 0 0 0 0 0 0 3 0 0.0 250.0 1000.0 open 524 1500
D 0 0.905 0.957 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 0.951 1.002 0.000000 0.00 inf inf inf 1 5 1 0 1 1 1 3 1 0.0 250.0 2000.0 loss 524 1500
D 0 0.957 1.000 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0
S 0 1.002 1.052 0.000000 0.00 inf inf inf 1 5 1 0 1 1 1 3 1 0.0 250.0 2000.0 loss 524 1500
D 0 1.000 1.051 0.000000 0.00 inf inf inf 0 0 0 0 0 0 0 0 0 0 0.0 0.0 0.0 open 0 0

```

<http://manpages.ubuntu.com/manpages/bionic/man1/flowgrind.1.html>



NewReno on 40Mbps_100ms link

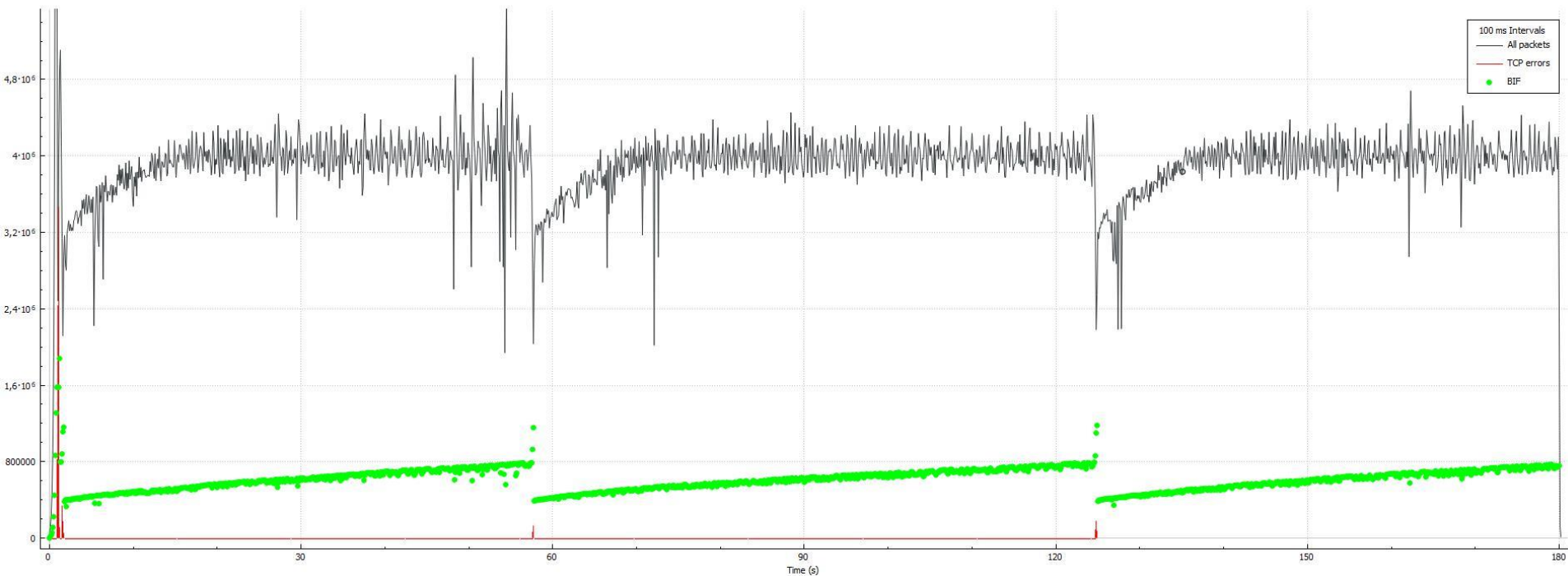




NewReno



Wireshark IO Graphs: eth0 (tcp)



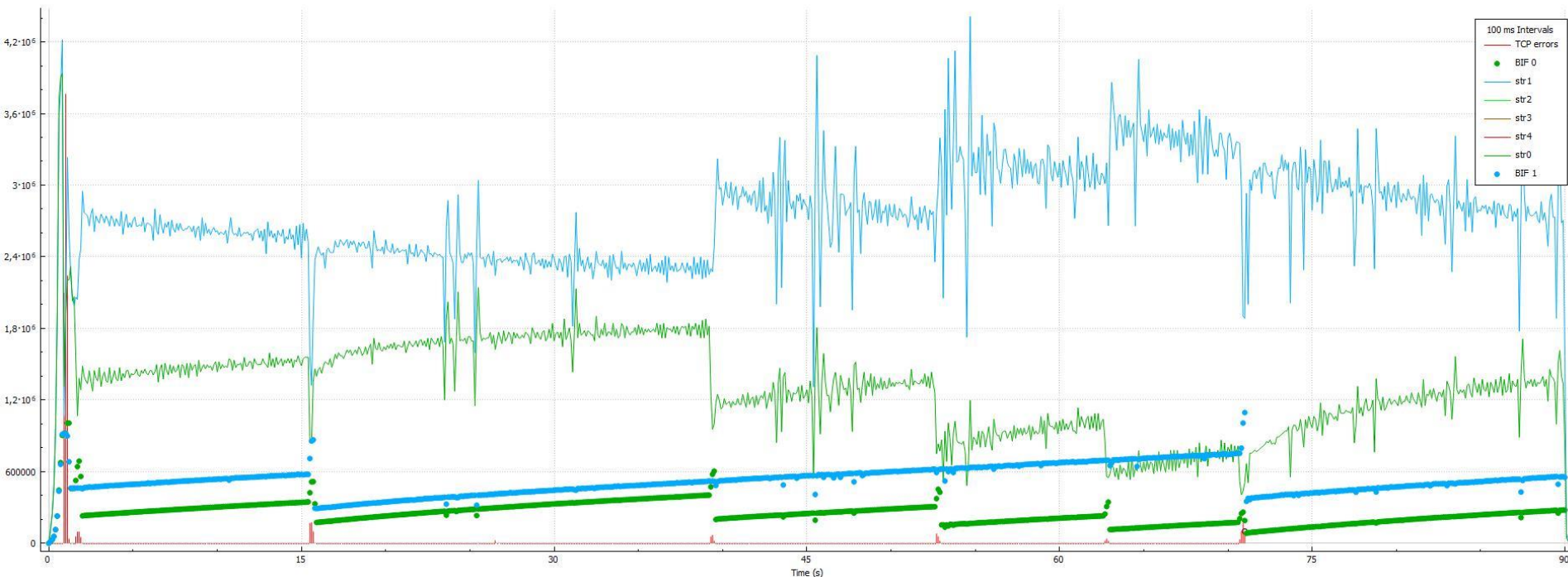
Collateral damage: Almost **3** Buffer overflows / **797k** Total Packets



NewReno



Wireshark IO Graphs: reno.pcapng



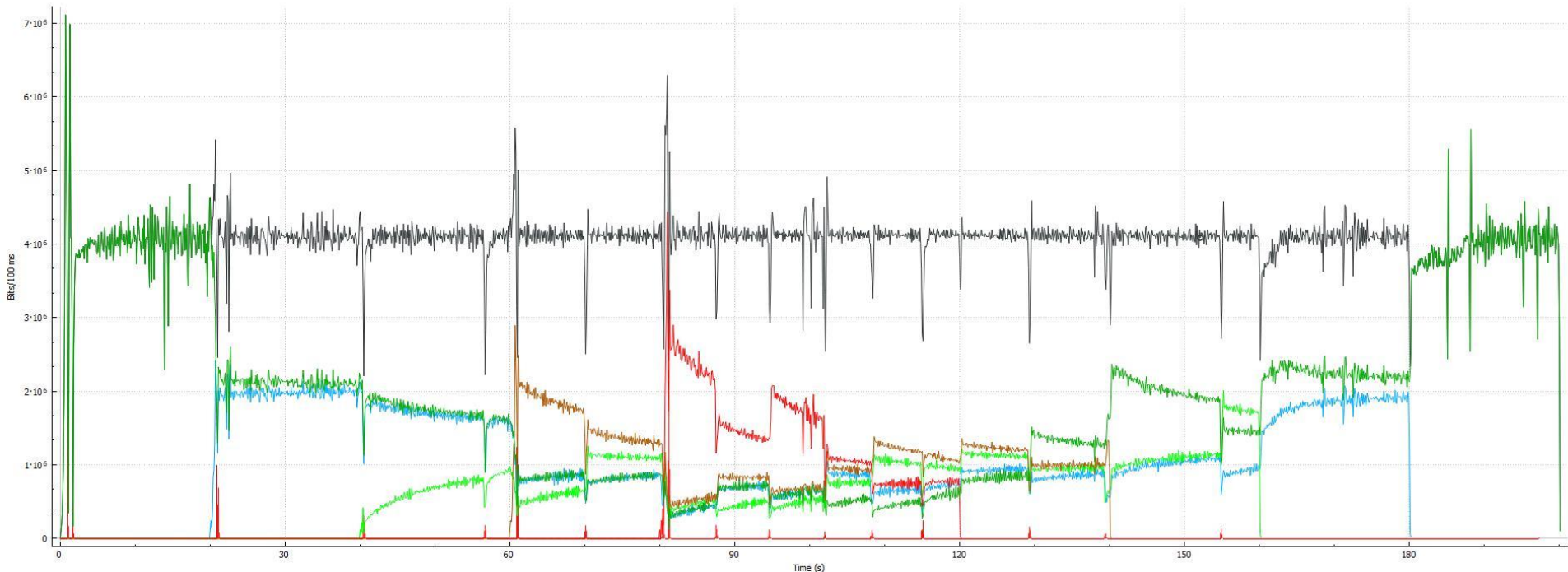
vs. Reno Friendliness



NewReno



Wireshark IO Graphs: reno.pcapng



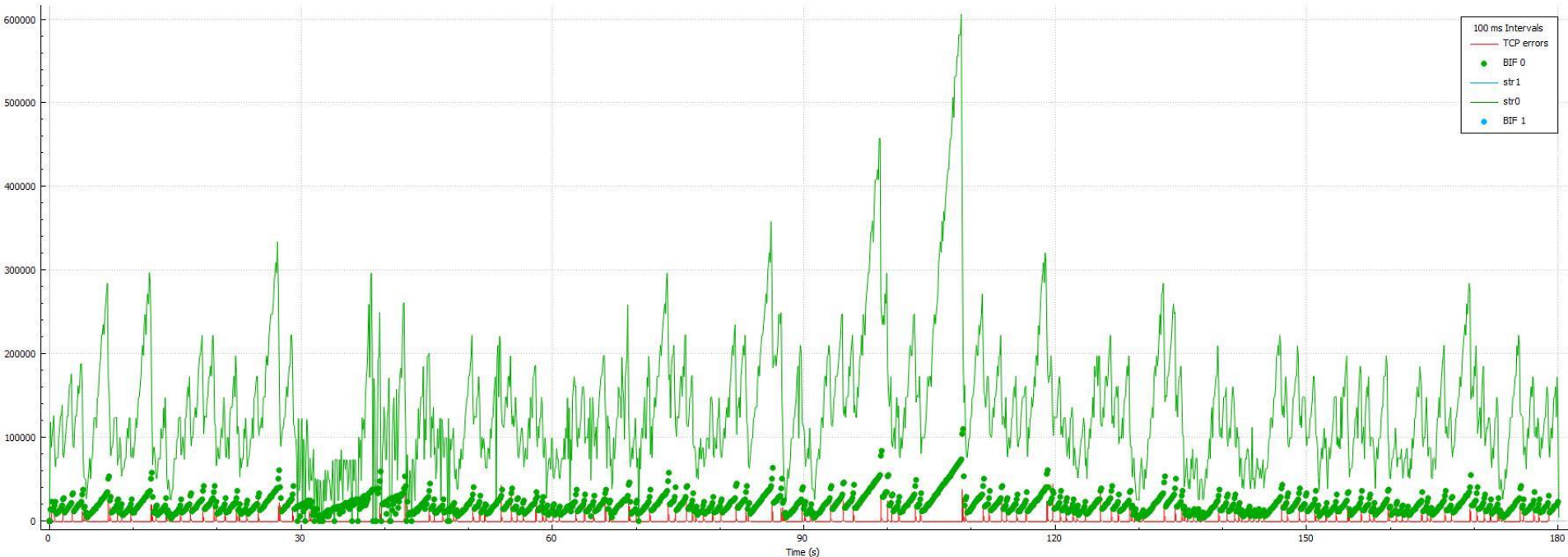
5-stream convergence



NewReno



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior



Further progress



Several problems were observed with Reno:

- ✓ NewReno was doing its job fine those days, but later with the raise of LFN and wireless it became clear that...
- × It can't work efficiently on high-BDP links (because ***cwnd*** fixed additive increase algorithm is too slow and $\frac{1}{2}$ ***cwnd*** drop is too much). To utilize fully 1Gbps link with 100ms RTT it needs packet loss rate of 2×10^{-8} or less. With 1% loss in this link it can't go faster than 3Mbps. After packet loss event it needs 4000 RTT cycles to recover.
- × It treats any packet loss as congestion indicator (not good for wireless networks).
- × Often visits “cliff” area (this is common among all loss-based algorithms).
- × Has 1-Bit congestion indicator = inevitable high oscillation level (this is common among all loss-based algorithms).



Further progress



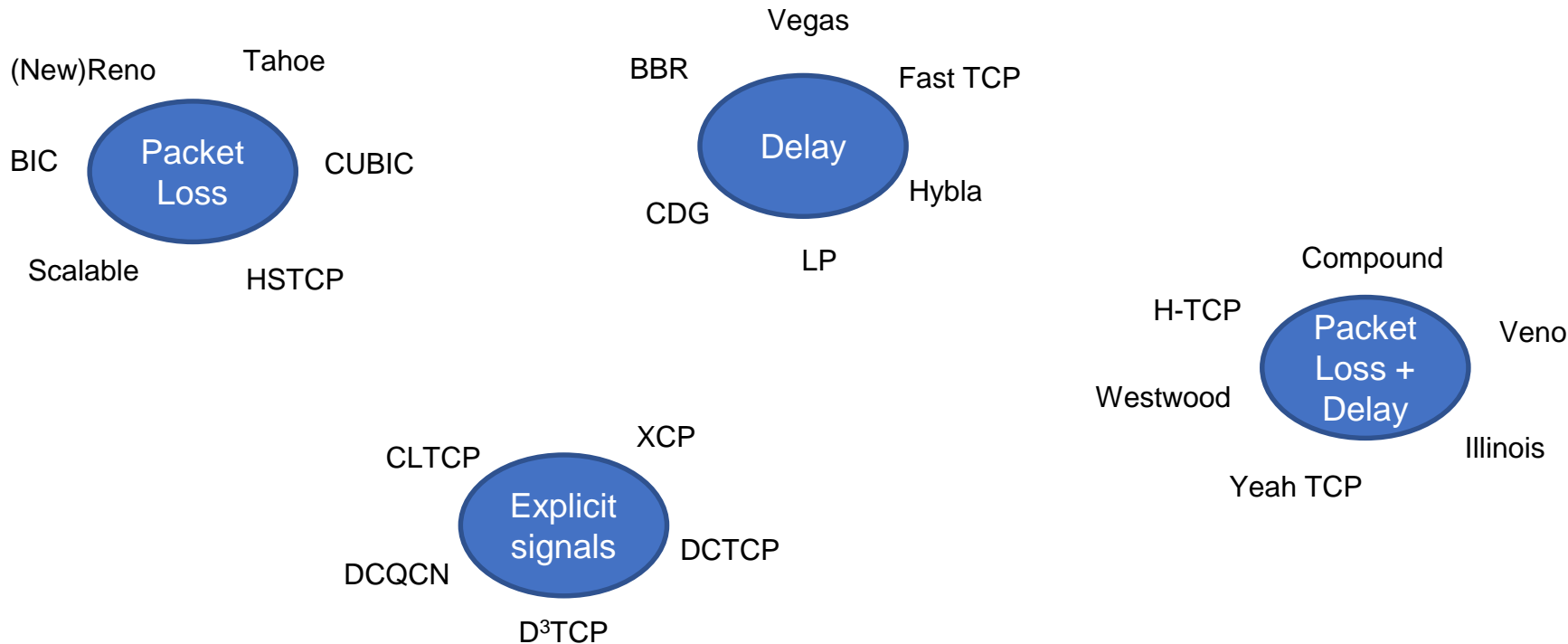
How to make CC algorithm perform better? What to play with?
Remember **feedback type** and **control**? Let's play with them!

Feedback type:

- Packet loss
- Delay
- Both of them
- ACKs inter-arrival timing
- Explicit signals (ECN)



CA – feedback types





CA – control (action) tweaking



What about **control**?

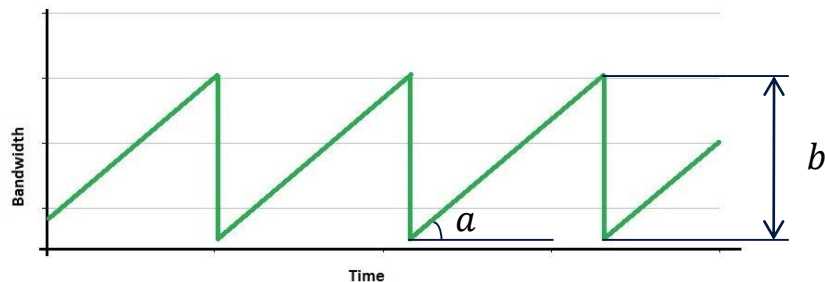
Step 1. Playing with AIMD factors.

$$cwnd = \begin{cases} cwnd + a & \text{if congestion is not detected} \\ cwnd * b & \text{if congestion is detected} \end{cases}$$

We can play with a factor

We can play with b factor

Therefore changing angle and “drop height”.



Step 2. Adding variability.

Not constant a , but $a=f(\text{something})$
Same with b .

Step 3. Shifting from AIMD to entirely different model.

(The most recent approach).



Scalable TCP – first “high BDP” try



Core ideas:

“Psycho”

[Source](#)

1. Aimed to deal with high BDP (first and simplest attempt to do it).
2. Uses **packet loss** as feedback (loss-based).
3. Uses **MIMD** approach as action profile (!).

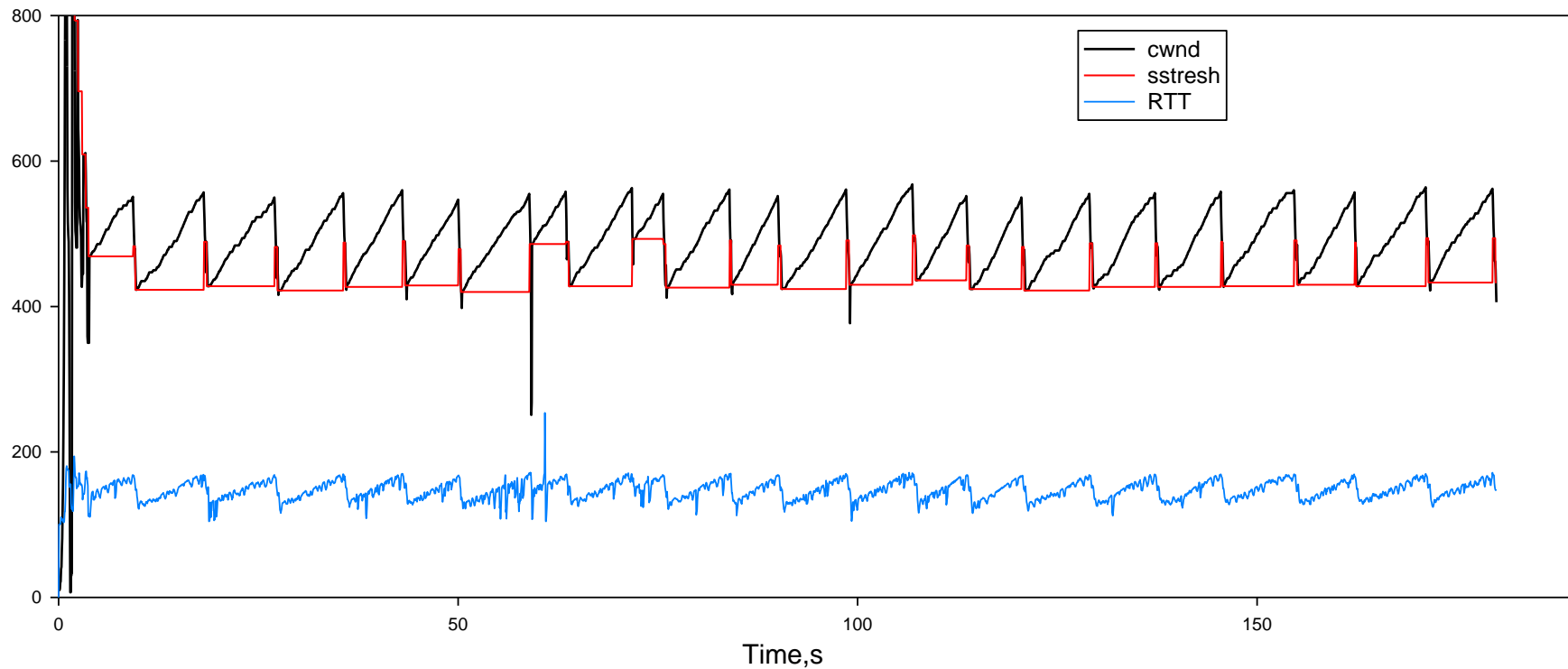
cwnd control rules:

$$cwnd = \begin{cases} cwnd + 0.01 * cwnd & \text{if congestion is not detected} \\ cwnd * 0,875 & \text{if congestion is detected} \end{cases}$$

- ✓ Much more efficient than Reno in high BDP networks.
- ✓ Recovery time after packet loss (200ms RTT, 10Gbps link) – 2,7 sec.
- × RTT fairness, TCP friendliness – terrible. Kills Reno easily.



Scalable TCP

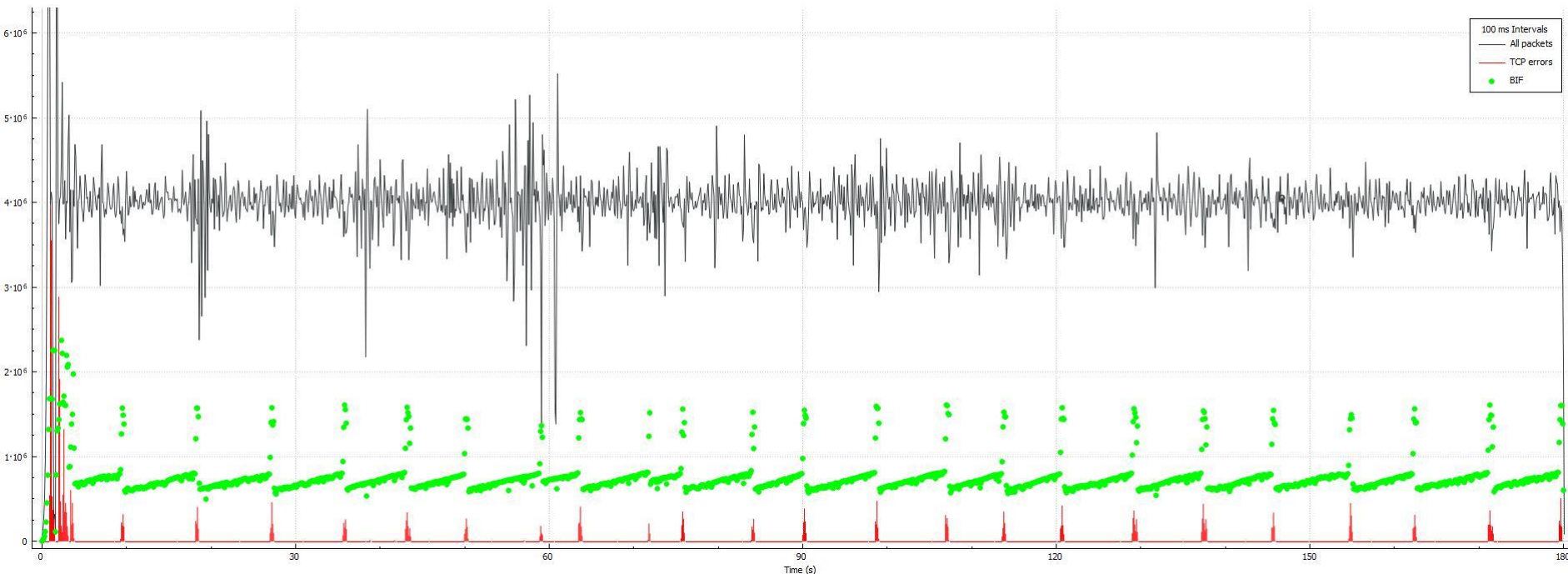




Scalable TCP



Wireshark IO Graphs: eth0 (tcp)



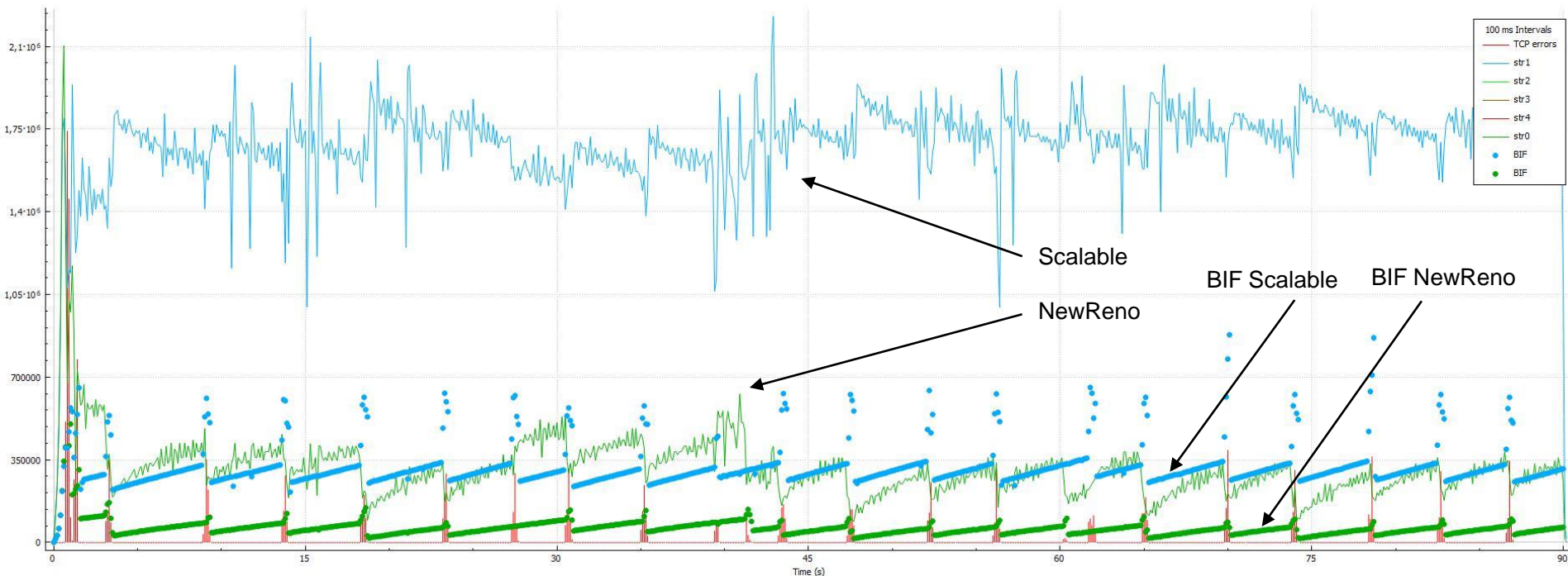
Collateral damage: **23** Buffer overflows / **812k** Total Packets



Scalable vs NewReno



Wireshark IO Graphs: eth0 (tcp)



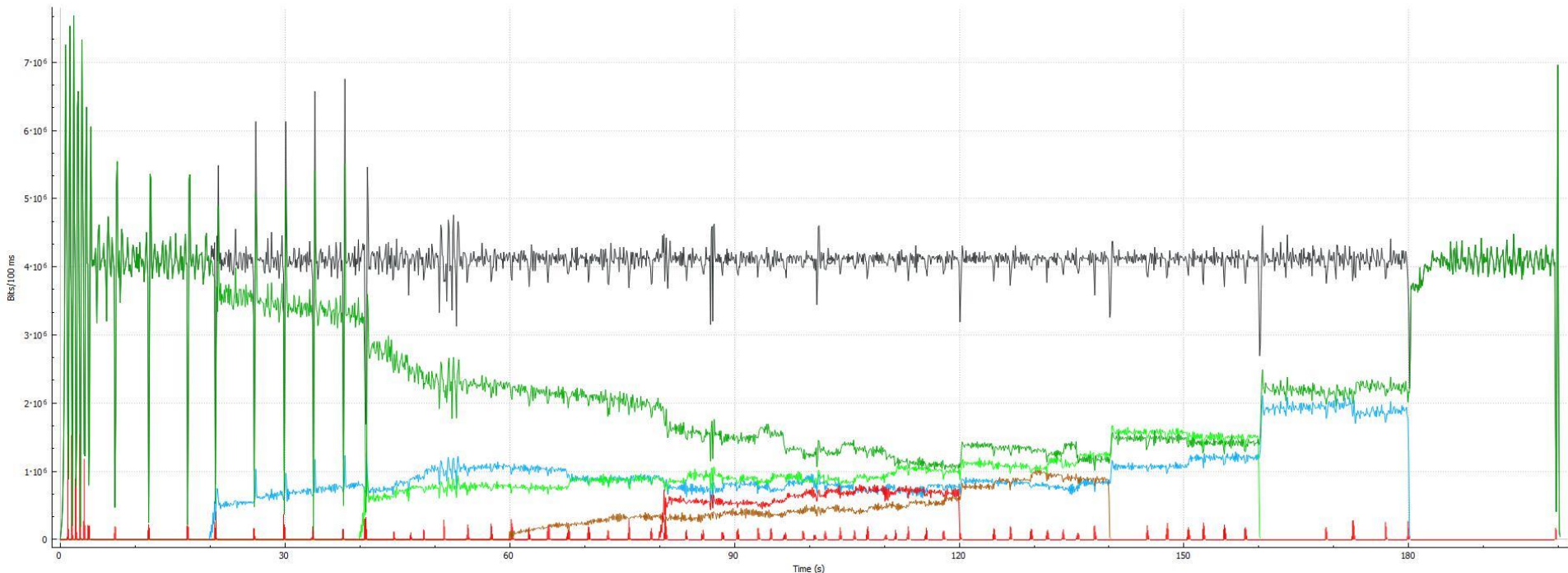
It's unfair to say the least. 20Mbps, 100ms link.



Scalable



Wireshark IO Graphs: scalable.pcapng



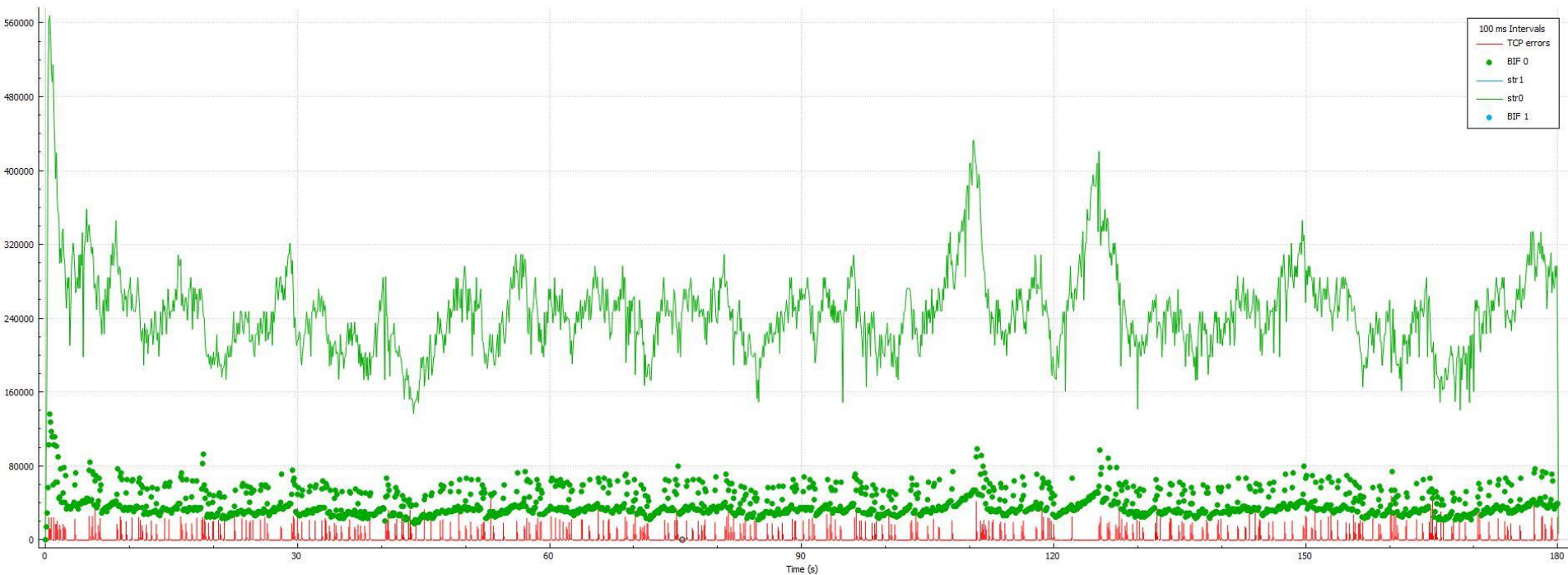
5-stream convergence



Scalable



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior



Highspeed TCP [RFC 3649]



Core ideas:

“Medicated psycho”

[Source](#)

1. Aimed to deal with high BDP.
2. Uses **packet loss** as feedback (loss-based).
3. Uses **AIMD** approach as action profile.
4. “Let’s live with Reno on low-BDP, but take what it can’t take on high-BDP”

cwnd control rules:

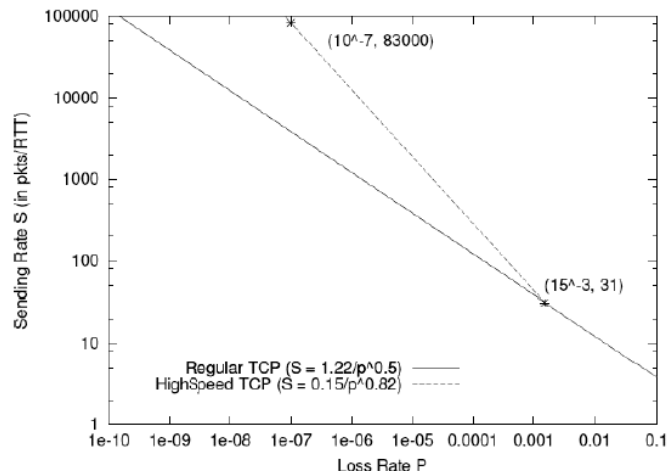
$$cwnd = \begin{cases} cwnd + a(cwnd)/cwnd & \text{if congestion is not detected} \\ cwnd - b(cwnd) * cwnd & \text{if congestion is detected} \end{cases}$$

Formula:

$$a(w) = 2P_0 W_0^2 b(w) / (2 - b(w))$$

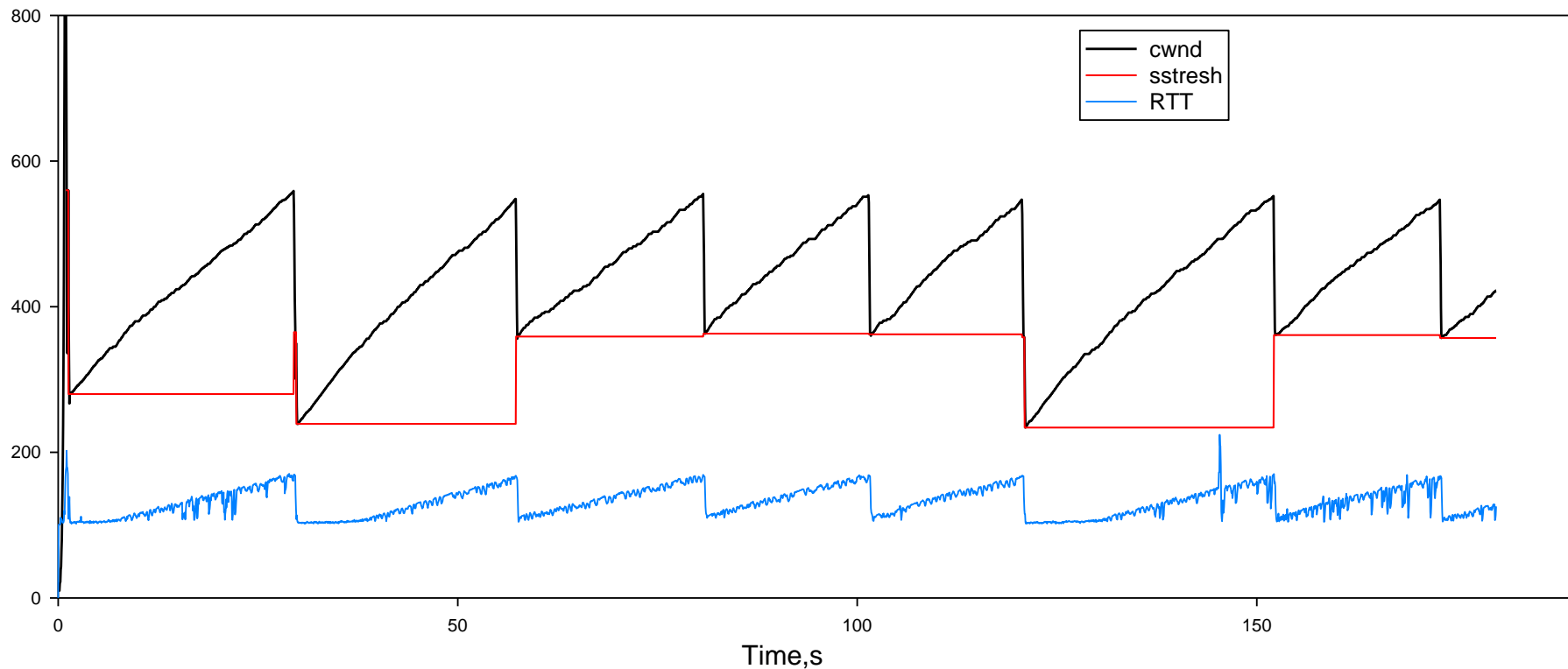
Main point is: a , b values depend on current **cwnd** size. If **cwnd** is less than $38 * SMSS$ -> act as Reno (more bits in input!)

- Behaves less aggressive if a path is not LFN (for TCP friendliness).
- × RTT fairness - still bad.





Highspeed TCP

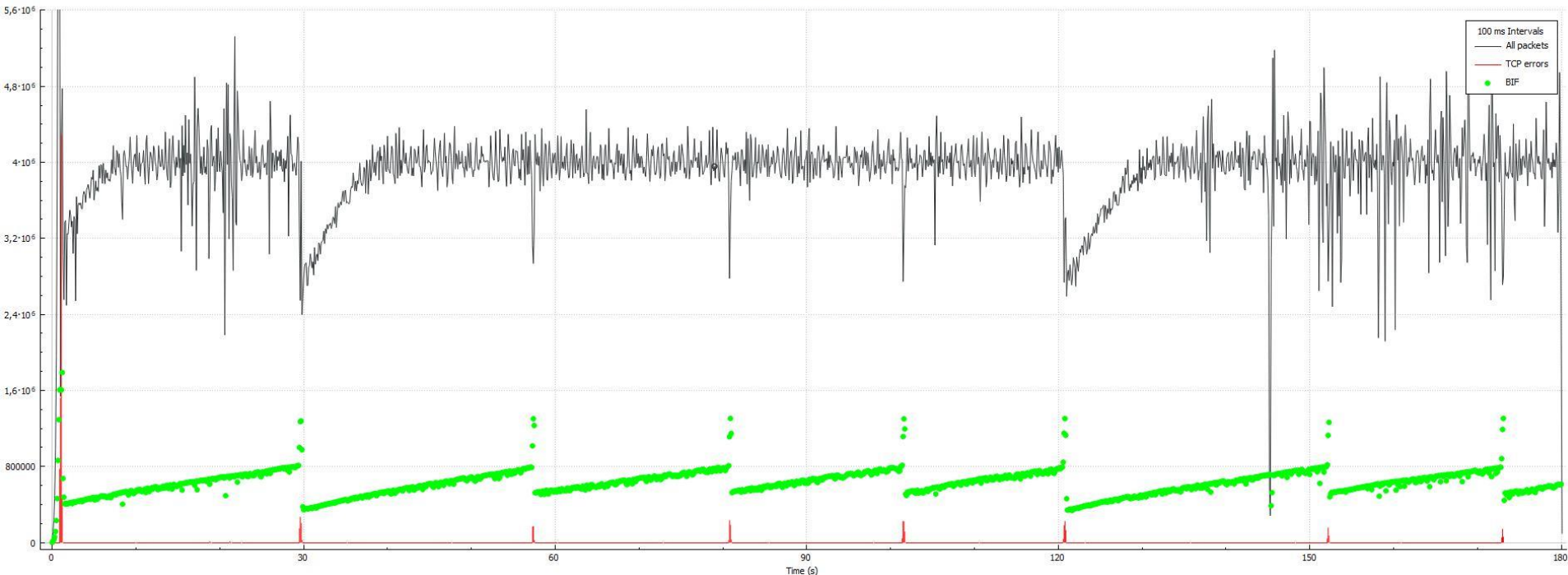




Highspeed TCP



Wireshark IO Graphs: eth0 (tcp)



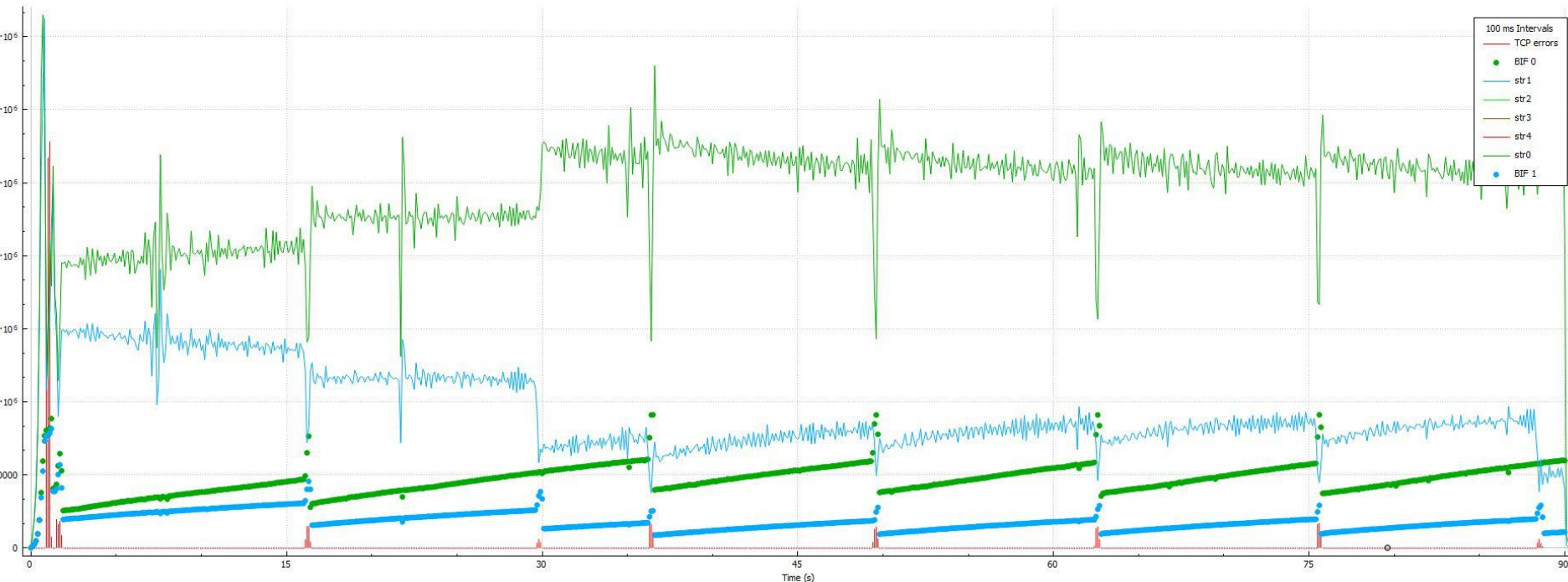
Collateral damage: **7** Buffer overflows / **790k** Total Packets



Highspeed TCP



Wireshark IO Graphs: highspeed.pcapng



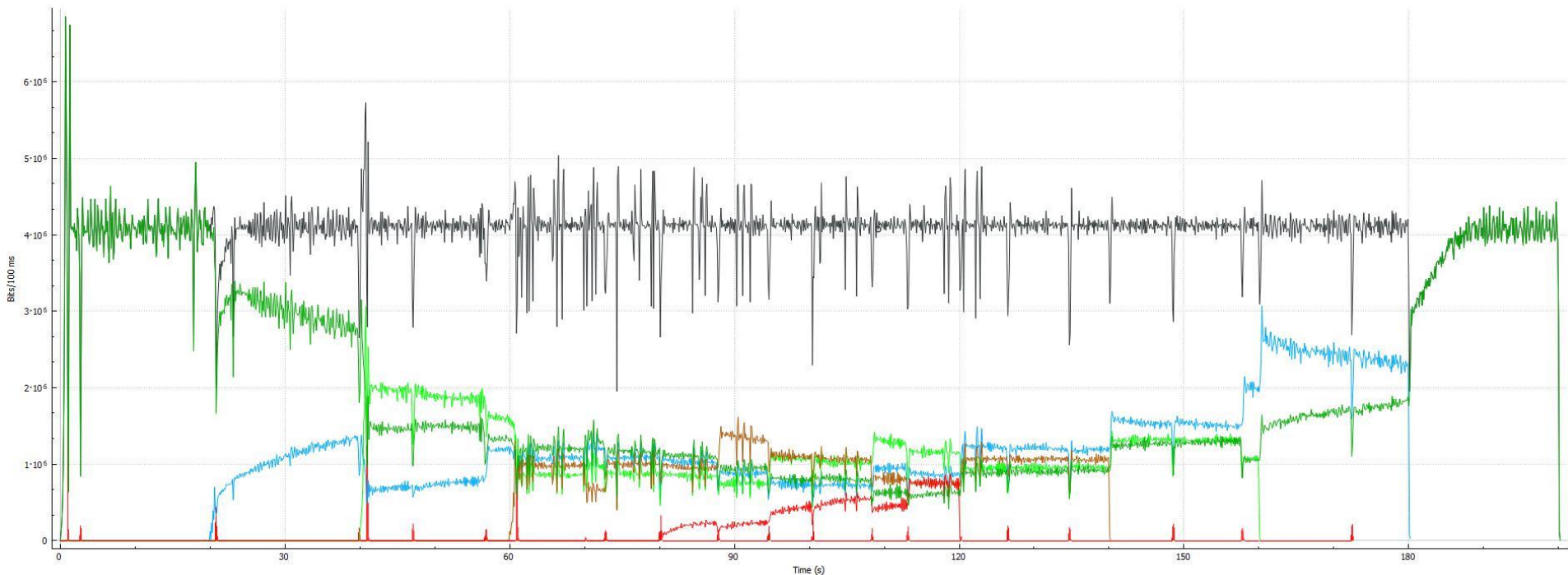
vs. Reno Friendliness



Highspeed TCP



Wireshark IO Graphs: hispeed.pcapng



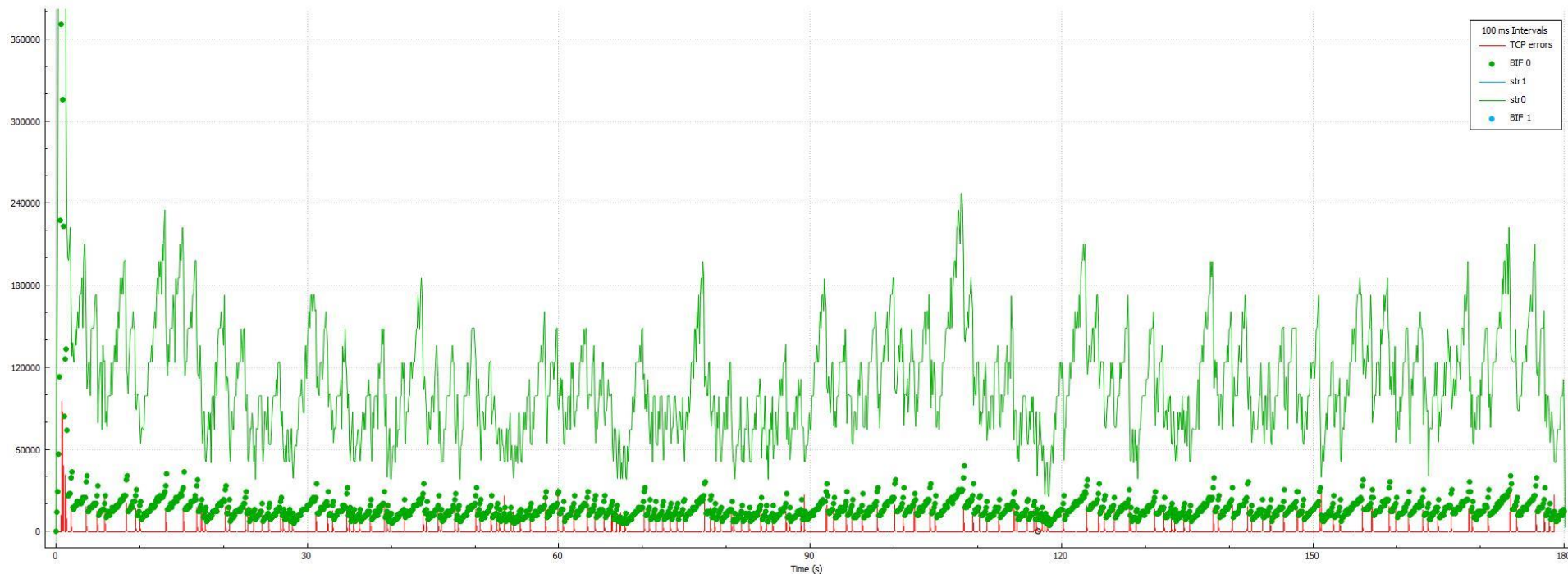
5-stream convergence



Highspeed TCP



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior



CUBIC TCP



Core ideas:

“Ready-Steady-Go!”

[Source](#)

1. Aimed to deal with high BDP.
2. Uses **packet loss** as feedback.
3. Uses **cubic function** as action profile (concave/convex parts).
4. Default for all Linux kernels > 2.6.18, implemented in Windows since Win10.

cwnd control rules:

In case of packet loss:

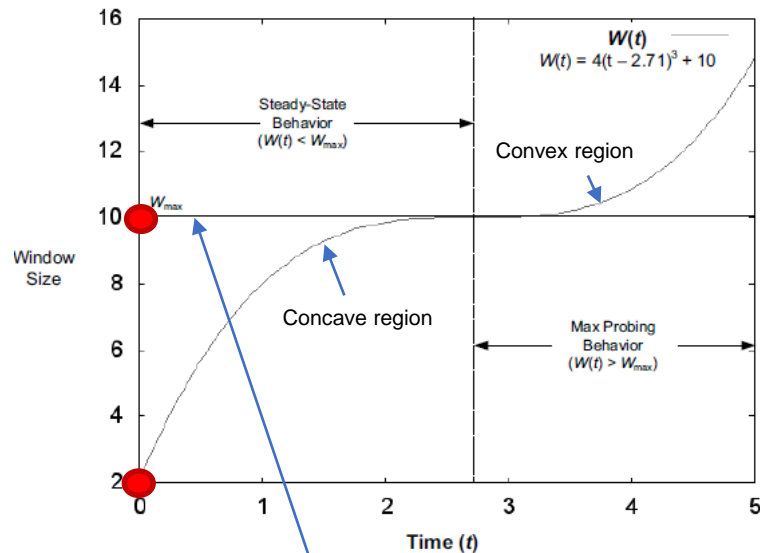
1. Set W_{max} to **cwnd**;
2. Set **cwnd**, **ssthresh** to $(1 - \beta) * cwnd$ where default $\beta = 0.8$
3. Grow **cwnd** using cubic function:

$$W(t) = C(t - K)^3 + W_{max} \quad \text{where:} \quad K = \sqrt[3]{\frac{\beta W_{max}}{C}}$$

Main point: approach last packet loss point slowly and carefully, but if there is no more packet loss here – begin ramp up to use possibly freed up resources.

Additional techniques used: TCP friendly region, Fast convergence, Hybrid slow start.

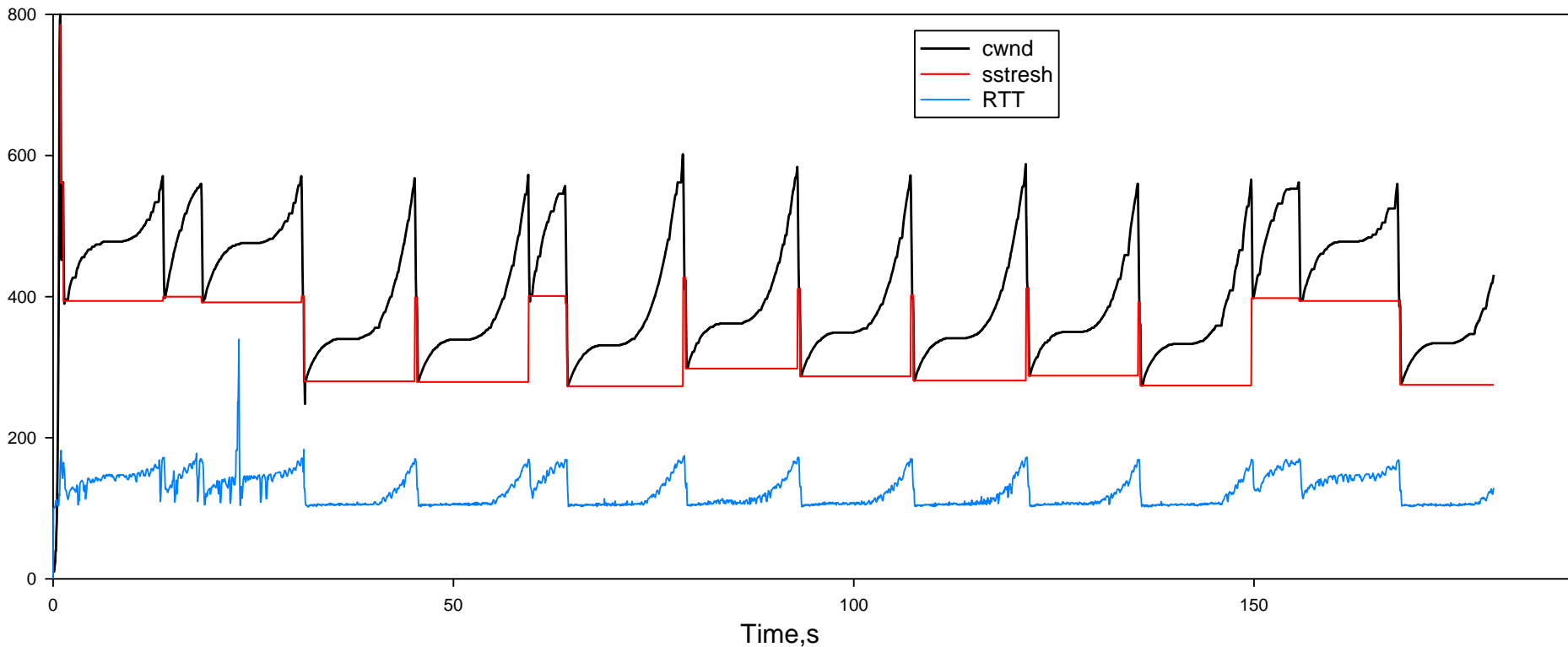
- ✓ Coexistence with Reno on non-LFN links – **moderate**
- ✓ RTT fairness - **good**



Last remembered value where packet loss happened



CUBIC TCP

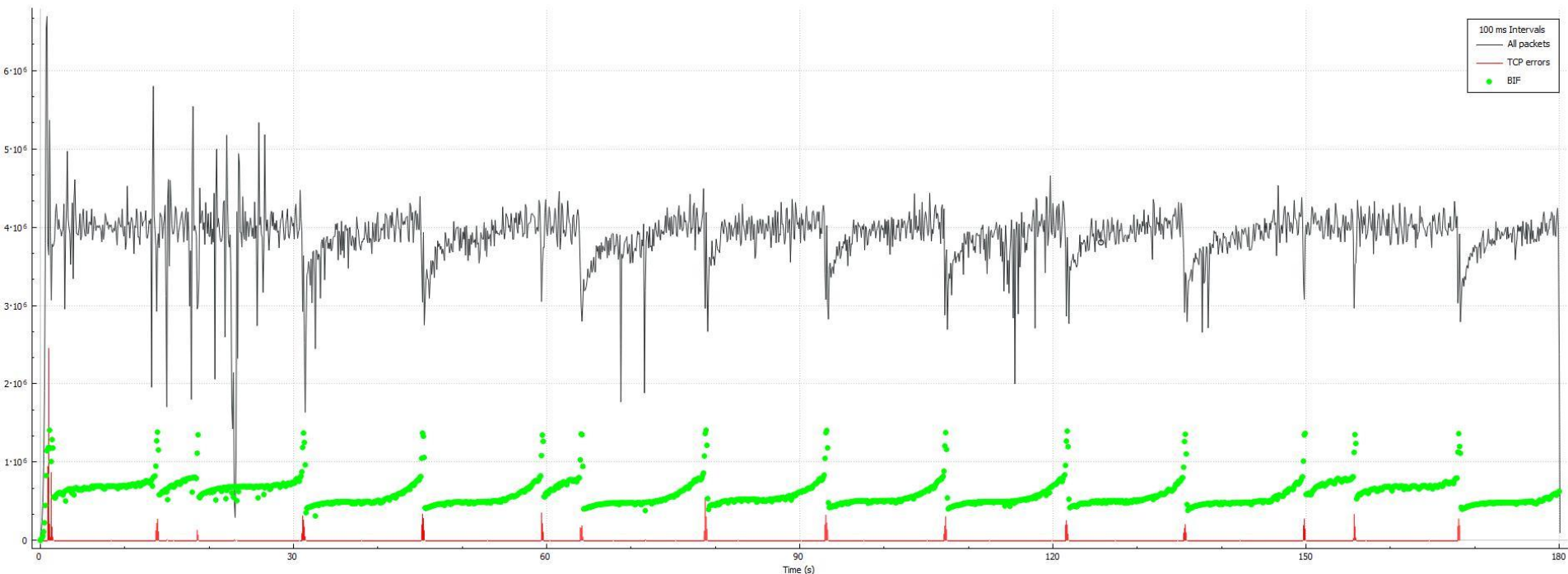




CUBIC TCP



Wireshark IO Graphs: eth0 (tcp)



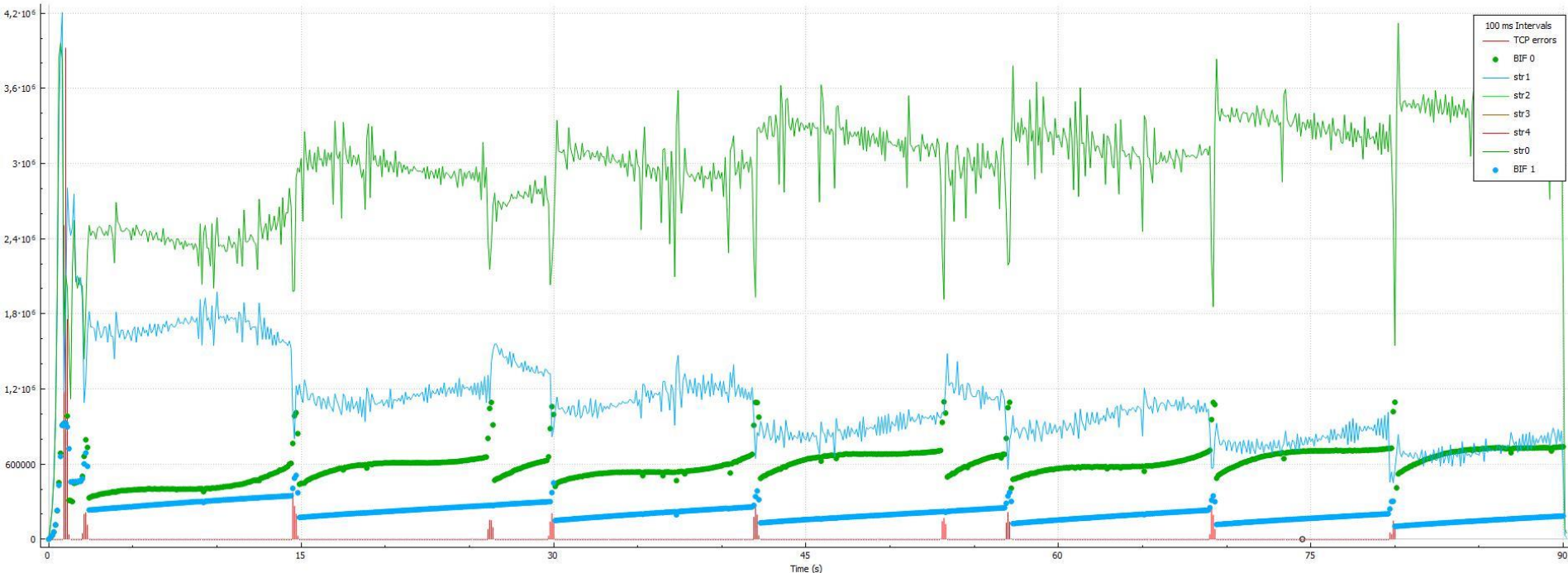
Collateral damage: **14** Buffer overflows / **790k** Total Packets



CUBIC TCP



Wireshark IO Graphs: cubic.pcapng



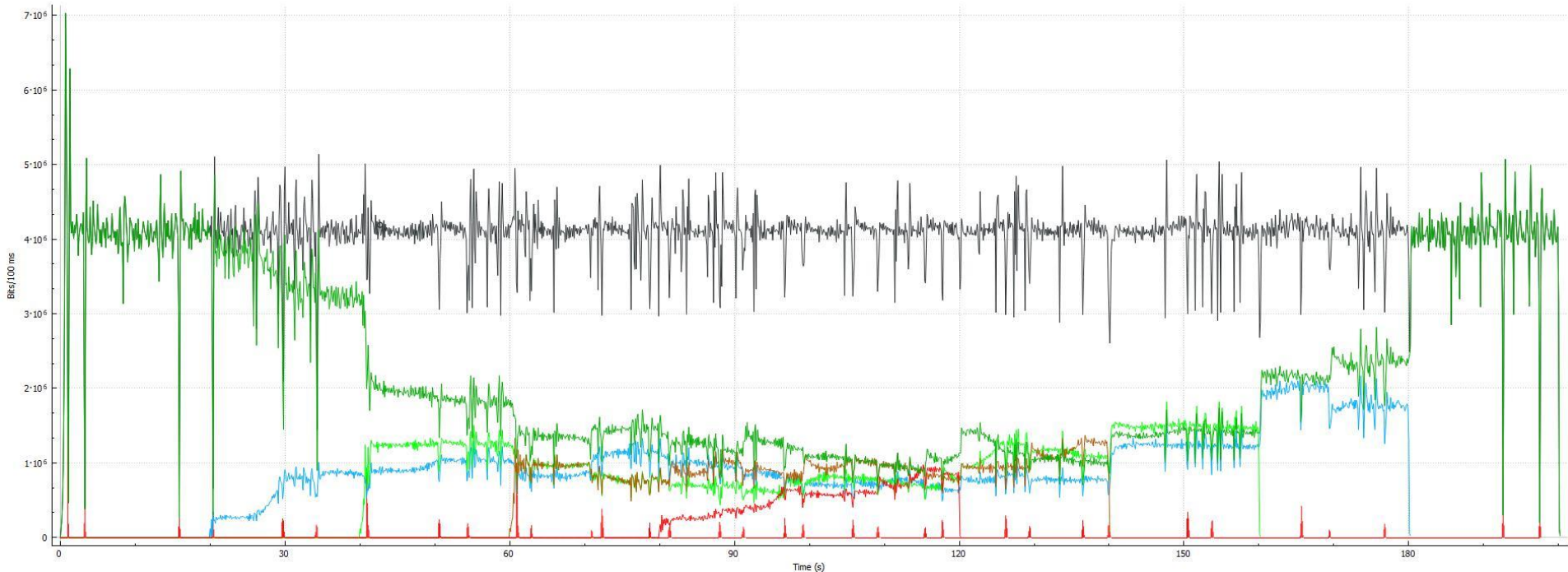
vs. Reno Friendliness



CUBIC TCP



Wireshark IO Graphs: cubic.pcapng



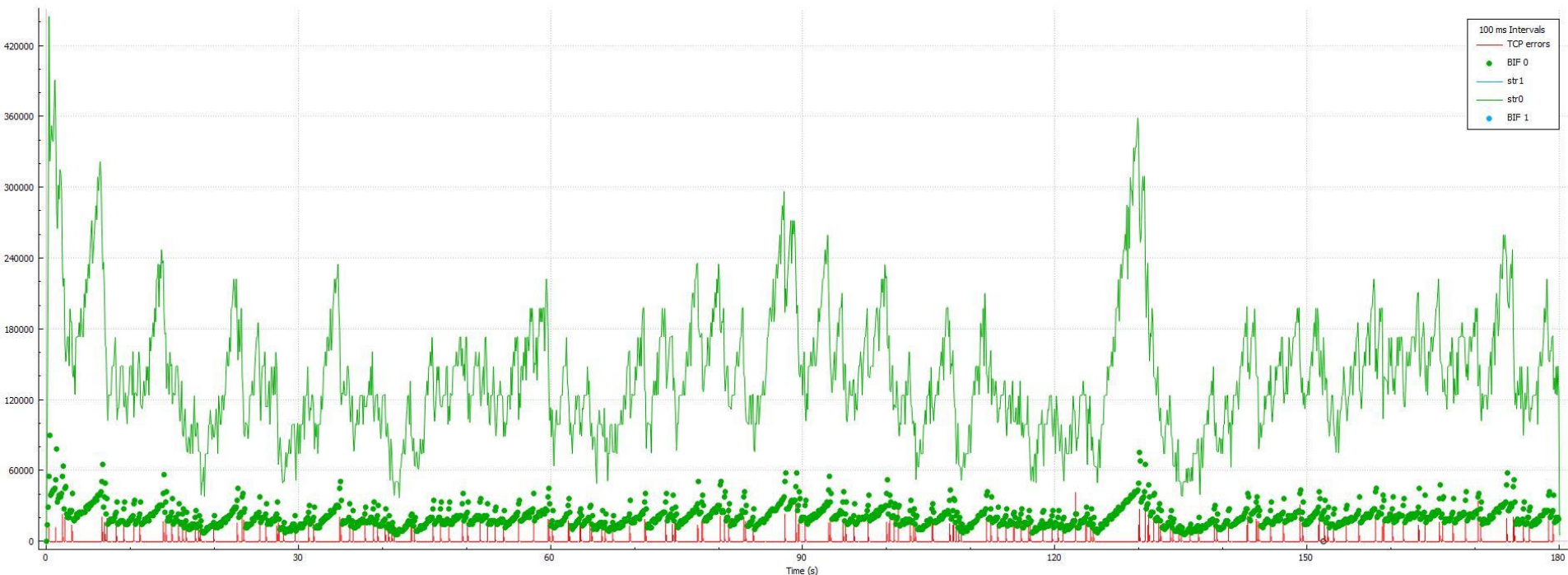
5-stream convergence



CUBIC TCP



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior

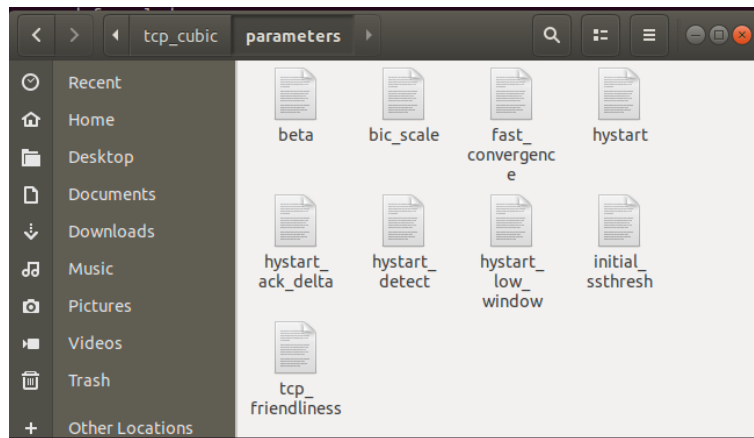


CUBIC Fun Fact



If you look at CUBIC source code you'll spot some parameters can be tweaked!

```
61  /* Note parameters that are used for precomputing scale factors are read-only */
62  module_param(fast_convergence, int, 0644);
63  MODULE_PARM_DESC(fast_convergence, "turn on/off fast convergence");
64  module_param(beta, int, 0644);
65  MODULE_PARM_DESC(beta, "beta for multiplicative increase");
66  module_param(initial_ssthresh, int, 0644);
67  MODULE_PARM_DESC(initial_ssthresh, "initial value of slow start threshold");
68  module_param(bic_scale, int, 0444);
69  MODULE_PARM_DESC(bic_scale, "scale (scaled by 1024) value for bic function (bic_scale/1024)"
70  module_param(tcp_friendliness, int, 0644);
71  MODULE_PARM_DESC(tcp_friendliness, "turn on/off tcp friendliness");
72  module_param(hystart, int, 0644);
73  MODULE_PARM_DESC(hystart, "turn on/off hybrid slow start algorithm");
74  module_param(hystart_detect, int, 0644);
75  MODULE_PARM_DESC(hystart_detect, "hybrid slow start detection mechanisms"
76  " 1: packet-train 2: delay 3: both packet-train and delay");
77  module_param(hystart_low_window, int, 0644);
78  MODULE_PARM_DESC(hystart_low_window, "lower bound cwnd for hybrid slow start");
79  module_param(hystart_ack_delta, int, 0644);
80  MODULE_PARM_DESC(hystart_ack_delta, "spacing between ack's indicating train (msecs)");
81
```



These knobs can be found (for Ubuntu) at **`/sys/module/tcp_cubic/parameters`**



Hybrid slow start



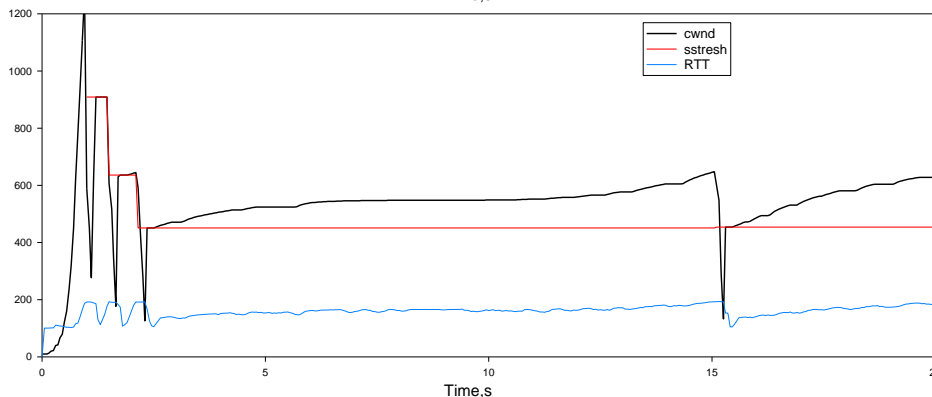
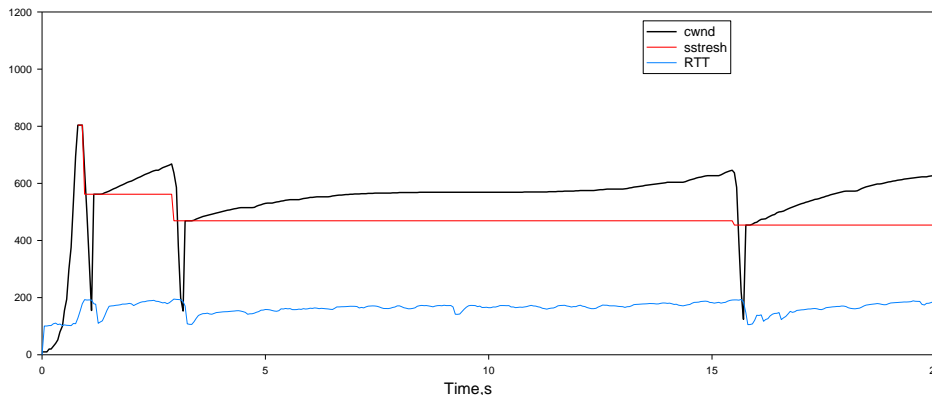
Problem: high aggressiveness during final slow start phase.

Solution: estimate a point where to exit Slow Start mode.

Methods:

- ACK train length measuring method.
- Inter-frame delay method.

Built-in in CUBIC algorithm.





VEGAS TCP



Core ideas:

“Pacifist”

[Source](#)

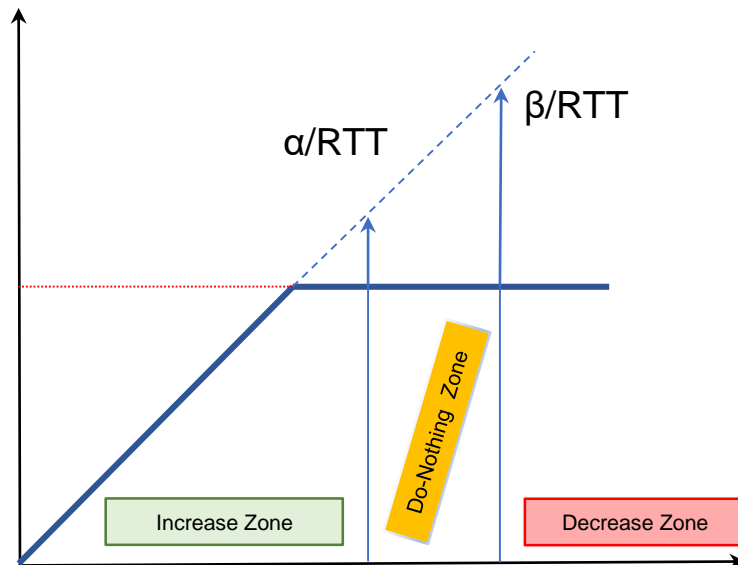
1. First try to build delay-based algorithm (1994).
2. Uses **delay** as feedback (purely delay-based).
3. Uses **AIAD** as action profile.

cnwnd control rules:

1. Measure and constantly update min RTT (“BaseRTT”)
2. For every RTT compare Expected Throughput ($cnwnd / BaseRTT$) with Actual Throughput ($cnwnd / RTT$)
3. Compute difference = (Expected - Actual)/BaseRTT
4. Look where in range it lies and act accordingly (1 per RTT **cnwnd** update frequency).
5. Switch to Reno if there are not enough RTT samples.

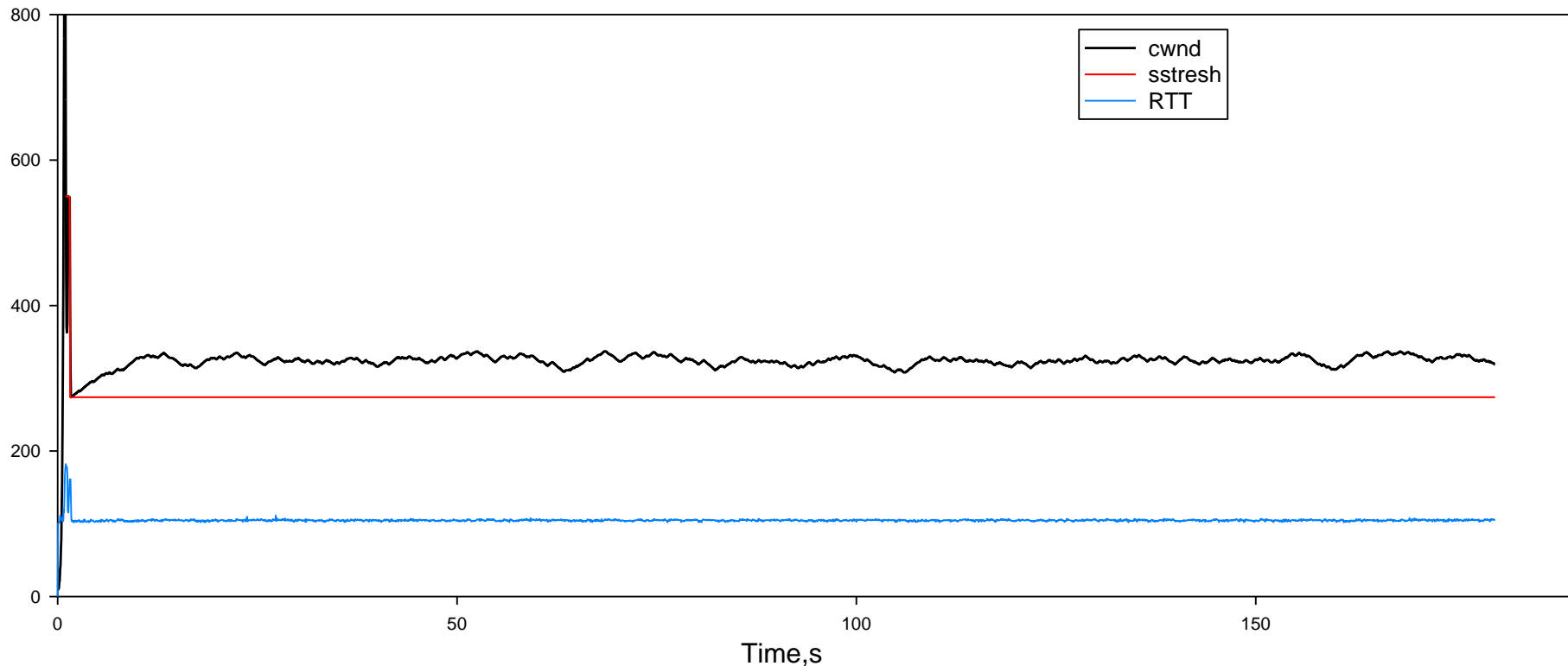
- ✓ Very smooth
- ✓ Doesn't act on Cliff zone
- ✓ Induces small buffer load, keeps RTT small

- × Gets beaten by **any** loss-based algorithm
- × Doesn't like small buffers
- × Doesn't like small RTTs





VEGAS TCP

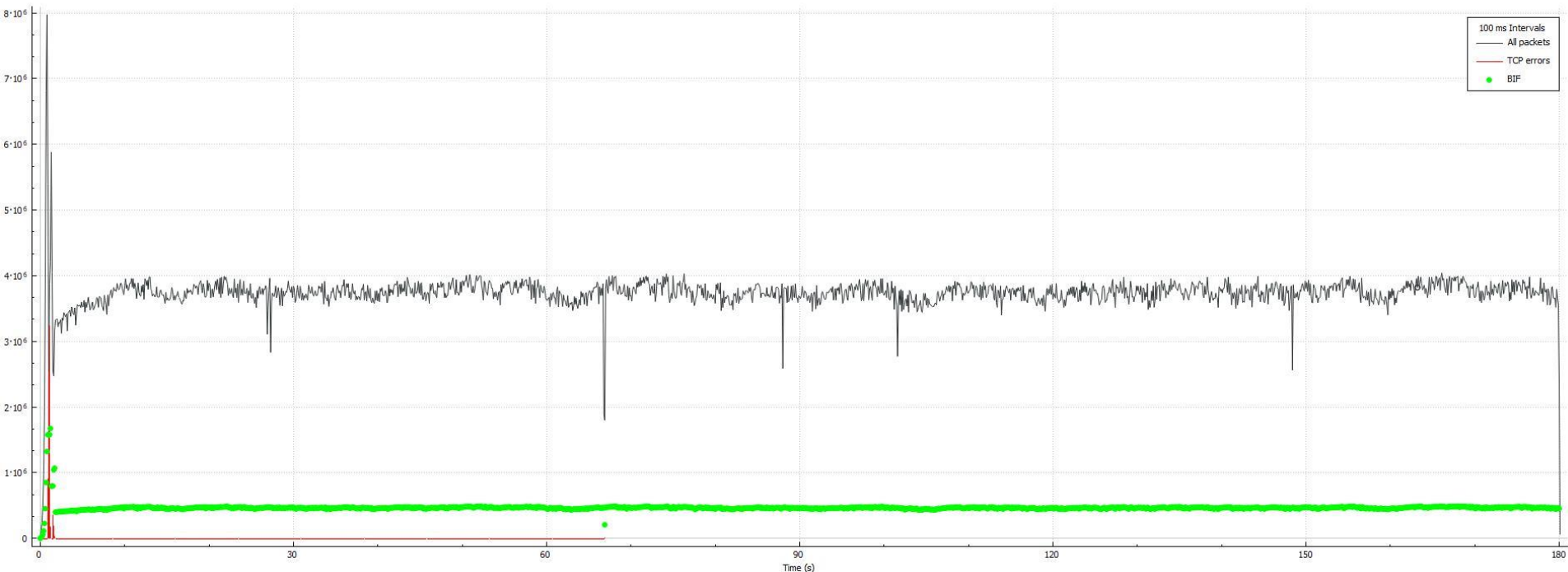




VEGAS TCP



Wireshark IO Graphs: eth0 (tcp)



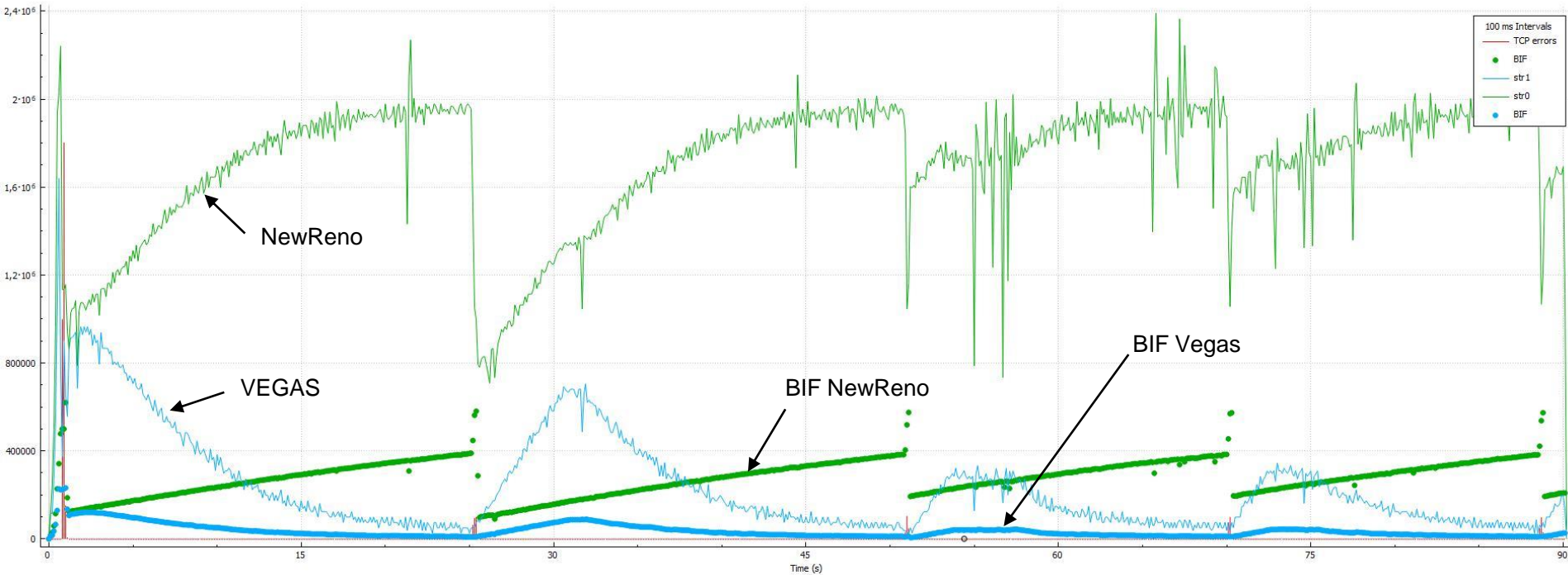
When it's alone – this is impressive! Collateral damage: **almost unnoticeable** / **767k** Packets



NewReno vs. VEGAS TCP



Wireshark IO Graphs: eth0 (tcp)



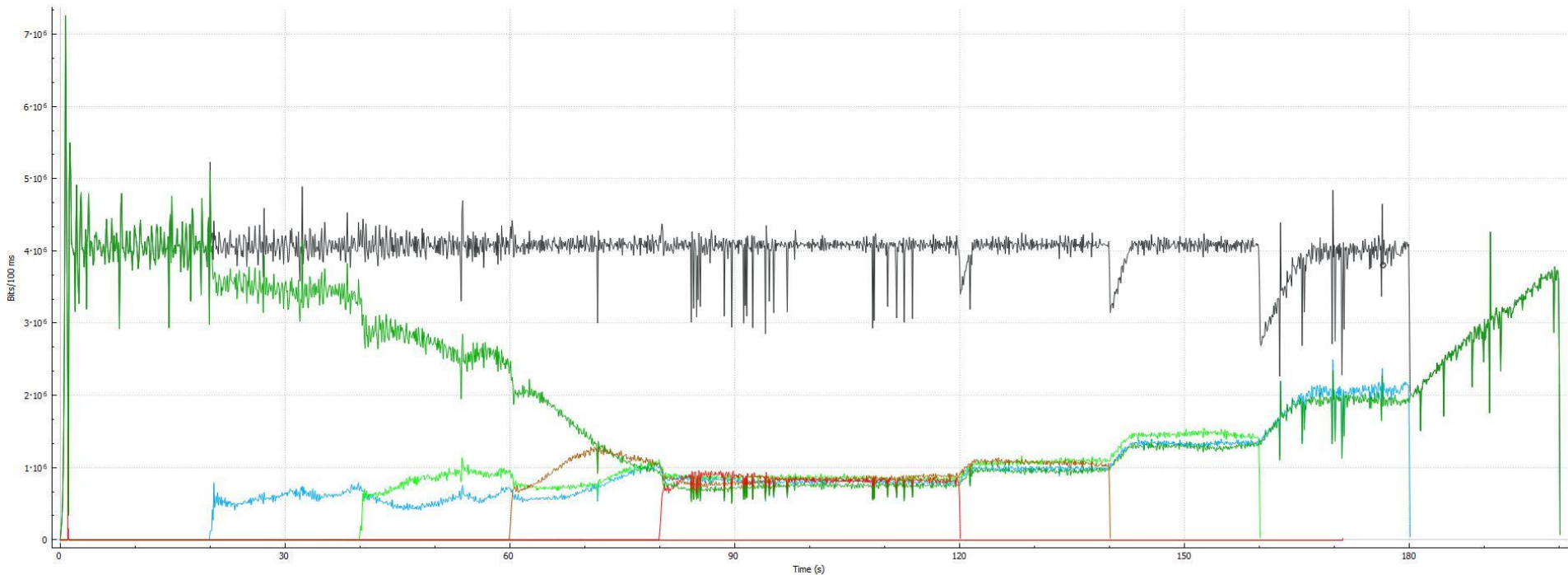
When VEGAS is not alone – this is a shame..



VEGAS TCP



Wireshark IO Graphs: vegas.pcapng



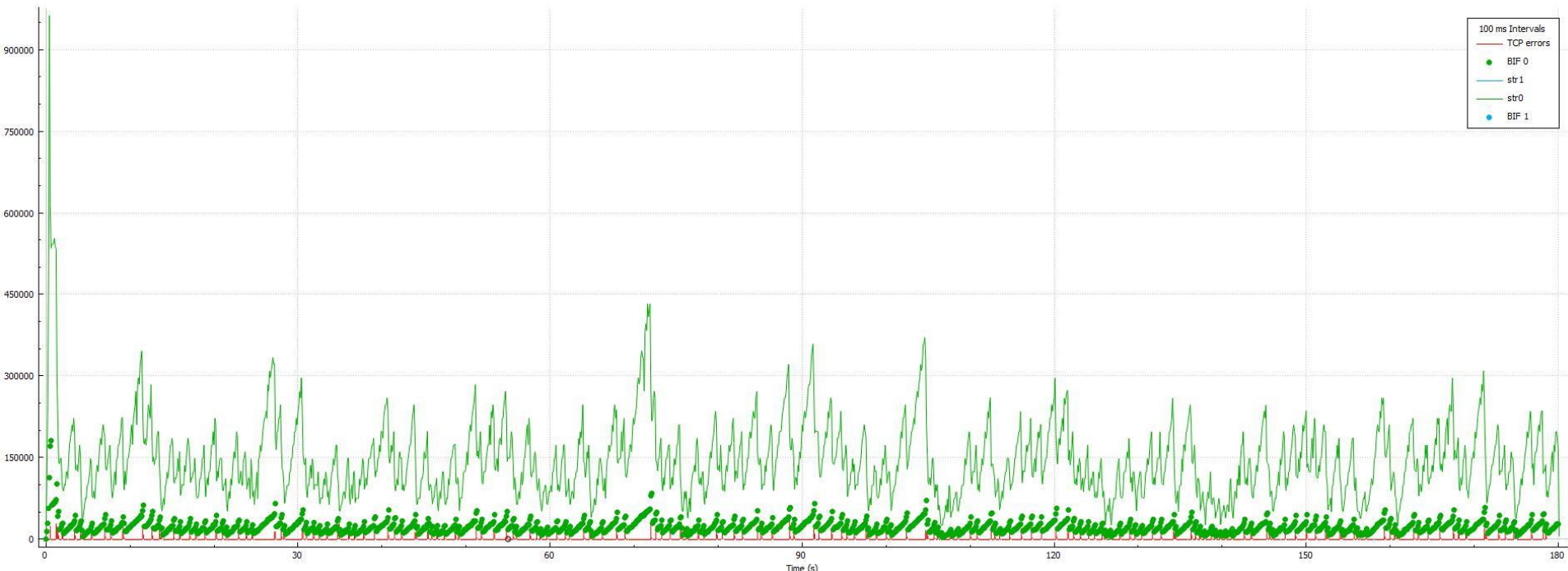
5-stream convergence



VEGAS TCP



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior



ILLINOIS TCP



Core ideas:

“Careful”

[Source](#)

1. Uses **packet loss and delay** as feedback.
2. Uses **modified AIMD with delay-dependent variables** as action profile.

cwnd control rules:

$$cwnd = \begin{cases} cwnd + \alpha/cwnd & \text{if congestion is not detected} \\ (1 - \beta) * cwnd & \text{if congestion is detected} \end{cases}$$

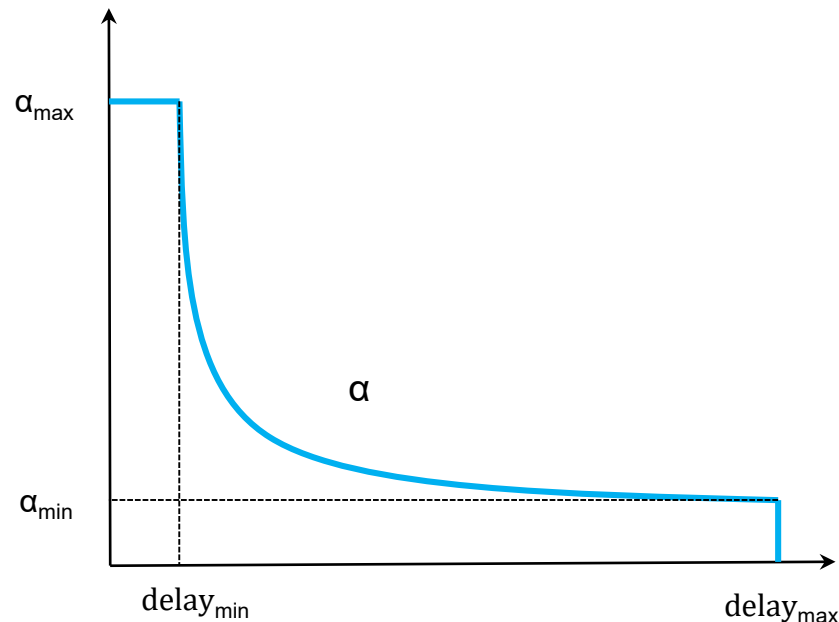
Measure **min RTT** and **max RTT** for each ACK. Track them.

Compute α :

- If average delay is at minimum (we are uncongested), then use large alpha (10.0) to grow **cwnd** faster.
- If average delay is at maximum (getting congested) then use small alpha (0.3)

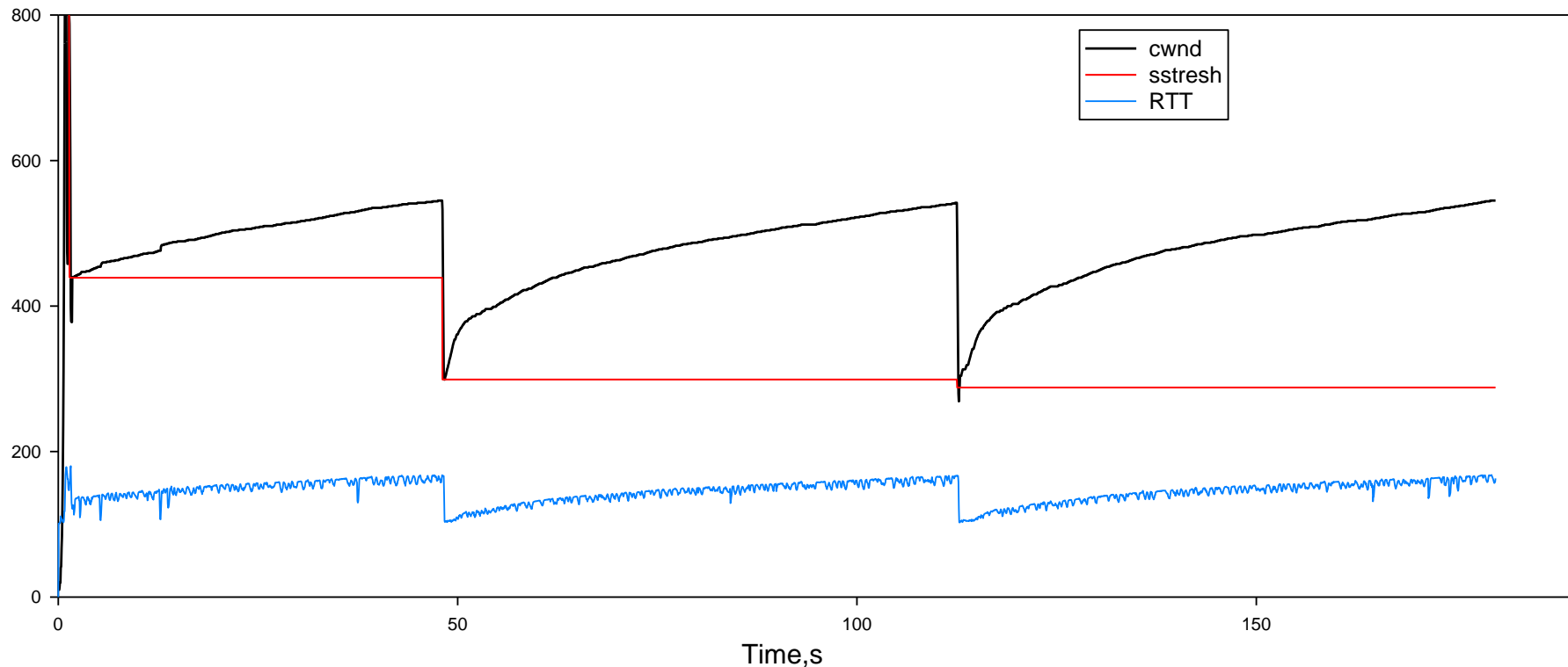
Compute β :

- If delay is small (10% of max) then $\beta = 1/8$
- If delay is up to 80% of max then $\beta = 1/2$
- In between is a linear function





ILLINOIS TCP

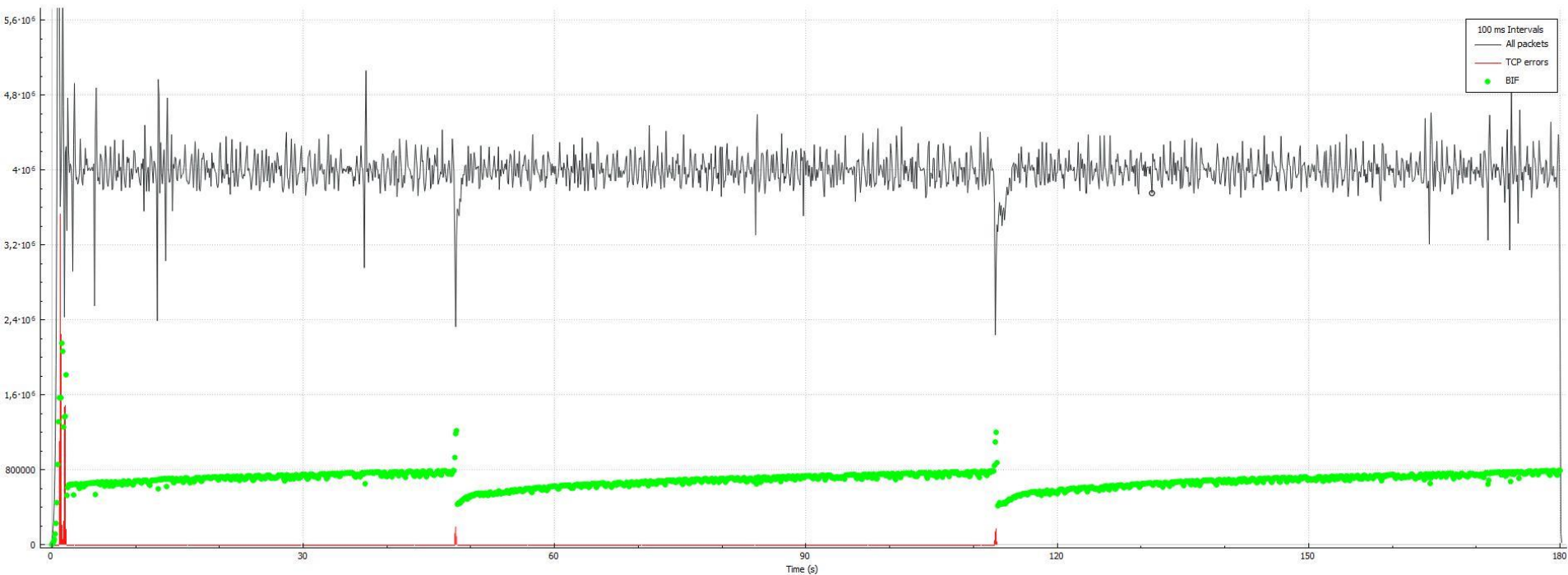




ILLINOIS TCP



Wireshark IO Graphs: eth0 (tcp)



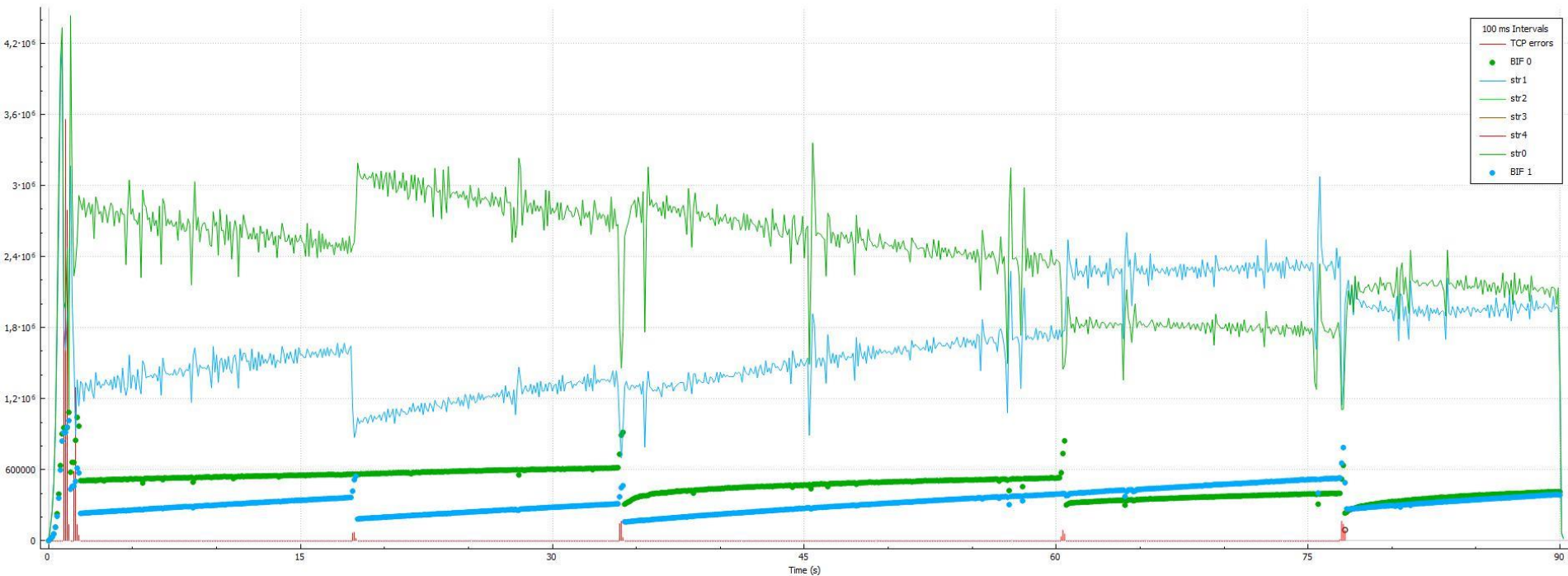
Collateral damage: **2** Buffer overflows / **815k** Total Packets



Illinois TCP



Wireshark IO Graphs: illinois.pcapng



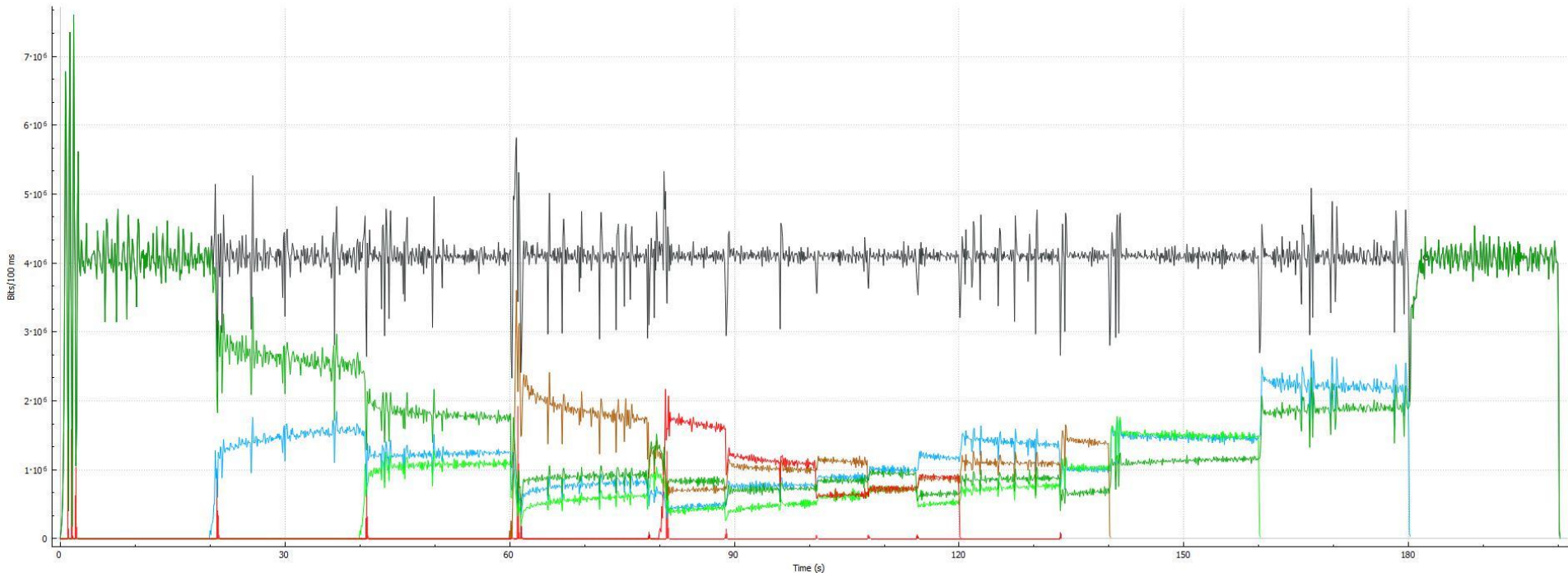
vs. Reno Friendliness



Illinois TCP



Wireshark IO Graphs: illinois.pcapng



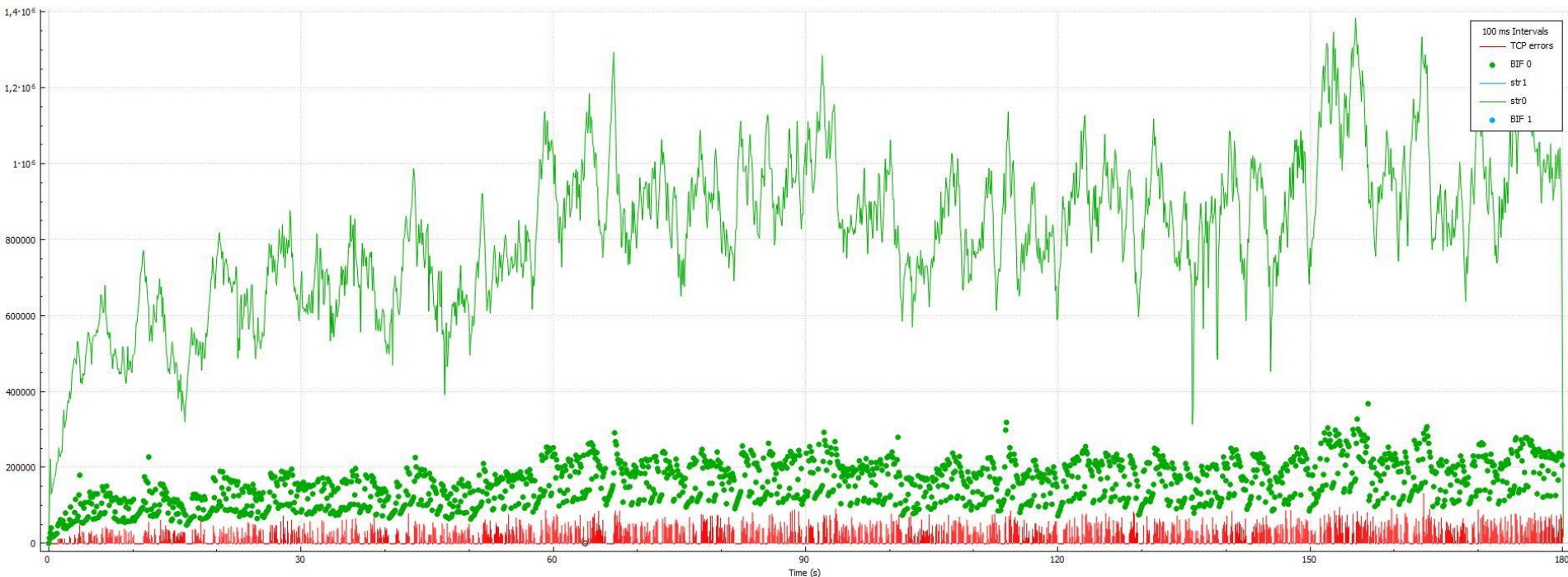
5-stream convergence



Illinois TCP



Wireshark IO Graphs: eth0 (tcp)



1% loss link behavior



Compound TCP



Core ideas:

“Windows”

1. Uses **combination of packet loss and delay** as feedback.
2. Uses **AIMD additionally altered by delay window** as action profile.
3. Only for Windows OS since Vista.

cwnd control rules:

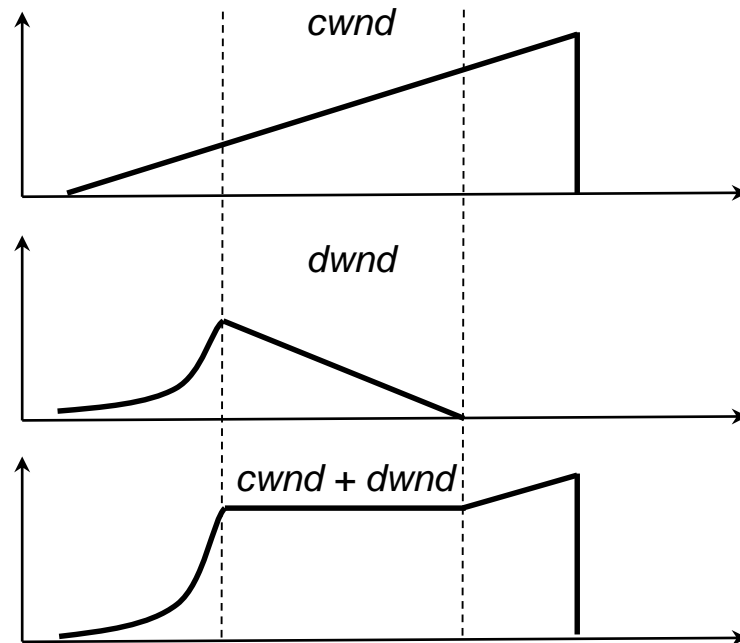
$$win = \min (cwnd + dwnd, awnd)$$

Where: **cwnd** – as in Reno, **dwnd** – as in Vegas.

$$cwnd = \begin{cases} cwnd + 1/(cwnd + dwnd) & \text{if congestion is not detected} \\ (1 - \beta) * cwnd & \text{if congestion is detected} \end{cases}$$

Main point: combine fairness of delay-based CA with aggressiveness of loss-based CA.

- ✓ Coexistence with Reno on non-LFN links – **good**
- ✓ RTT fairness - **good**

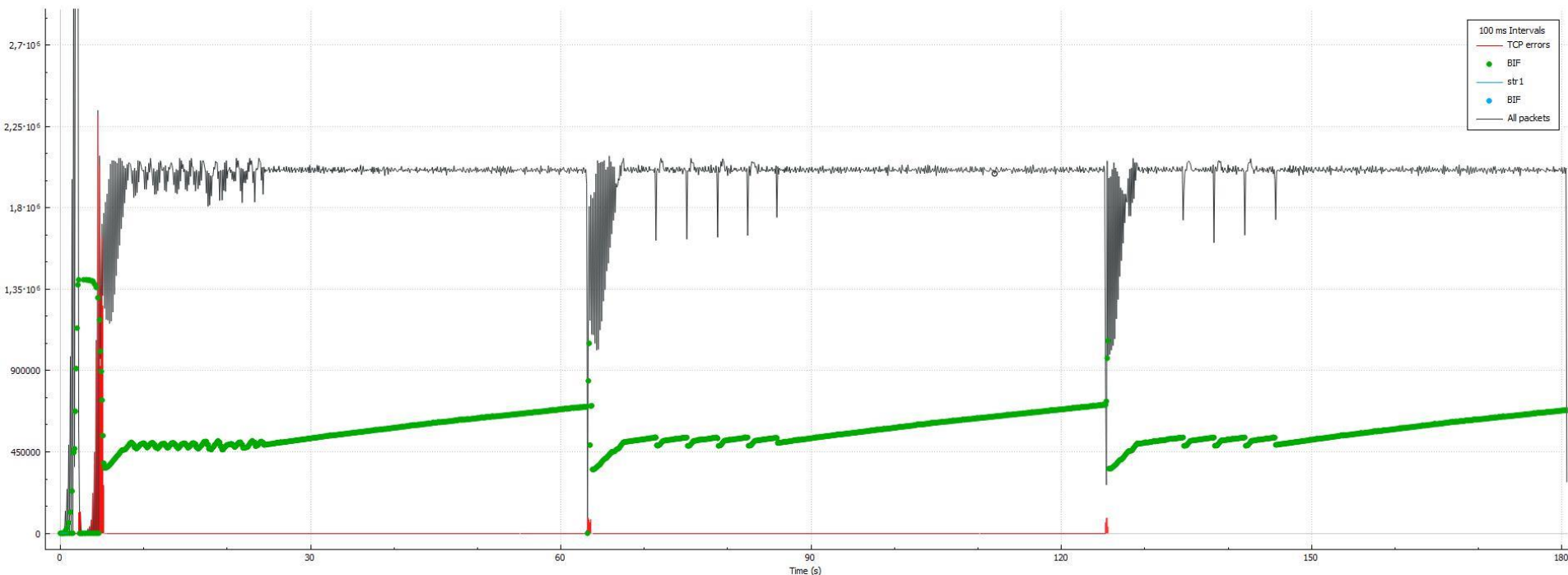




Compound TCP



Wireshark IO Graphs: eth0 (tcp)



Link: 20mpbs, 200ms RTT. Tested using ntttcp.exe, Win10 – Win10. Sorry, no **cwnd** graph..



WESTWOOD TCP



Core ideas:

“Wireless warrior”

[Source](#)

1. Main idea: an attempt to distinguish between congestive and non-congestive losses.
2. Uses **packet loss as feedback**.
3. Uses **modified AIMD** as action profile.
4. Continuously estimates bandwidth (BWE, from incoming ACKs) and minimal RTT (RTT_{noLoad})

cwnd control rules:

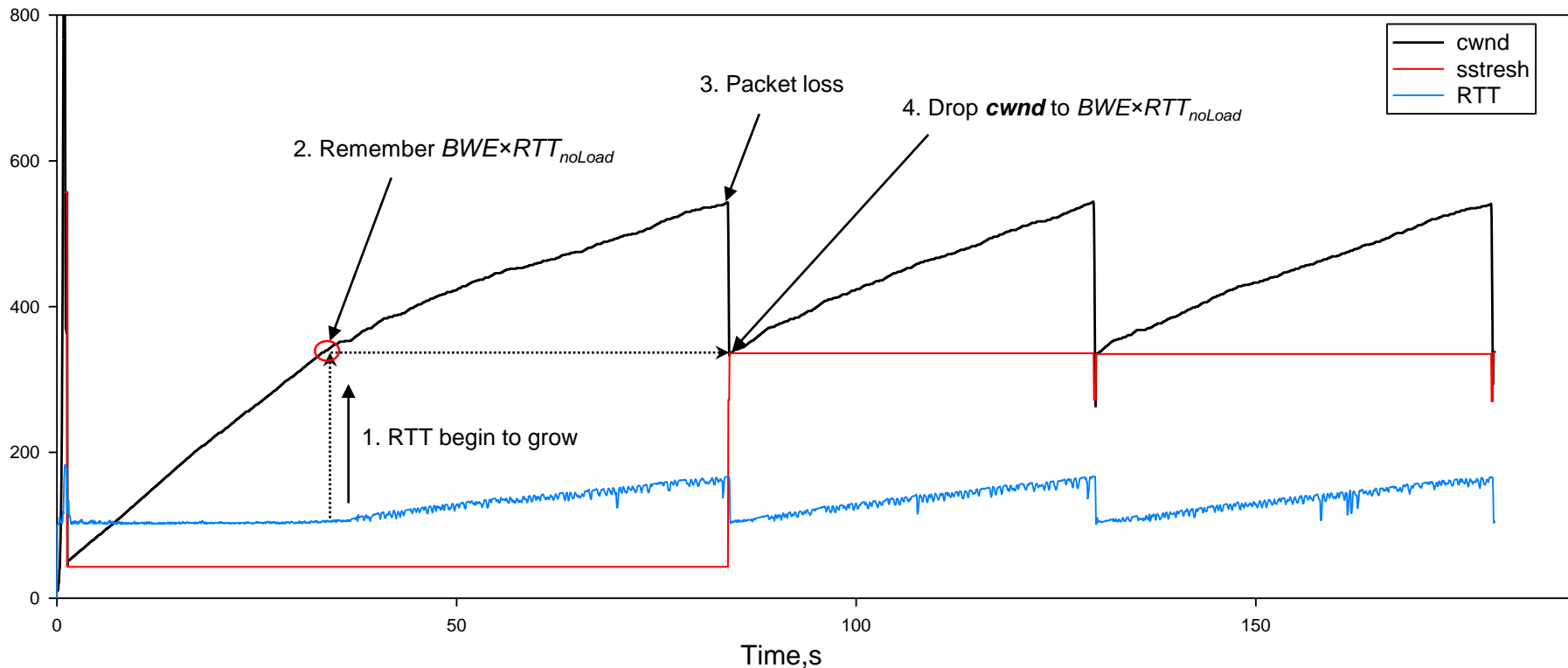
- Calculates “transit capacity” : $BWE \times RTT_{noLoad}$ (represents how many packets can be in transit)
- Never drops **cwnd** below estimated transit capacity.

$$cwnd \text{ (on loss)} = \begin{cases} \max(cwnd/2, BWE \times RTT_{noLoad}) & \text{if } cwnd > BWE \times RTT_{noLoad} \\ \text{no change,} & \text{if } cwnd \leq BWE \times RTT_{noLoad} \end{cases}$$

- If no loss is observed – acts similarly to Reno.



WESTWOOD TCP

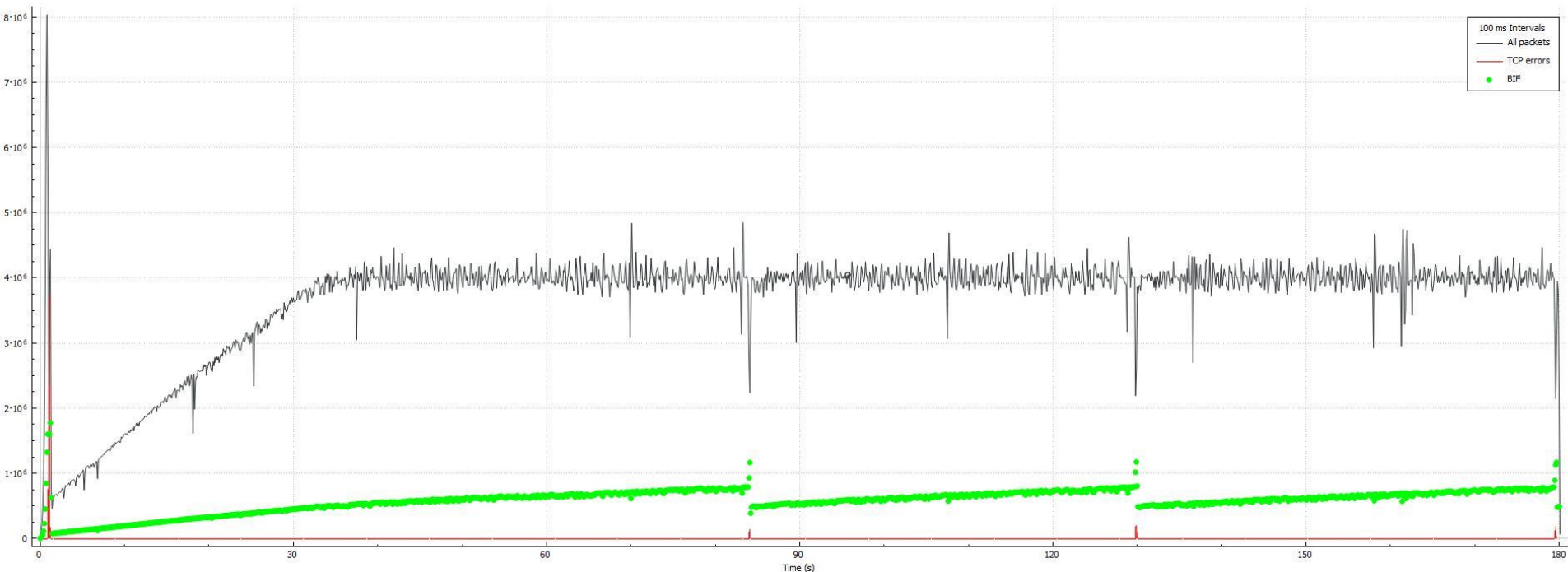




WESTWOOD TCP



Wireshark IO Graphs: eth0 (tcp)



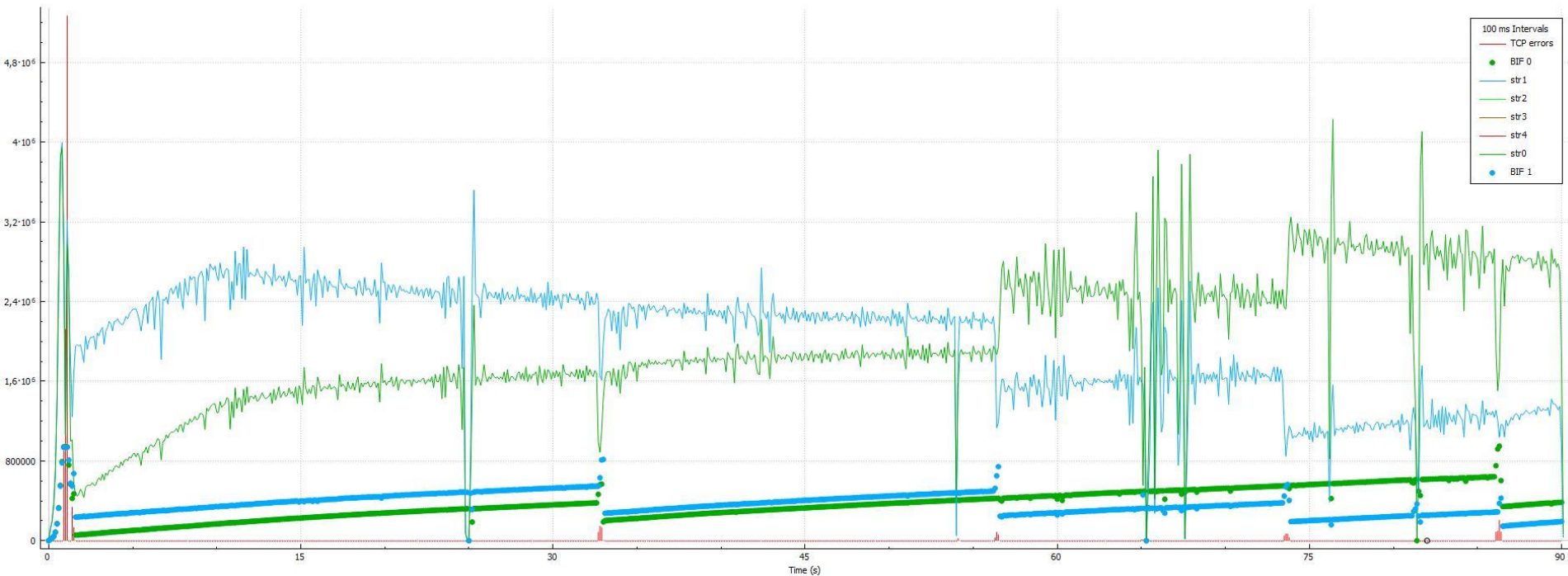
Collateral damage – same as Reno. Good for lossy links. With 1% loss beats CUBIC with x5 factor.



WESTWOOD TCP



Wireshark IO Graphs: westwood.pcapng



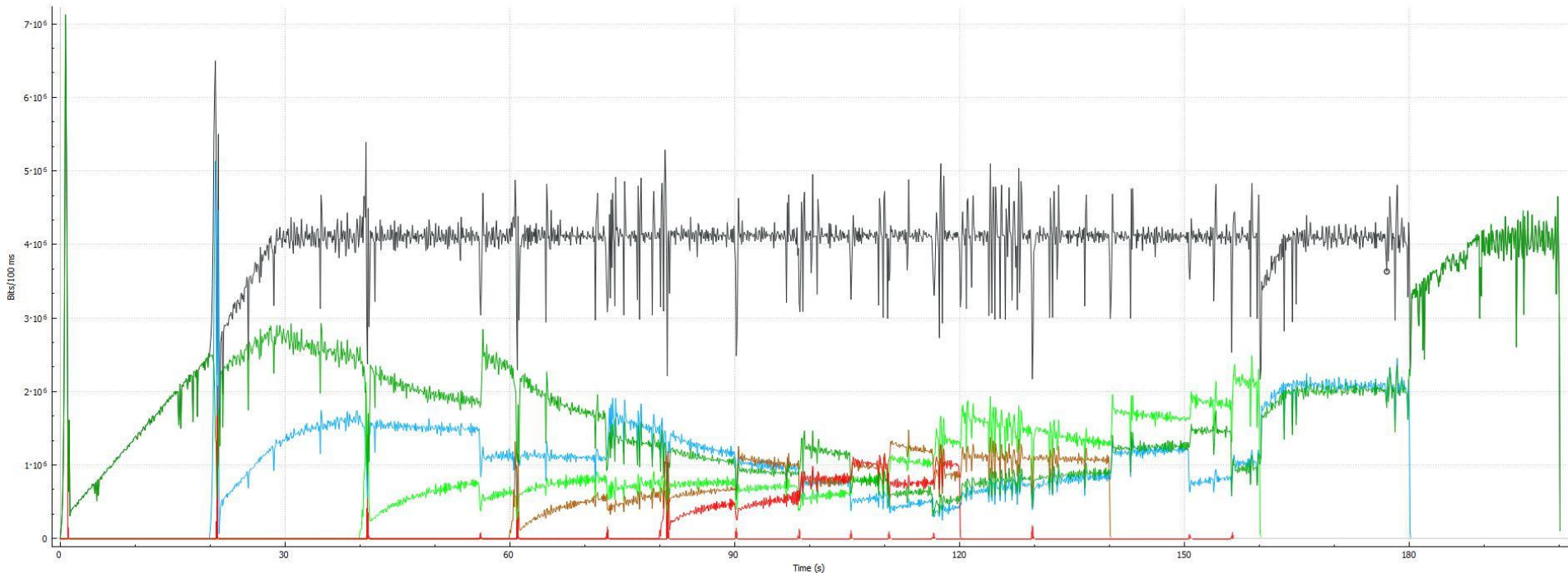
vs. Reno Friendliness



WESTWOOD TCP



Wireshark IO Graphs: westwood.pcapng



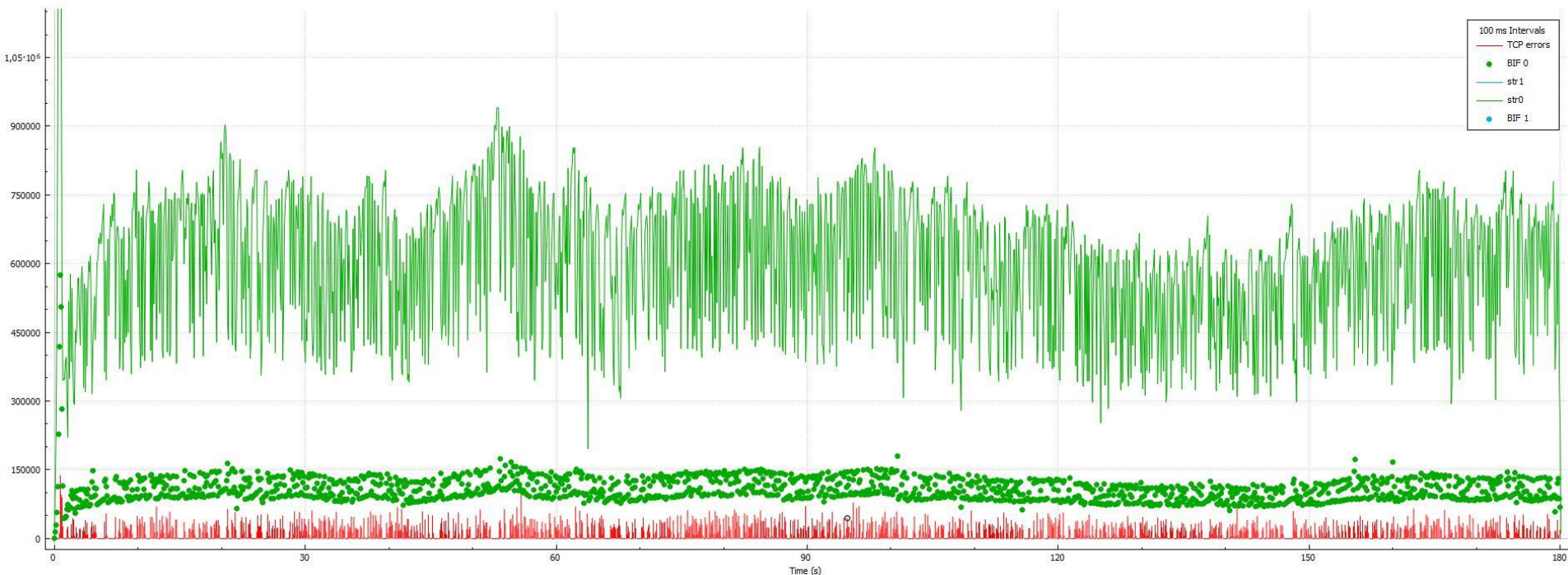
5-stream convergence



WESTWOOD TCP



Wireshark IO Graphs: eth0 (tcp)



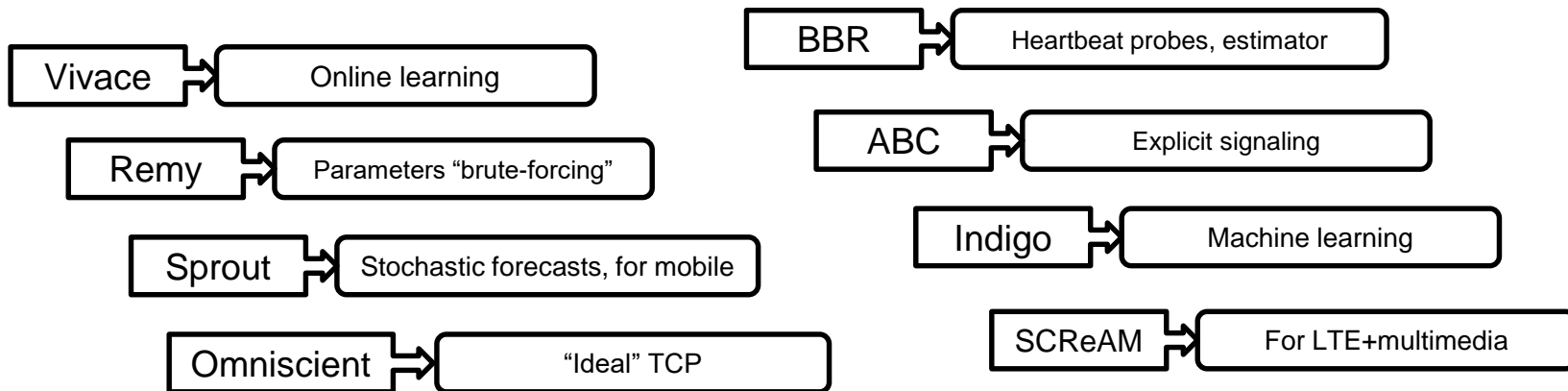
1% loss link behavior



The future?



- **Multiple signals** (ACK inter-arrival time, timestamps, delay with minimal RTT value tracking, packet loss).
- **Learning-based** (the use of assumption model).
- **No pure ACK clocking** (switch to combination of ACK clocking + pacing model). **cnwd** + **time gap** from last sent packet.
- **Integrating into other protocols** (PCC, QUIC – on top of UDP).
- **Pushing CA into user-space + using API** (concept, Linux).
- **Reinventing SlowStart** (Flow-start, “Paced Chirping”, now more for datacenter environment).





BBR TCP



Core ideas:

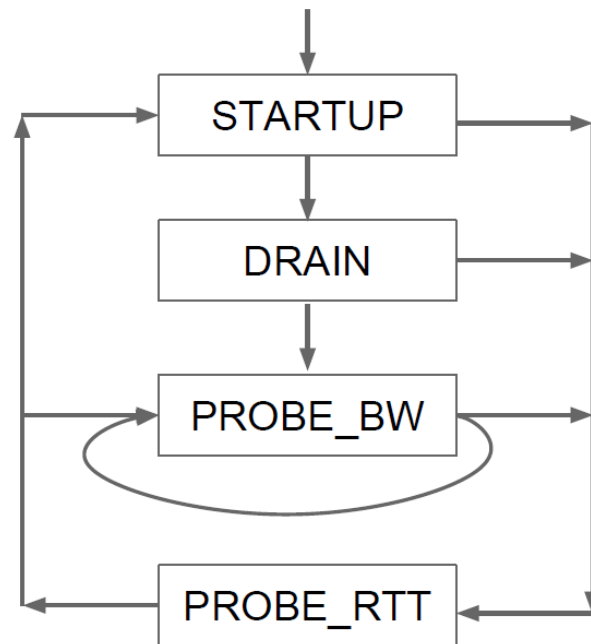
“Heartbeat”

Source

1. **RTT and Bottleneck BW estimation** (*RTprop* and *BtlBw* variables) + **active probing**.
2. Uses periodic spike-looking active probes (+/- 25%) for Bottleneck BW testing.
3. Uses periodic pauses for “Base RTT” measuring.
4. Tracks App-limited condition (nothing to send) to prevent underestimation.
5. Doesn't use **AIMD in any form or shape. Uses pacing instead**. Can handle sending speeds from 715bps to 2,9Tbps.

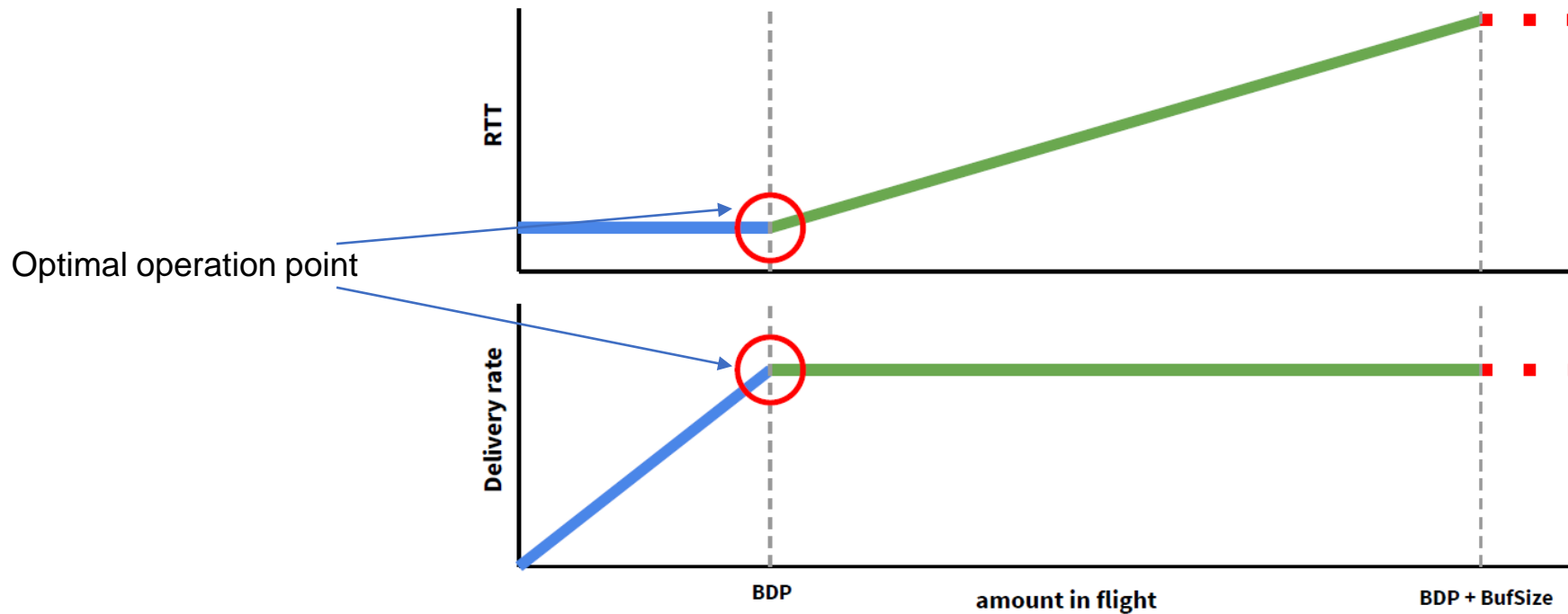
cwnd control rules - 4 different phases:

- **Startup** (beginning of the connection)
- **Drain** (right after startup)
- **Probe_BW** (most of the time)
- **Probe_RTT** (periodically every 10 seconds)





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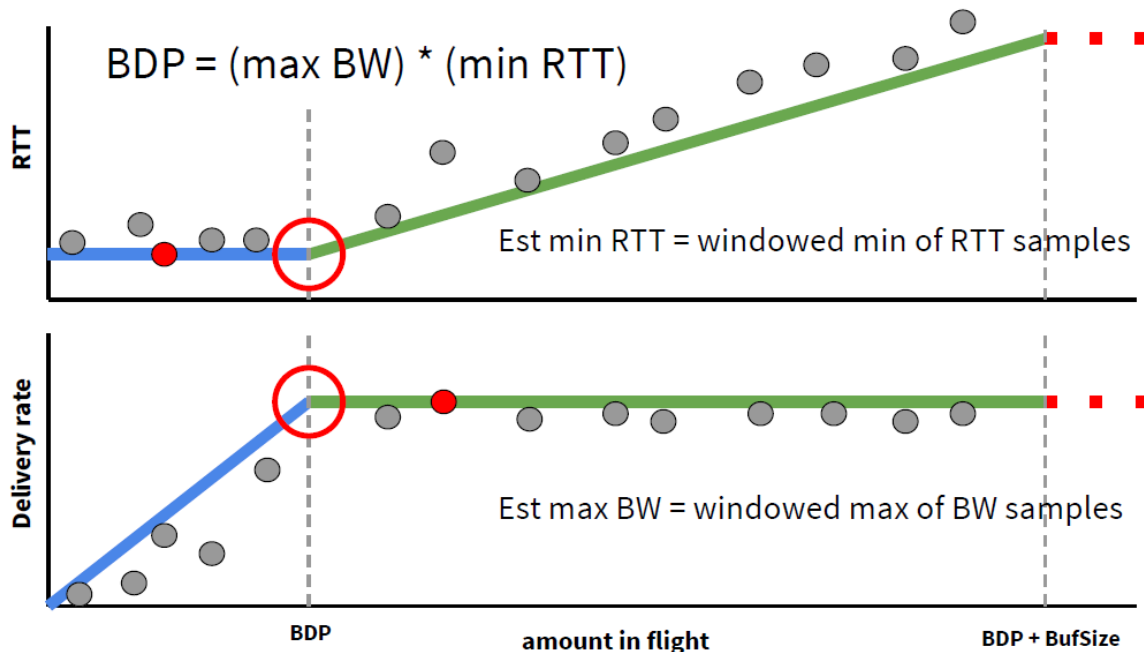
* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"



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Estimating optimal point (max BW, min RTT)



* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"

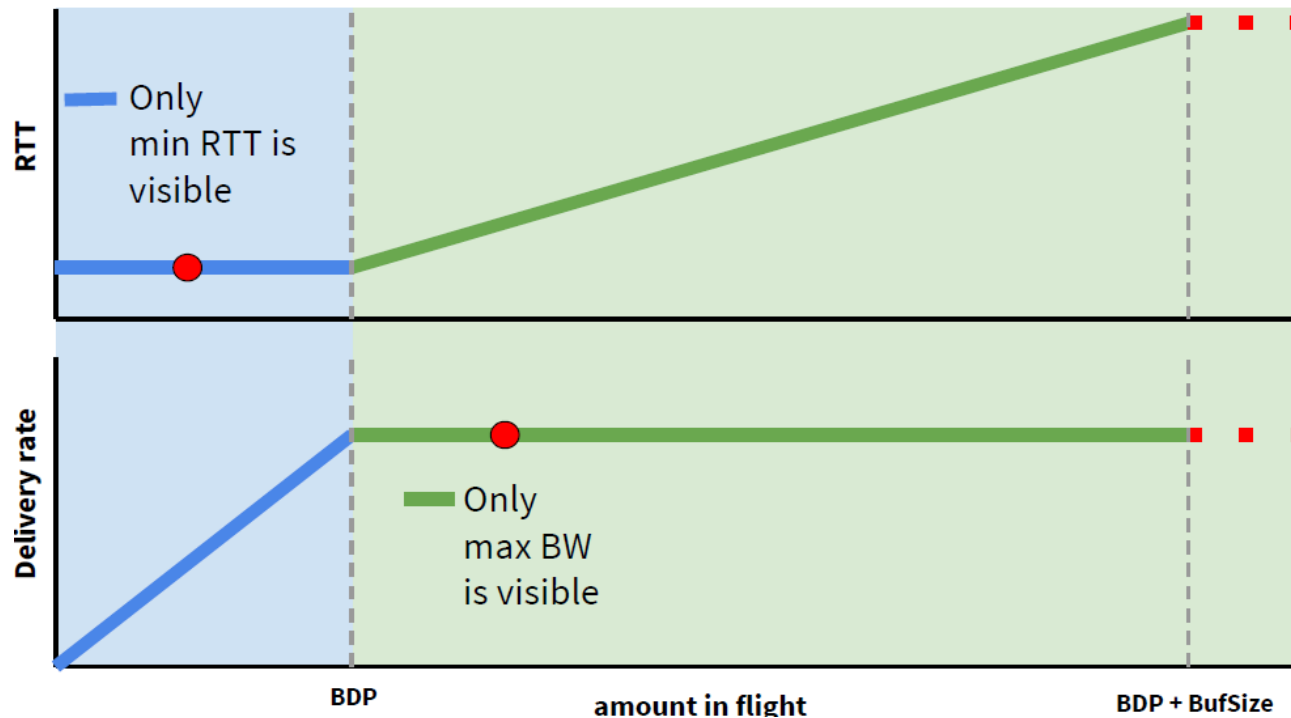


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Uncertainty principle:
We can not estimate max BW
and min RTT at the same
time (point)!

Solution: well, let's do it
sequentially!



* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"

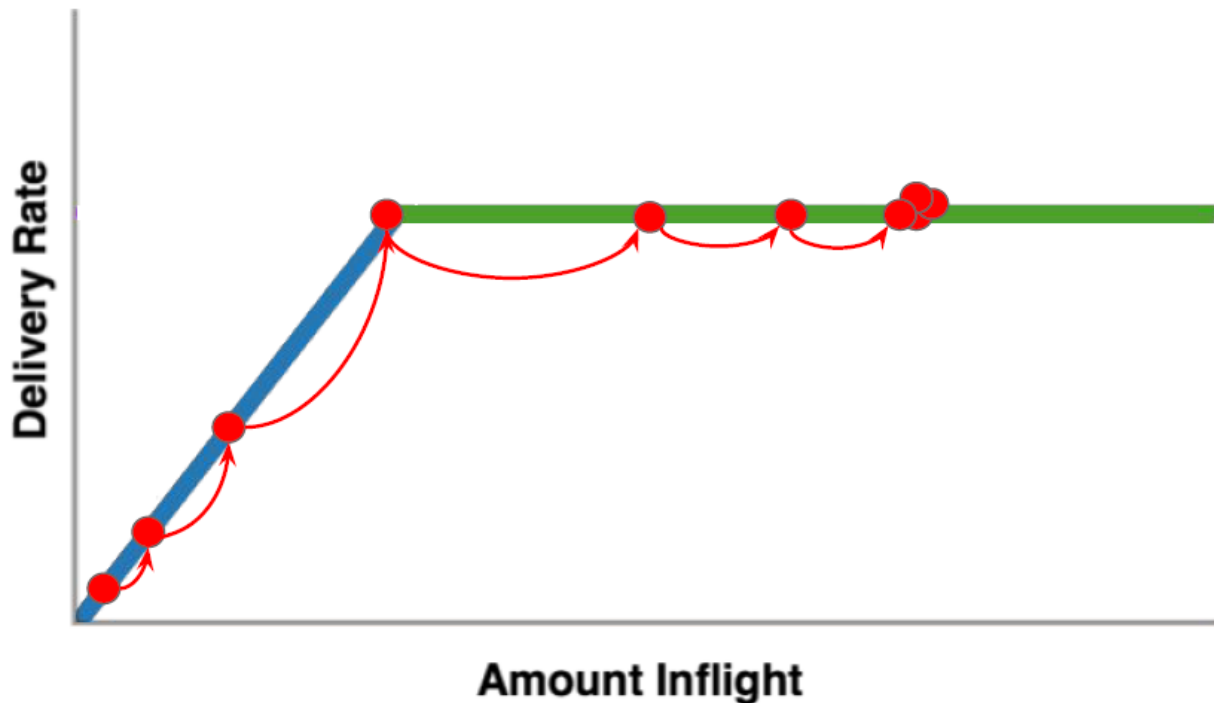


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Startup phase: exponential probe for max BW.

Stopped if BW growth is less than 25% for 3 sequential probes.



* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"

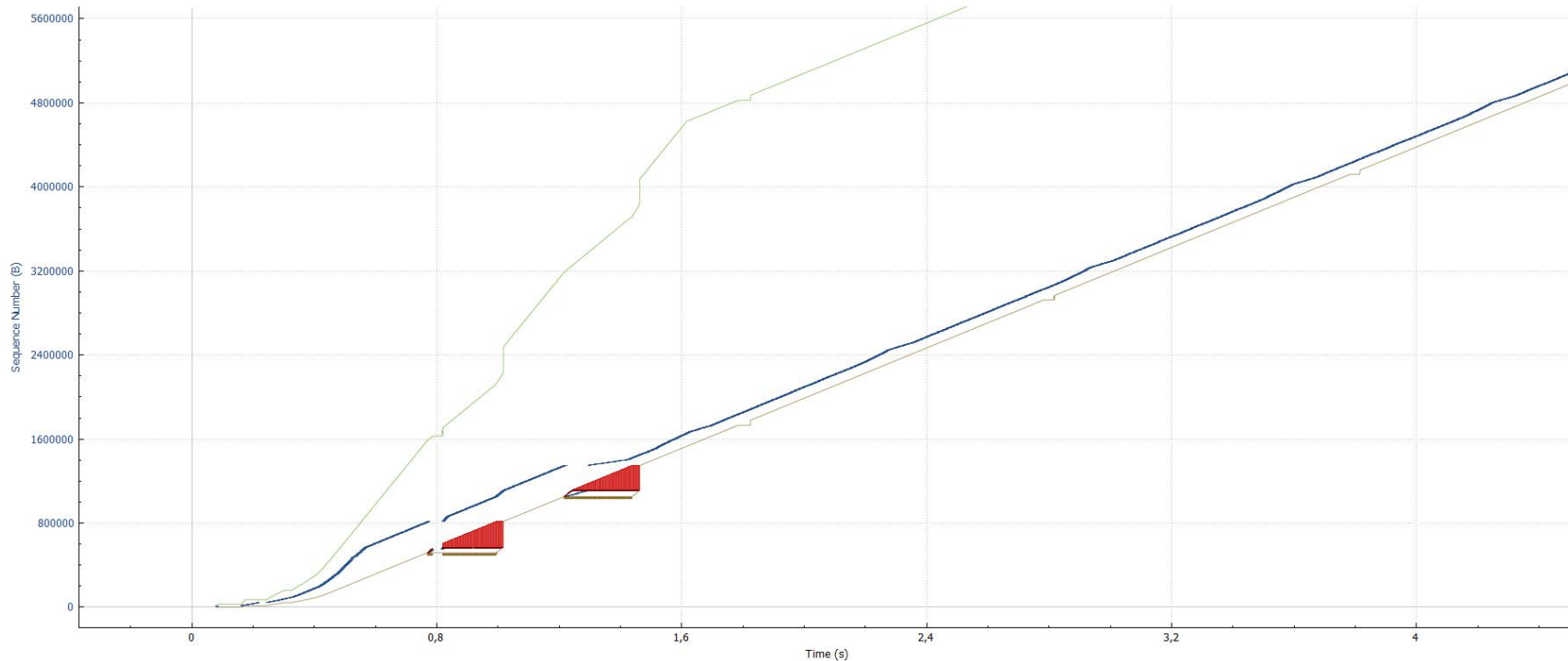


BBR TCP



Sequence Numbers (tcptrace) for 10.10.10.10:34612 → 10.10.10.12:51569

bbr_10mbit_80ms.pcapng

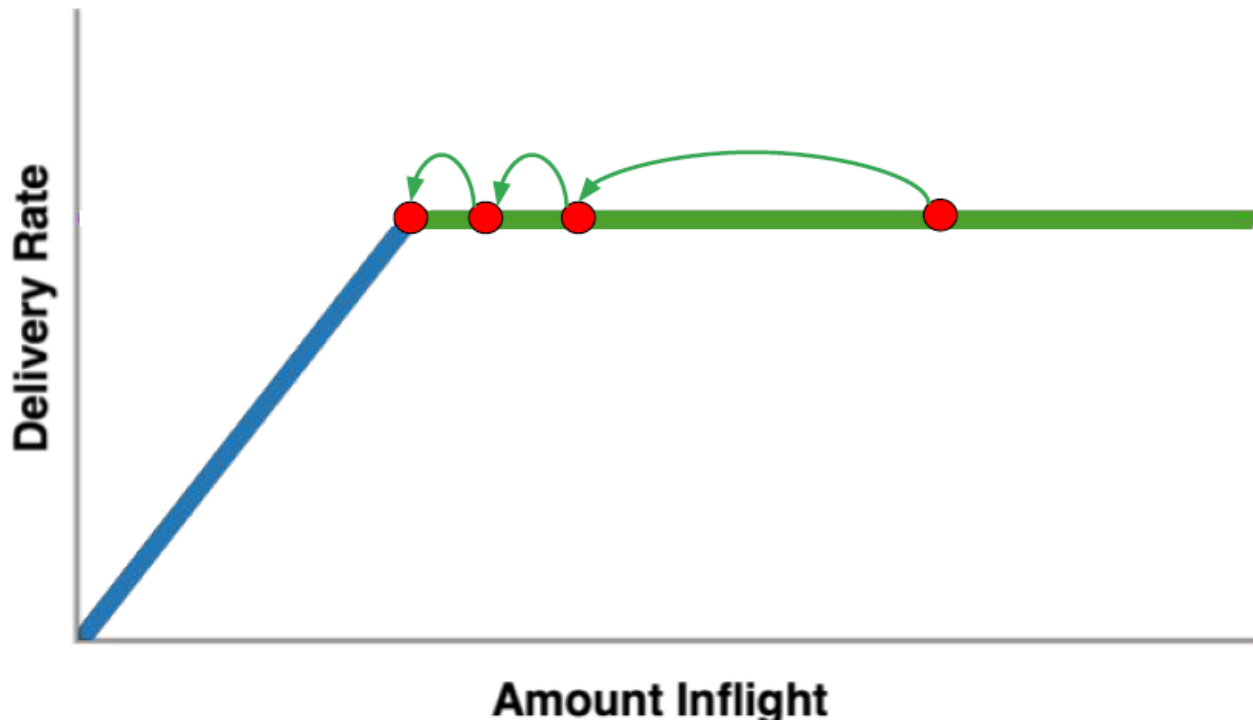




BBR TCP



Drain phase: trying to get rid of queue formed during startup phase.



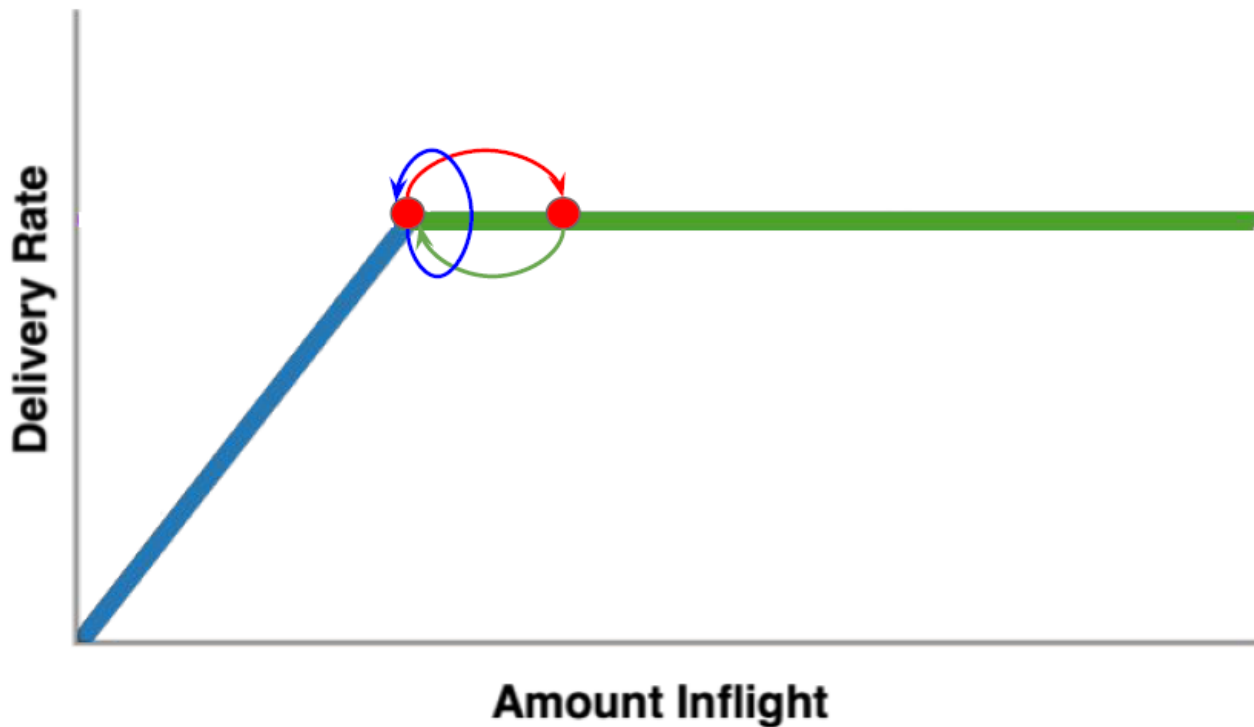
* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"



BBR TCP



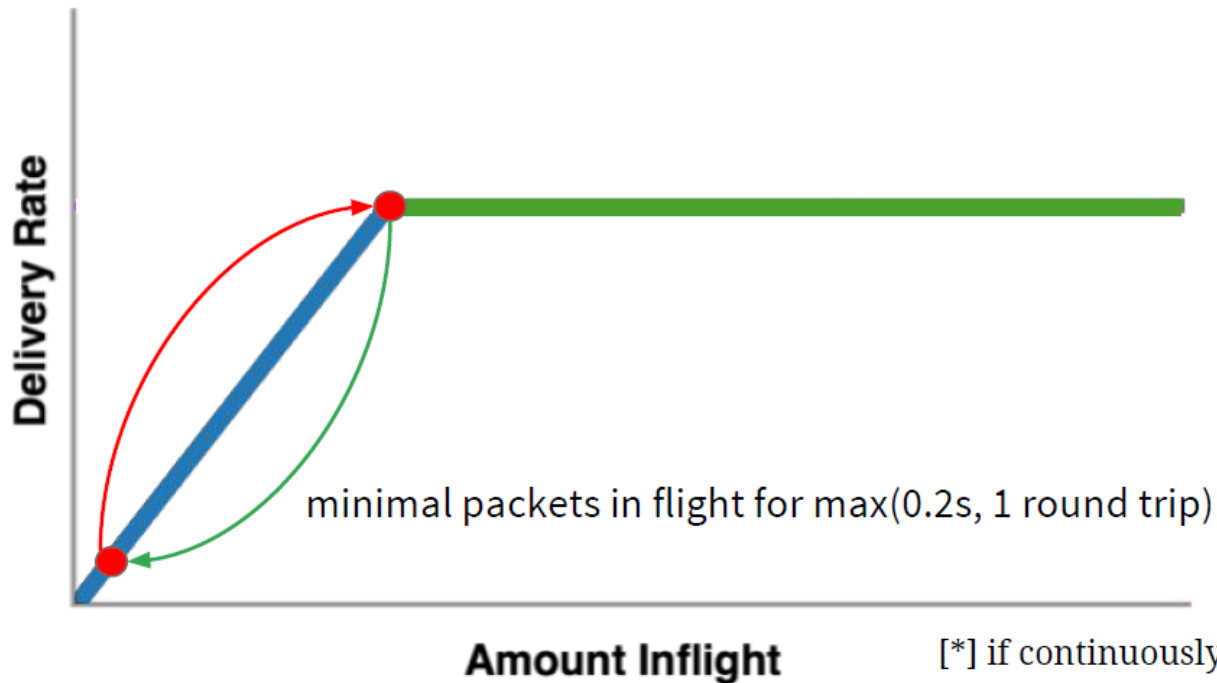
Probe BW phase:
do spikes in sending rate
(1,25 followed by 0.75
gains, each one of RTT
length)



* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"



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Probe RTT phase:

drop **cwnd** to 4 for 0,2 sec
every 10 sec

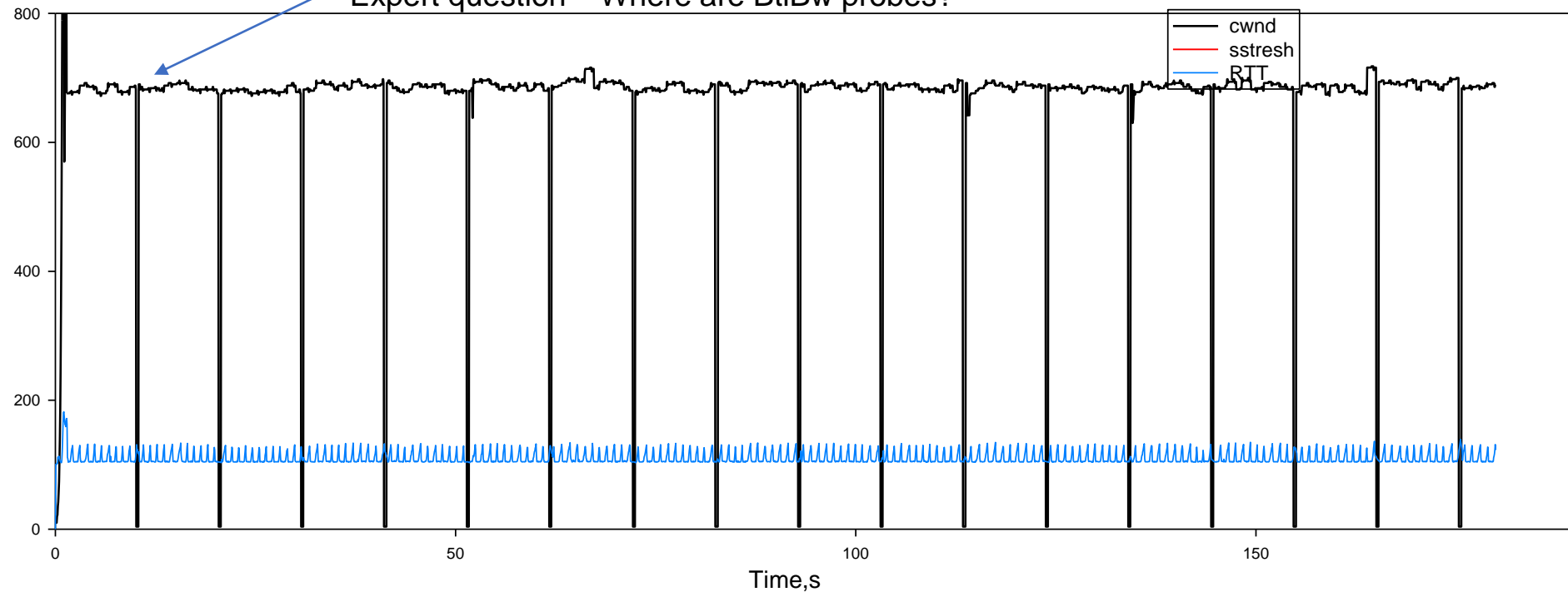
* From "Making Linux TCP Fast". Yuchung Cheng. Neal Cardwell. Netdev 1.2 Tokyo, October, 2016"



BBR TCP



Expert question – Where are BtlBw probes?

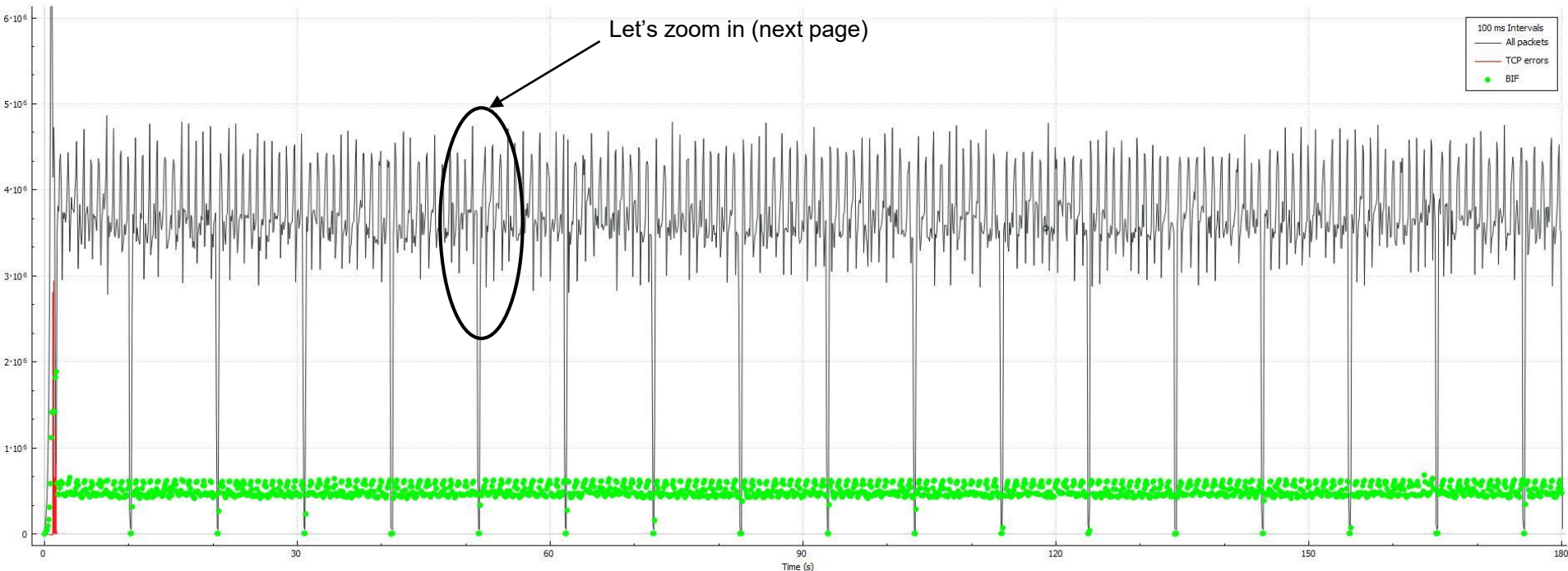




BBR TCP



Wireshark IO Graphs: eth0 (tcp)

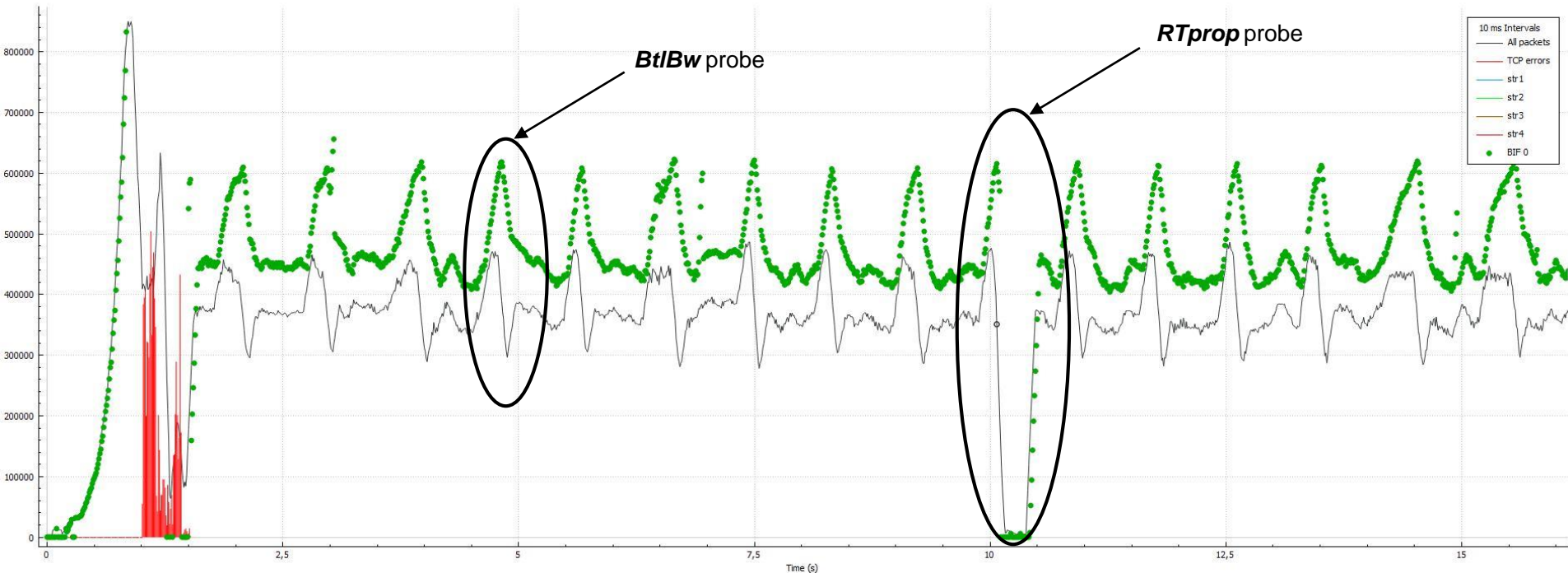




BBR TCP



Wireshark IO Graphs: bbr.pcapng





BBR TCP



Wireshark IO Graphs: bbr.pcapng



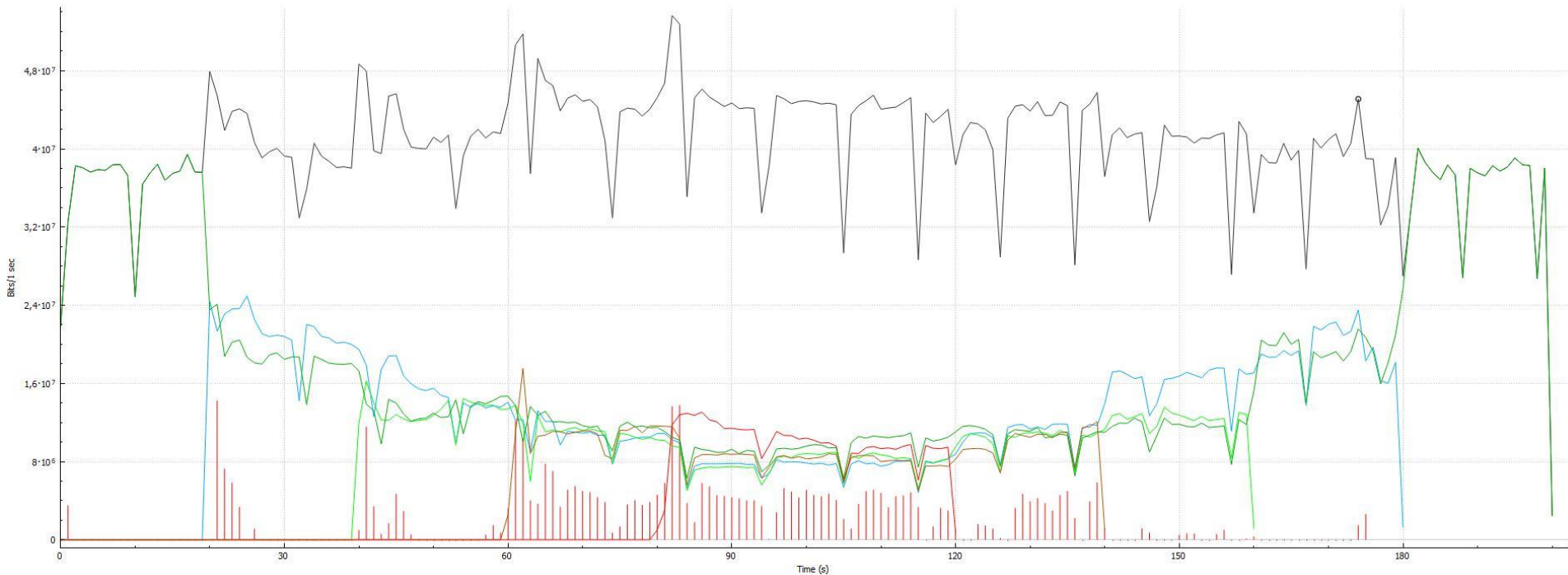
vs. Reno Friendliness



BBR TCP



Wireshark IO Graphs: bbr.pcapng



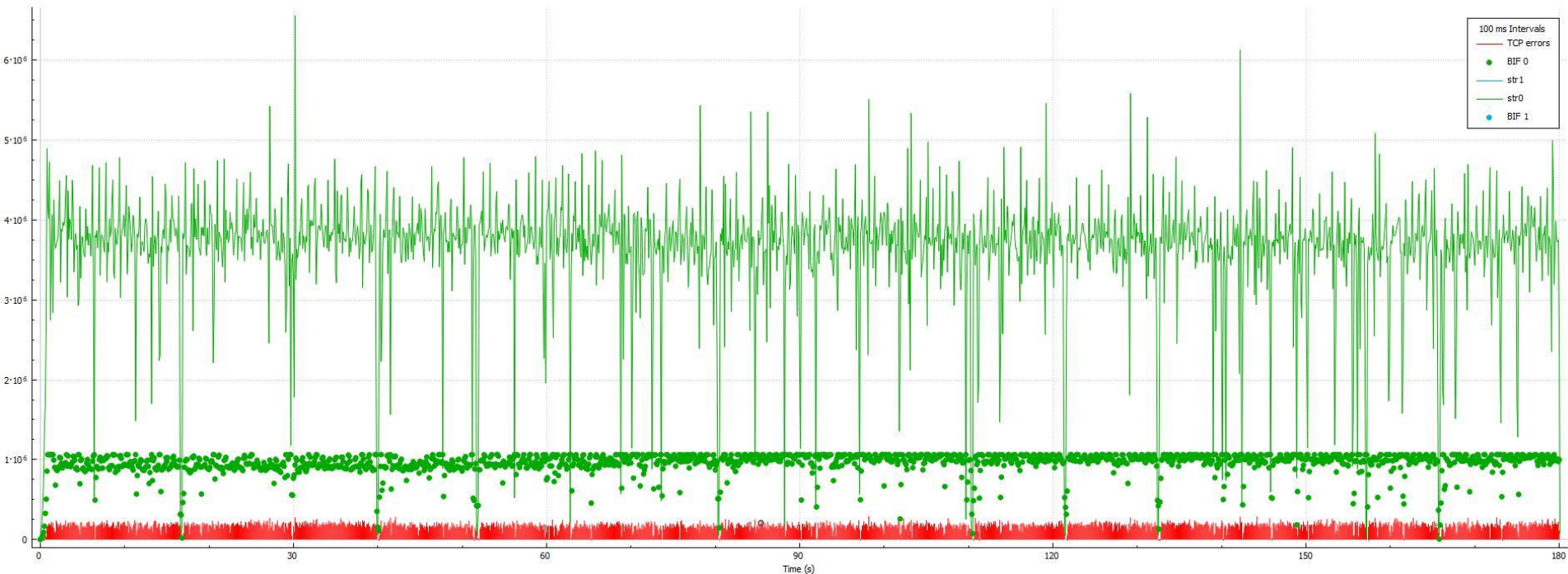
5-stream convergence



BBR TCP



Wireshark IO Graphs: eth0 (tcp)



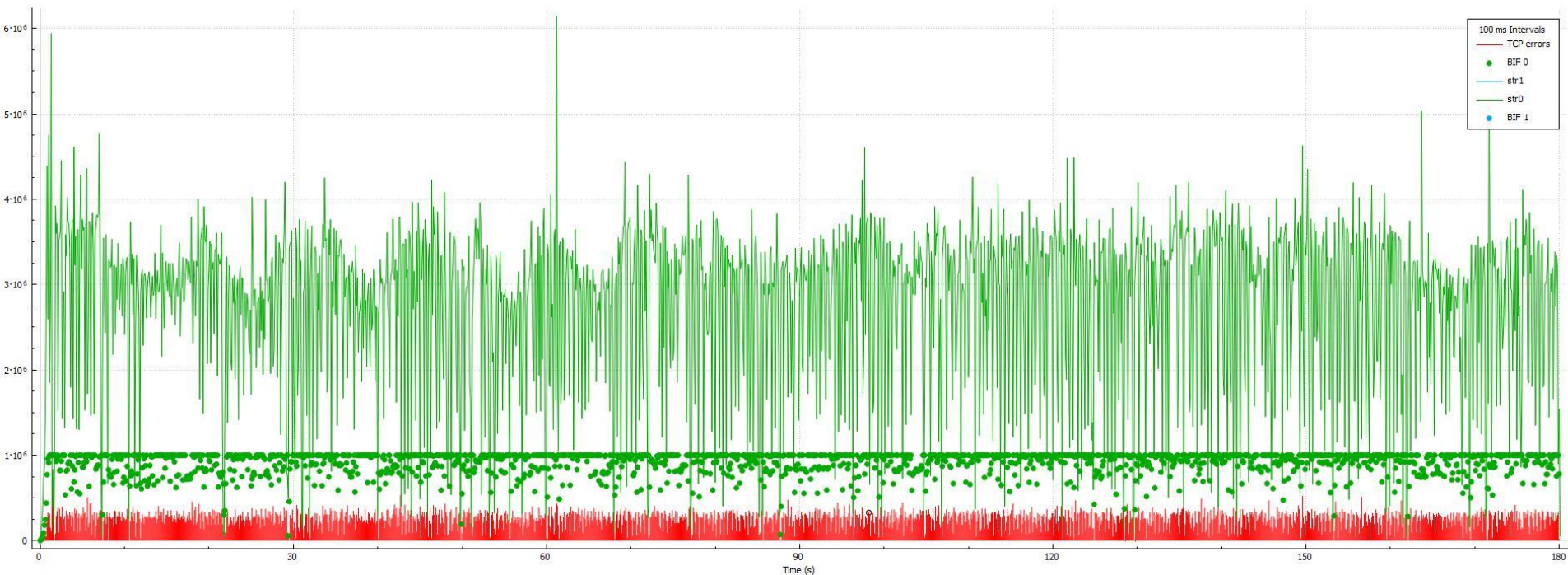
1% loss link behavior – Great, full BW rate!



BBR TCP



Wireshark IO Graphs: eth0 (tcp)



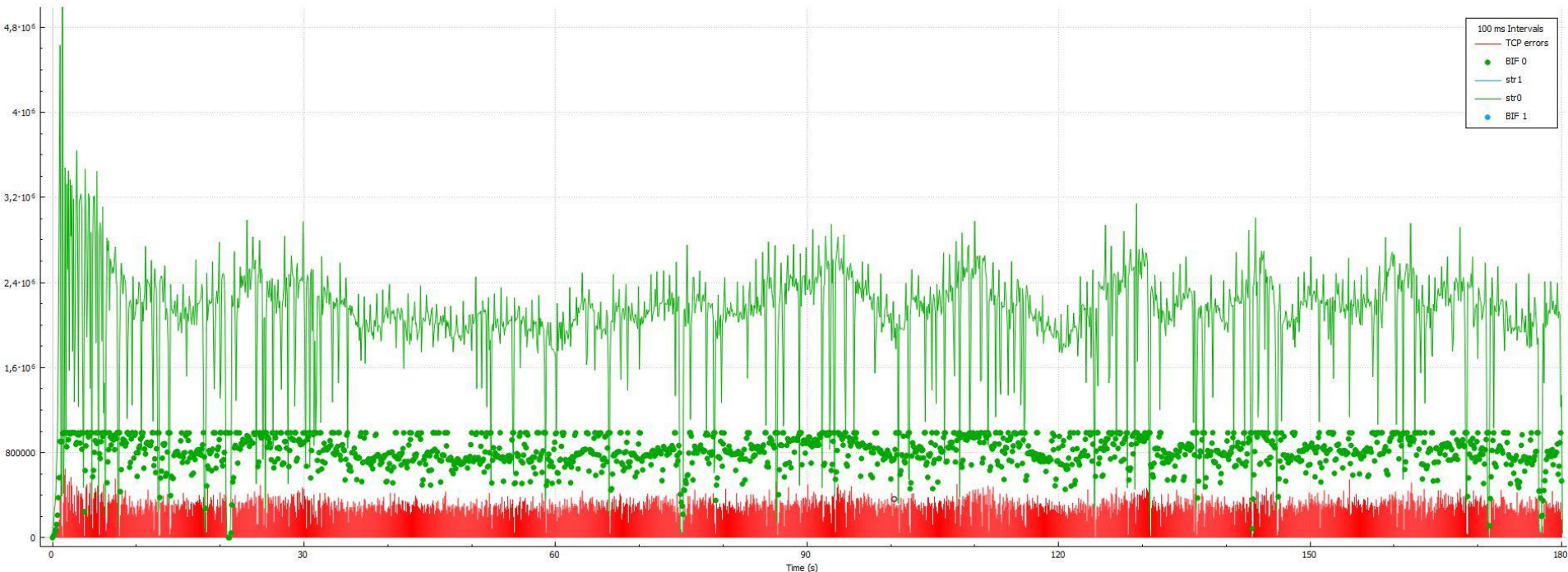
5% loss link behavior – 30Mbps out from 40, amazing!



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Wireshark IO Graphs: eth0 (tcp)



10% loss link behavior – 24Mbit out of 40 – is it possible to kill it at all?



BBR TCP



Wireshark IO Graphs: eth0 (tcp)



20% loss link behavior – alright, we went too far 😊, but...



Attacks on CA



Three different types of attack are aimed to make a sender faster:

1. ACK division attack (intentional accelerating of CA algorithm)
2. DUP ACK spoofing (influencing on Fast Recovery phase)
3. Optimistic ACKing



Used flowgrind commands



```
for cong in 'reno' 'scalable' 'htcp' 'bic' 'nv' 'cubic' 'vegas' 'hybla' 'westwood' 'veno' 'yeah' 'illinois' 'cdg' 'bbr' 'lp';
do flowgrind -H s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -i 0.005 -O s=TCP_CONGESTION=$cong -T s=60,d=0 | egrep ^S >
/home/vlad/csv_no_loss/${cong}_60s_no_loss.csv;
sleep 10
done
```

Regular 1-stream probe

```
for cong in 'reno' 'scalable' 'htcp' 'highspeed' 'bic' 'cubic' 'vegas' 'hybla' 'nv' 'westwood' 'veno' 'yeah' 'illinois' 'cdg' 'bbr' 'lp';
do flowgrind -n 5 -H s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -T s=90,d=0 | egrep ^S >
/home/vlad/${cong}_90s_intra_fair.csv;
sleep 30
done
```

5-stream intra-protocol fairness

```
for cong in 'reno' 'scalable' 'htcp' 'highspeed' 'bic' 'cubic' 'vegas' 'hybla' 'nv' 'westwood' 'veno' 'yeah' 'illinois' 'cdg' 'bbr' 'lp';
do flowgrind -n 2 -F 0 -H s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -T s=90,d=0 -F 1 -H
s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=reno -i 0.01 -T s=90,d=0 | egrep ^S >
/home/vlad/${cong}_90s_reno_friendl.csv;
sleep 30
done
```

vs. Reno Friendliness

```
flowgrind -n 5 -F 0 -H s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -T s=100,d=0 -F 1 -H
s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -Y s=10 -T s=80,d=0 -F 2 -H
s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -Y s=20 -T s=60,d=0 -F 3 -H
s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -Y s=30 -T s=40,d=0 -F 4 -H
s=10.10.10.10/192.168.112.253,d=10.10.10.12/192.168.112.233 -O s=TCP_CONGESTION=$cong -Y s=40 -T s=20,d=0 | egrep ^S >
/home/vlad/${cong}_100s_5str_converg.csv
```

5-stream fairness with displaced start and different length



Q&A



Any questions?

* Are we really at this point? I can't believe it 😊

Topic for next time? Network-assisted congestion control, state-of-the-art algorithms deep dive?

Thanks for your attention!